

Moment approach to plasma fluid/kinetic theory and closures

Jeong-Young Ji

Department of Physics, Utah State University

January 11, 2018, PPPL

Acknowledgments

Eric D. Held (Utah State University)

Carl R. Sovinec (University of Wisconsin-Madison)

Scott E. Kruger (Tech-X Corporation)

Plasma Science and Innovation (PSI) Center

Center for Tokamak Transient Simulations (CTTS)

National Energy Research Scientific Computing Center (NERSC)

Department of Energy (DOE)

Outline

- Kinetic equation vs. moment equations
 - Several low order moments
 - Fluid equations and closures
 - General moment expansion
 - (Irreducible vs. reducible tensorial polynomials)
 - Collision operator and its moments
 - General moment equations
- Classical (Braginskii) closure theory (high collisionality)
 - Convergence with increasing the number of moments
 - Effect of ion-electron collisions on the ion closures
- Parallel integral (nonlocal) closures for electrons and ions
 - Along a magnetic field line in a strong magnetic field or inhomogeneous along only one direction (planar symmetry)
 - For arbitrary collision length (mean free path)
 - For arbitrary temperature ratio and charge and mass numbers
- Applications
 - Radial heat flow due to magnetic field fluctuations
 - Electron parallel transport for arbitrary collisionality

Distribution function and several lowest order fluid moments

- The state of a plasma (ionized gas) is specified by the distribution function $f_a(t, \mathbf{x}, \mathbf{v})$

$f_a(t, \mathbf{x}, \mathbf{v})d\mathbf{x}d\mathbf{v}$ = number of particles in $d\mathbf{x}d\mathbf{v}$ in the phase space

- Several lowest order fluid moments of $\mathbf{w}_a = \mathbf{v} - \mathbf{V}_a$:

$$\text{Density } n_a = \int d\mathbf{v} f_a$$

$$\text{Flow velocity } \mathbf{V}_a = n_a^{-1} \int d\mathbf{v} \mathbf{v} f_a$$

$$\text{Temperature } T_a = n_a^{-1} \int d\mathbf{v} \frac{1}{3} m_a w_a^2 f_a$$

$$\text{Viscosity } \boldsymbol{\pi}_a = \int d\mathbf{v} m_a (\mathbf{w}_a \mathbf{w}_a - \frac{1}{3} w_a^2 \mathbf{I}) f_a$$

$$\left(\text{Pressure tensor } \mathbf{p}_a = \int d\mathbf{v} m_a \mathbf{w}_a \mathbf{w}_a f_a = p_a \mathbf{I} + \boldsymbol{\pi}_a, \quad p_a = n_a T_a \right)$$

$$\text{Heat flow } \mathbf{h}_a = \int d\mathbf{v} \frac{1}{2} m_a w_a^2 \mathbf{w}_a f_a$$

Kinetic equation, fluid equations and closures

- The kinetic equation governs the evolution of the distribution function

$$\frac{\partial f_a}{\partial t} + \mathbf{v} \cdot \frac{\partial f_a}{\partial \mathbf{x}} + \frac{q_a}{m_a} (\mathbf{E} + \mathbf{v} \times \mathbf{B}) \cdot \frac{\partial f_a}{\partial \mathbf{v}} = C(f_a) \quad (\text{KE})$$

- Fluid equations are more amenable to analytic and computational study, but require **closures**

$$\int d\mathbf{v} (\text{KE}) \Rightarrow \frac{\partial n_a}{\partial t} + \nabla \cdot (n_a \mathbf{V}_a) = 0$$

$$\int d\mathbf{v} m_a \mathbf{v} (\text{KE}) \Rightarrow m_a n_a d_a \mathbf{V}_a - n_a q_a (\mathbf{E} + \mathbf{V}_a \times \mathbf{B}) + \nabla p_a + \nabla \cdot \boldsymbol{\pi}_a = \mathbf{R}_a$$

$$\int d\mathbf{v} \frac{1}{2} m_a w_a^2 (\text{KE}) \Rightarrow \frac{3}{2} n_a d_a T_a + n_a T_a \nabla \cdot \mathbf{V}_a + \nabla \cdot \mathbf{h}_a + \nabla \mathbf{V}_a : \boldsymbol{\pi}_a = Q_a$$

where $d_a = \frac{\partial}{\partial t} + \mathbf{V}_a \cdot \nabla$ (convective derivative)

$$\mathbf{R}_a = \int d\mathbf{v} m_a \mathbf{v} C(f_a) \quad (\text{collisional friction})$$

$$Q_a = \int d\mathbf{v} \frac{1}{2} m_a w_a^2 C(f_a) \quad (\text{collisional heating})$$

- Express $\{\mathbf{h}_a, \boldsymbol{\pi}_a, \mathbf{R}_a, Q_a\}$ in terms of $\{n_a, T_a, \mathbf{V}_a\}$

\Rightarrow Higher order moment equations \Rightarrow Analytic calculation of asymptotic closures

General moment expansion [Chapman 1916 Enskog 1917 Grad 1963]

Irreducible tensorial Hermite polynomials

- Moment expansion: coefficients m_a^{lk} are symmetric traceless fluid moments

$$f(t, \mathbf{x}, \mathbf{v}) = f^M \sum_{lk} m^{lk}(t, \mathbf{x}) \cdot \hat{\mathbf{p}}^{lk}$$

$$n^{lk}(t, \mathbf{x}) \equiv n m^{lk} = \int d\mathbf{v} \hat{\mathbf{p}}^{lk} f(t, \mathbf{x}, \mathbf{v})$$

- $\hat{\mathbf{p}}^{lk}$'s are orthonormal, irreducible, tensorial polynomials and form a complete set

$$\int d\mathbf{v} \hat{\mathbf{p}}^{jp} \hat{\mathbf{p}}^{lk} \cdot m^{lk} f^M = \delta_{jl} \delta_{pk} n^{jp}$$

$$\hat{\mathbf{p}}_a^{lk} = \frac{1}{\sqrt{\sigma_k^l}} \mathbf{p}_a^{lk}, \quad \mathbf{p}_a^{lk} = P^l(\mathbf{c}_a) L_k^{(l+1/2)}(c_a^2)$$

$$f_a^M = n_a \hat{f}_a^M = \frac{n_a}{\pi^{3/2} v_{Ta}^3} e^{-c_a^2}$$

$$\mathbf{c}_a = \frac{\mathbf{w}_a}{v_{Ta}} = \frac{\mathbf{v} - \mathbf{V}_a}{v_{Ta}}, \quad v_{Ta} = \sqrt{\frac{2T_a}{m_a}}$$

Several low order moment equations (21 moments)

$\mathbf{p}^{lk} = \mathbf{P}^l(\mathbf{c})L_k^l(c^2)$	$L_k^l = L_k^{(l+\frac{1}{2})}$	n^{lk}	fluid moment equation	indep.
$\mathbf{P}^0 = 1$	$L_0^0 = 1$	n	density (n)	1
	$L_1^0 = \frac{3}{2} - c^2$	0	temperature (T)	1
$\mathbf{P}^1 = \mathbf{c}$	$L_0^1 = 1$	0	flow velocity (\mathbf{V})	3
	$L_1^1 = \frac{5}{2} - c^2$	n^{11}	heat flow (\mathbf{h})	3
	$L_2^1 = \frac{35}{8} - \frac{7}{2}c^2 + \frac{1}{2}c^4$	n^{12}	heat w. heat flow (\mathbf{r})	3
$\mathbf{P}^2 = \mathbf{c}\mathbf{c} - \frac{c^2}{3}\mathbf{I}$	$L_0^2 = 1$	n^{20}	viscosity ($\boldsymbol{\pi}$)	5
	$L_1^2 = \frac{7}{2} - c^2$	n^{21}	heat viscosity ($\boldsymbol{\theta}$)	5

Comparison with reducible Hermite polynomials

[Grad 1949, Hirvijoki et al 2016 PoP, Pfefferlé et al 2017 PoP]

- Reducible tensorial Hermite polynomials

$$\bar{H}_{(i)}(\mathbf{w}; \frac{v_T^2}{2}) = e^{c^2} \left(-\frac{v_T^2}{2} \frac{\partial}{\partial \mathbf{v}} \right)^i e^{-c^2} = \frac{1}{f^M} \left(\frac{v_T^2}{2} \frac{\partial}{\partial \mathbf{V}} \right)^i f^M, \quad \mathbf{c} = \frac{\mathbf{w}}{v_T} = \frac{\mathbf{v} - \mathbf{V}}{v_T}$$

$$\bar{H}_{(0)}(\mathbf{w}; \frac{T}{m}) = 1 = \mathbf{p}^{00}$$

$$\bar{H}_{(1)}(\mathbf{w}; \frac{T}{m}) = \mathbf{w} = v_T \mathbf{p}^{10}$$

$$\bar{H}_{(2)}(\mathbf{w}; \frac{T}{m}) = \mathbf{w}\mathbf{w} - \frac{T}{m} \mathbf{I} = v_T^2 \left(\mathbf{p}^{20} - \frac{1}{3} \mathbf{p}^{01} \mathbf{I} \right)$$

$$\bar{H}_{(3)}(\mathbf{w}; \frac{T}{m}) = \mathbf{w}\mathbf{w}\mathbf{w} - \frac{T}{m} (\mathbf{I}\mathbf{w} + \mathbf{I}\mathbf{w}\mathbf{I} + \mathbf{w}\mathbf{I}) = v_T^3 \left(\mathbf{p}^{30} - \frac{3}{5} \{ \mathbf{p}^{11} \mathbf{I} \} \right)$$

★ Vector moments: $\bar{H}_{(1)}, \bar{H}_{(3)}, \bar{H}_{(5)}, \dots$ vs. $\mathbf{p}^{10}, \mathbf{p}^{11}(\mathbf{h}), \mathbf{p}^{12}, \dots$

★ Rank-2 tensor moments: $\bar{H}_{(2)}, \bar{H}_{(4)}, \bar{H}_{(6)}, \dots$ vs. $\mathbf{p}^{20}(\boldsymbol{\pi}), \mathbf{p}^{21}, \mathbf{p}^{22}, \dots$

Comparison with reducible Hermite polynomials (cont.)

[Grad 1949, Hirvijoki et al 2016 PoP, Pfefferlé et al 2017 PoP]

- Moment expansion

$$f_a(\mathbf{v}) = \sum_{i=0}^{\infty} \frac{M_a^{(i)}}{i!} \cdot \frac{\bar{H}_{(i)}(\mathbf{w})}{(v_{T_a}^2/2)^i} f_a^M = \sum_{i=0}^{\infty} \frac{M_a^{(i)}}{i!} \cdot \nabla_a^i f_a^M, \quad \nabla_a \equiv \frac{\partial}{\partial \mathbf{V}_a}$$

- Collisional moments

$$\int d\mathbf{v} \bar{H}_{(k)}(\mathbf{w}_a) C(f_a, f_b) = \sum_{i,j=0}^{\infty} \sum_{l=0}^{k+i} a_{(k)(i)}^{(l)} \frac{M_a^{(i)}}{i!} \cdot \nabla_a^l \frac{M_b^{(j)}}{j!} \cdot \nabla_b^j \int d\mathbf{v} C(f_a^M, f_b^M)$$

★ $\bar{H}_{(i)}$: Practical calculation is difficult for higher rank

★ p^{lk} : $P^l(\hat{\mathbf{v}})[\rightarrow l(l+1)]$ and speed polynomials $v^{l+2k} \Rightarrow$ Explicit formula

- Moments of the kinetic equation

★ $\bar{H}_{(i)}$: General formulation is difficult

★ p^{lk} : An infinite hierarchy is established

Collision operator and collisional moments

- Landau (Fokker-Planck) collision operator \rightarrow integro-differential equation

$$C(f_a, f_b) = \frac{\gamma_{ab}}{2} \frac{\partial}{\partial \mathbf{v}} \cdot \int d\mathbf{v}' \mathbf{U} \cdot \left[\frac{1}{m_a} \frac{\partial f_a(\mathbf{v})}{\partial \mathbf{v}} f_b(\mathbf{v}') - f_a(\mathbf{v}) \frac{1}{m_b} \frac{\partial f_b(\mathbf{v}')}{\partial \mathbf{v}'} \right]$$

$$\text{where } \mathbf{U} = \frac{u^2 \mathbf{I} - \mathbf{u}\mathbf{u}}{u^3}, \quad \mathbf{u} = \mathbf{v} - \mathbf{v}', \quad \gamma_{ab} = \frac{q_a^2 q_b^2 \ln \Lambda_{ab}}{4\pi \epsilon_0^2 m_a}.$$

- Linearized collision operator

$$\int d\mathbf{v} \mathbf{p}_a^{jp} C(f_a^M \mathbf{m}_a^{lk} \cdot \mathbf{p}_a^{lk}, f_b^M) = \sigma_j A_{ab}^{jp, lk} \mathbf{m}_a^{lk}$$

$$\int d\mathbf{v} \mathbf{p}_a^{jp} C(f_a^M, f_b^M \mathbf{m}_b^{nq} \cdot \mathbf{p}_b^{nq}) = \sigma_j B_{ab}^{jp, lk} \mathbf{m}_b^{lk}$$

- ★ Computed for several lowest orders (21 or 29 moments) only

Braginskii 1957, Hirshman 1977, Balescu 1988

- ★ Explicit formulas $A_{ab}^{jp, lk}$ and $B_{ab}^{jp, lk}$ are derived [Ji & Held 2006 2008 PoP]

for arbitrary temperature and mass ratios

- Nonlinear collision terms [Ji & Held 2009 PoP]

General moment equations [Ji & Held 2006 2008 2009 PoP]

- Landau (Fokker-Planck) kinetic equation

$$\frac{\partial f_a}{\partial t} + \mathbf{v} \cdot \nabla f_a + \frac{q_a}{m_a} (\mathbf{E} + \mathbf{v} \times \mathbf{B}) \cdot \frac{\partial f_a}{\partial \mathbf{v}} = \sum_b C(f_a, f_b)$$

- Moment expansion

$$f_a(t, \mathbf{x}, \mathbf{v}) = f_a^M \sum_{lk} \mathbf{m}_a^{lk}(t, \mathbf{x}) \cdot \hat{\mathbf{p}}_a^{lk}$$

- General moment equations $\int d\mathbf{v} \hat{\mathbf{p}}_a^{jp}$ (kinetic eq.) \Rightarrow

$$\begin{aligned} & d_a \mathbf{n}_a^{jp} + \Omega_a \mathbf{b} \check{\times} \mathbf{n}_a^{jp} + \{ \hat{\Xi}^j (d_a \ln T) + \hat{U}_c^j \nabla \cdot \mathbf{V} + \hat{U}_l^j (\nabla \mathbf{V}) \cdot + \hat{U}_r^j (\nabla \mathbf{V}) \cdot \}_{pk} \mathbf{n}_a^{jk} \\ & + \{ v_T \hat{\Psi}^{j\pm} \nabla + v_T \hat{\Phi}^{j\pm} \nabla \ln T + v_T^{-1} \hat{\Theta}^{j\pm} \mathbf{a}_a \}_{pk} (\cdot) \mathbf{n}_a^{j\pm 1, k} + \hat{U}_{pk}^{j\pm} \nabla \mathbf{V} (\cdot) \mathbf{n}_a^{j\pm 2, k} \\ & = (\hat{C}_{aa}^{jpk} + \hat{A}_{ab}^{jpk}) \mathbf{n}_a^{jk} + \hat{B}_{ab}^{jpk} \mathbf{n}_b^{jk} + C_{ab}^{(2)jp} \end{aligned}$$

where $d_a \equiv \partial_t + \mathbf{V}_a \cdot \nabla$ and $\mathbf{a}_a \equiv \frac{q_a}{m_a} (\mathbf{E} + \mathbf{V}_a \times \mathbf{B}) - d_a \mathbf{V}_a$

- Farewell to the velocity variable \mathbf{v}

Moment equations for closures

- Maxwellian moment (n_a, \mathbf{V}_a, T_a) equations

$$(0, 0) : \quad d_a n_a + n_a \nabla \cdot \mathbf{V}_a = 0$$

$$(0, 1) : \quad \frac{3}{2} n_a d_a T_a + n_a T_a \nabla \cdot \mathbf{V}_a + \nabla \cdot \mathbf{h}_a + \nabla \mathbf{V}_a : \boldsymbol{\pi}_a = Q_a$$

$$(1, 0) : \quad m_a n_a d_a \mathbf{V}_a - n_a q_a (\mathbf{E} + \mathbf{V}_a \times \mathbf{B}) + \nabla p_a + \nabla \cdot \boldsymbol{\pi}_a = \mathbf{R}_a$$

- Non-Maxwellian moment equations $(j, p) \neq (0, 0), (0, 1), (1, 0)$

$$\hat{L}_a n_a + \Omega_a \mathbf{b} \check{\times} n_a = (\hat{C}_{aa} + \hat{A}_{ab}) n_a + \mathbf{G}_a + \hat{B}_{ab} n_b + \hat{C}_a^{(2)}$$

where $n_a = (n_a^{02}, n_a^{03}, \dots, n_a^{11}, n_a^{12}, \dots, n_a^{20}, n_a^{21}, \dots, \dots)^T$,

$$\mathbf{G}_a^1 = \begin{pmatrix} \frac{\sqrt{5}}{2} \frac{n_a v_{Ta}}{T_a} \nabla T_a + \delta_{ae} \sqrt{2} a_{ei}^{110} \frac{n_e}{\tau_{ei}} \frac{\mathbf{V}_{ei}}{v_{Te}} \\ \delta_{ae} a_{ei}^{120} \frac{n_e}{\tau_{ei}} \frac{\mathbf{V}_{ei}}{v_{Te}} \\ \delta_{ae} a_{ei}^{130} \frac{n_e}{\tau_{ei}} \frac{\mathbf{V}_{ei}}{v_{Te}} \\ \vdots \end{pmatrix}, \quad \mathbf{G}_a^2 = \begin{pmatrix} -\frac{1}{\sqrt{2}} n_a W_a \\ 0 \\ 0 \\ \vdots \end{pmatrix},$$

$$a_{ei}^{1p0} = -\sqrt{\frac{3(p+\frac{1}{2})!}{(2p+3)p!(\frac{1}{2})!}} \quad \text{and} \quad W = \nabla \mathbf{V} + (\nabla \mathbf{V})^T - \frac{2}{3} |\nabla \cdot \mathbf{V}|$$

- Solve the non-Maxwellian moment equations

to express $\{\mathbf{h}_a, \boldsymbol{\pi}_a, \mathbf{R}_a, Q_a\}$ in terms of $\{n_a, T_a, \mathbf{V}_a\}$

21 moment ($n, T, \mathbf{V}, n^{11}, n^{12}, n^{20}, n^{21}$) equations

[Here $d_t = \partial_t + \mathbf{V} \cdot \nabla$, $\dot{\nabla} = v_T \nabla$, $\mathbf{a} = \frac{q}{m}(\mathbf{E} + \mathbf{V} \times \mathbf{B}) - d_t \mathbf{V}$]

- $d_t n^{11} + \frac{3}{2} d_t \ln T n^{11} + \Omega \mathbf{b} \times \mathbf{n}^{11} - \sqrt{\frac{2}{3}} \dot{\nabla} n^{02} + \dot{\nabla} \cdot (-\sqrt{\frac{2}{5}} n^{20} + \sqrt{\frac{7}{5}} n^{21})$
 $- \frac{\sqrt{5}}{2} n \dot{\nabla} \ln T - 2\sqrt{\frac{2}{3}} \dot{\nabla} \ln T n^{02} + \dot{\nabla} \ln T \cdot (-\frac{9}{\sqrt{10}} n^{20} + 2\sqrt{\frac{7}{5}} n^{21}) + 2\sqrt{\frac{2}{5}} \frac{1}{v_T} \mathbf{a} \cdot \mathbf{n}^{20}$
 $+ \frac{7}{5} \nabla \cdot \mathbf{V} n^{11} + \frac{7}{5} \underline{\nabla} \mathbf{V} \cdot \mathbf{n}^{11} + \frac{2}{5} \nabla \underline{\mathbf{V}} \cdot \mathbf{n}^{11} - 2\sqrt{\frac{3}{5}} \nabla \mathbf{V} : \mathbf{n}^{30} = \hat{A}^{11,00} n + \hat{C}^{11,11} n^{11} + \hat{C}^{11,12} n^{12}$
- $d_t n^{12} + d_t \ln T (-\sqrt{7} n^{11} + \frac{5}{2} n^{12}) + \Omega \mathbf{b} \times \mathbf{n}^{12} + \dot{\nabla} (\sqrt{\frac{7}{6}} n^{02} - n^{03}) + \dot{\nabla} \cdot (-\frac{2}{\sqrt{5}} n^{21} + \frac{3}{\sqrt{5}} n^{22})$
 $- \sqrt{\frac{14}{3}} \frac{1}{v_T} \mathbf{a} n^{02} + \frac{4}{\sqrt{5}} \frac{1}{v_T} \mathbf{a} \cdot \mathbf{n}^{21} + \dot{\nabla} \ln T (5\sqrt{\frac{7}{6}} n^{02} - 3n^{03}) + \dot{\nabla} \ln T \cdot (\sqrt{\frac{14}{5}} n^{20} - \frac{13}{\sqrt{5}} n^{21} + \frac{9}{\sqrt{5}} n^{22})$
 $+ \nabla \cdot \mathbf{V} (-\frac{2\sqrt{7}}{5} n^{11} + \frac{9}{5} n^{12}) + \underline{\nabla} \mathbf{V} \cdot (-\frac{2\sqrt{7}}{5} n^{11} + \frac{9}{5} n^{12}) + \nabla \underline{\mathbf{V}} \cdot (-\frac{2\sqrt{7}}{5} n^{11} + \frac{4}{5} n^{12})$
 $+ \nabla \mathbf{V} : (4\sqrt{\frac{3}{35}} n^{30} - 6\sqrt{\frac{6}{35}} n^{31}) = \hat{A}^{12,00} n + \hat{C}^{12,11} n^{11} + \hat{C}^{12,12} n^{12}$
- $d_t n^{20} + d_t \ln T n^{20} + \Omega \mathbf{b} \check{\times} \mathbf{n}^{20} - \sqrt{\frac{2}{5}} \dot{\nabla} n^{11} + \sqrt{\frac{3}{2}} \dot{\nabla} \cdot \mathbf{n}^{30}$
 $- \frac{3}{\sqrt{10}} \dot{\nabla} \ln T n^{11} + \frac{3}{2} \sqrt{\frac{3}{2}} \nabla \ln T \cdot \mathbf{n}^{30} + \nabla \cdot \mathbf{V} n^{20} + 2 \underline{\nabla} \mathbf{V} \cdot \mathbf{n}^{20} + \sqrt{2} n \overline{\nabla \mathbf{V}} = \hat{C}^{20,20} n^{20} + \hat{C}^{20,21} n^{21}$
- $d_t n^{21} + d_t \ln T (-\sqrt{\frac{7}{2}} n^{20} + 2n^{21}) + \Omega \mathbf{b} \check{\times} \mathbf{n}^{21} + \dot{\nabla} (\sqrt{\frac{7}{5}} n^{11} - \frac{2}{\sqrt{5}} n^{12}) + \dot{\nabla} \cdot (-\sqrt{\frac{3}{7}} n^{30} + 3\sqrt{\frac{3}{14}} n^{31})$
 $- 2\sqrt{\frac{7}{5}} \frac{1}{v_T} \mathbf{a} n^{11} + 2\sqrt{\frac{3}{7}} \frac{1}{v_T} \mathbf{a} \cdot \mathbf{n}^{30} + \dot{\nabla} \ln T (\frac{7}{2} \sqrt{\frac{7}{5}} n^{11} - \sqrt{5} n^{12}) + \dot{\nabla} \ln T \cdot (-6\sqrt{\frac{3}{7}} n^{30} + \frac{15}{2} \sqrt{\frac{3}{14}} n^{31})$
 $+ \nabla \cdot \mathbf{V} (-\sqrt{\frac{2}{7}} n^{20} + \frac{9}{7} n^{21}) + \underline{\nabla} \mathbf{V} \cdot (-2\sqrt{\frac{2}{7}} n^{20} + \frac{18}{7} n^{21}) + \nabla \underline{\mathbf{V}} \cdot (-2\sqrt{\frac{2}{7}} n^{20} + \frac{4}{7} n^{21})$
 $- 2\sqrt{\frac{14}{15}} \overline{\nabla \mathbf{V}} n^{02} - 2\sqrt{\frac{6}{7}} \nabla \mathbf{V} : \mathbf{n}^{40} = \hat{C}^{21,20} n^{20} + \hat{C}^{21,21} n^{21}$

$$\hat{C}_a^{11,11} = -\frac{1}{\tau_{aa}} \left(\frac{2\sqrt{2}}{5} + z_a \frac{13}{10} \right), \quad \hat{C}_a^{11,12} = -\frac{1}{\tau_{aa}} \left(\frac{3}{5} \sqrt{\frac{2}{7}} + z_a \frac{69}{20\sqrt{7}} \right), \quad \hat{C}_a^{12,12} = -\frac{1}{\tau_{aa}} \left(\frac{9}{7\sqrt{2}} + z_a \frac{433}{280} \right)$$

$$\hat{C}_a^{20,20} = -\frac{1}{\tau_{aa}} \left(\frac{3\sqrt{2}}{5} + z_a \frac{6}{5} \right), \quad \hat{C}_a^{20,21} = -\frac{1}{\tau_{aa}} \left(\frac{9}{10\sqrt{7}} + z_a \frac{9}{5} \sqrt{\frac{2}{7}} \right), \quad \hat{C}_a^{12,12} = -\frac{1}{\tau_{aa}} \left(\frac{41}{28\sqrt{2}} + z_a \frac{51}{35} \right)$$

$$\hat{A}_a^{11,00} = -\frac{z_a}{\tau_{aa}} \frac{3}{\sqrt{5}} \frac{\mathbf{V}_{ei}}{v_{Te}}, \quad \hat{A}_a^{12,00} = -\frac{z_a}{\tau_{ee}} \frac{3}{2} \sqrt{\frac{5}{7}} \frac{\mathbf{V}_{ei}}{v_{Te}}, \quad z_e = Z, \quad z_i = 0, \quad \mathbf{V}_{ei} = \mathbf{V}_e - \mathbf{V}_i$$

Braginskii closures for high collisionality (1957, 1965)

$$\text{Kn} \sim |\partial_t|/\nu_{\text{col}} \sim \lambda_C/|\nabla^{-1}| \ll 1$$

$$\begin{pmatrix} -x\mathbf{b} \times \mathbf{m}^{11} \\ -x\mathbf{b} \times \mathbf{m}^{12} \\ -x\mathbf{b} \times \mathbf{m}^{13} \\ \vdots \end{pmatrix} = \begin{pmatrix} c_{11}^1 & c_{12}^1 & c_{13}^1 & \cdots \\ c_{21}^1 & c_{22}^1 & c_{23}^1 & \cdots \\ c_{31}^1 & c_{32}^1 & c_{33}^1 & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix} \begin{pmatrix} \mathbf{m}^{11} \\ \mathbf{m}^{12} \\ \mathbf{m}^{13} \\ \vdots \end{pmatrix} + \begin{pmatrix} \frac{\sqrt{5}}{2} \hat{\nabla} \ln T + \sqrt{2} a_{\text{ei}}^{101} \frac{\mathbf{V}_{\text{ei}}}{v_{T_e}} \\ \sqrt{2} a_{\text{ei}}^{102} \frac{\mathbf{V}_{\text{ei}}}{v_{T_e}} \\ \sqrt{2} a_{\text{ei}}^{103} \frac{\mathbf{V}_{\text{ei}}}{v_{T_e}} \\ \vdots \end{pmatrix}$$

$$\mathbf{m}_{\perp}^{1p} = \sum_{q=1}^K (x^2 + c^2)_{pq}^{-1} (x \mathbf{g}_{\times}^{1q} - \sum_{k=1}^K c_{qk} \mathbf{g}_{\perp}^{1k}), \text{ where } \mathbf{g}_{\times} = \mathbf{b} \times \mathbf{g}$$

$$\mathbf{R}_e = -\alpha_{\parallel} \mathbf{V}_{\text{ei}\parallel} - \alpha_{\perp} \mathbf{V}_{\text{ei}\perp} + \alpha_{\times} \mathbf{V}_{\text{ei}\times} - \beta_{\parallel} \nabla_{\parallel} T_e - \beta_{\perp} \nabla_{\perp} T_e - \beta_{\times} \nabla_{\times} T_e$$

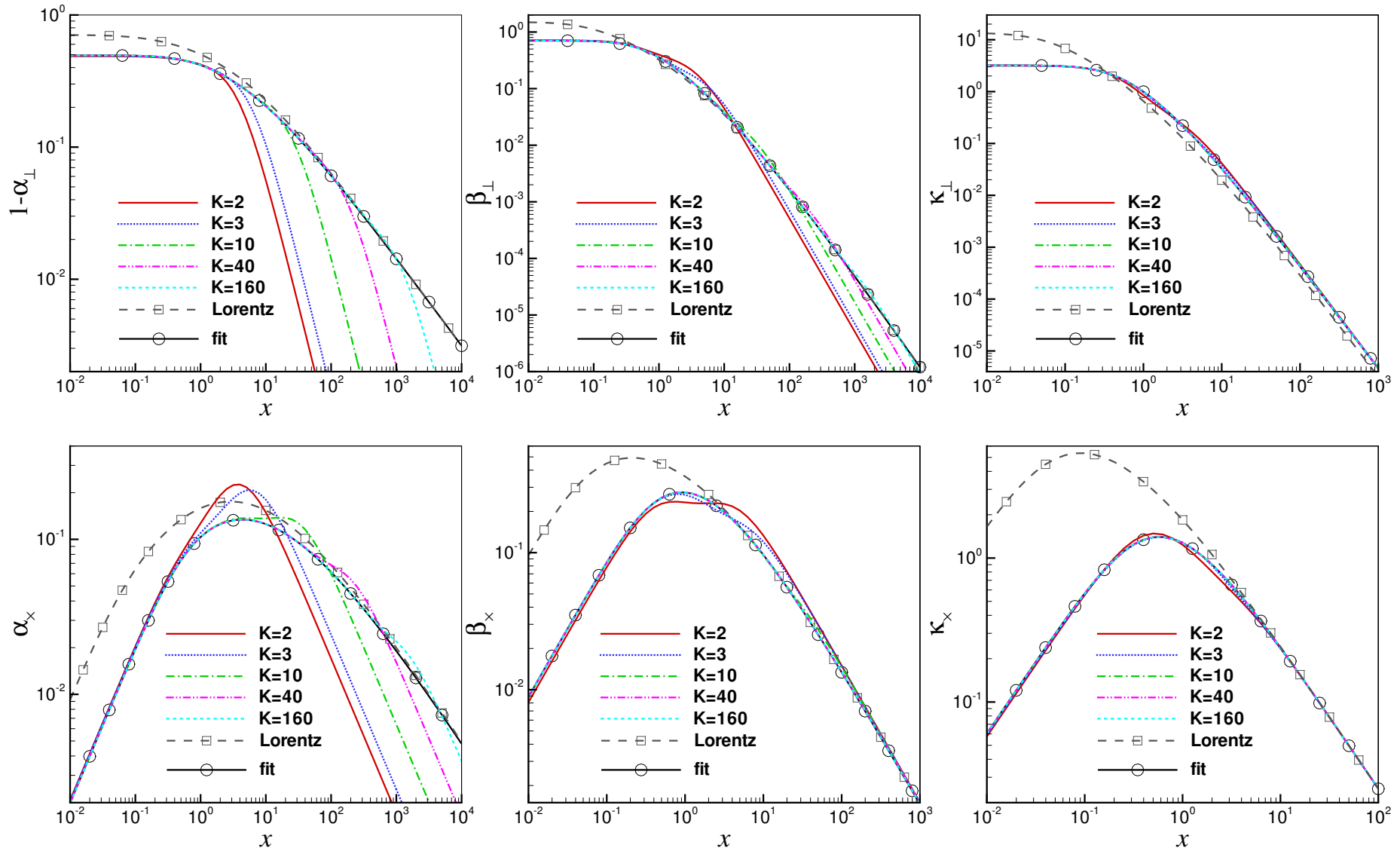
$$\mathbf{h}_e = \beta_{\parallel} T_e \mathbf{V}_{\text{ei}\parallel} + \beta_{\perp} T_e \mathbf{V}_{\text{ei}\perp} + \beta_{\times} T_e \mathbf{V}_{\text{ei}\times} - \kappa_{\parallel}^e \nabla_{\parallel} T_e - \kappa_{\perp}^e \nabla_{\perp} T_e - \kappa_{\times}^e \nabla_{\times} T_e$$

where $x = |\Omega_e| \tau_{\text{ei}}$, $\alpha_A = \hat{\alpha}_A \frac{m_e n_e}{\tau_{\text{ei}}}$, $\beta_A = \hat{\beta}_A n_e$, $\kappa_A^e = \hat{\kappa}_A^e \frac{n_e T_e \tau_{\text{ei}}}{m_e}$ ($A = \parallel, \times, \perp$)

- Braginskii used two ($K = 2$) moments \mathbf{m}^{11} (heat flux \mathbf{h}) and \mathbf{m}^{12} (\mathbf{m}^{20} and \mathbf{m}^{21} for viscosity, not shown here)
- Improving Braginskii closures
 - ★ For electrons: convergence with increasing the number of moments
 - ◇ $\alpha_{\times} \sim x^{-1}$ and $\beta_{\perp} \sim x^{-2}$ never converge as $x \rightarrow \infty$
 - ◇ Epperlein and Haines 1986: $\alpha_{\times} \sim x^{-2/3}$, $\beta_{\perp} \sim x^{-5/3}$
 - ★ For ions: ion-electron collisions are not ignorable, $C_i = C_{\text{ii}} + C_{\text{ie}}$

Electron closures for high collisionality for $Z = 1$

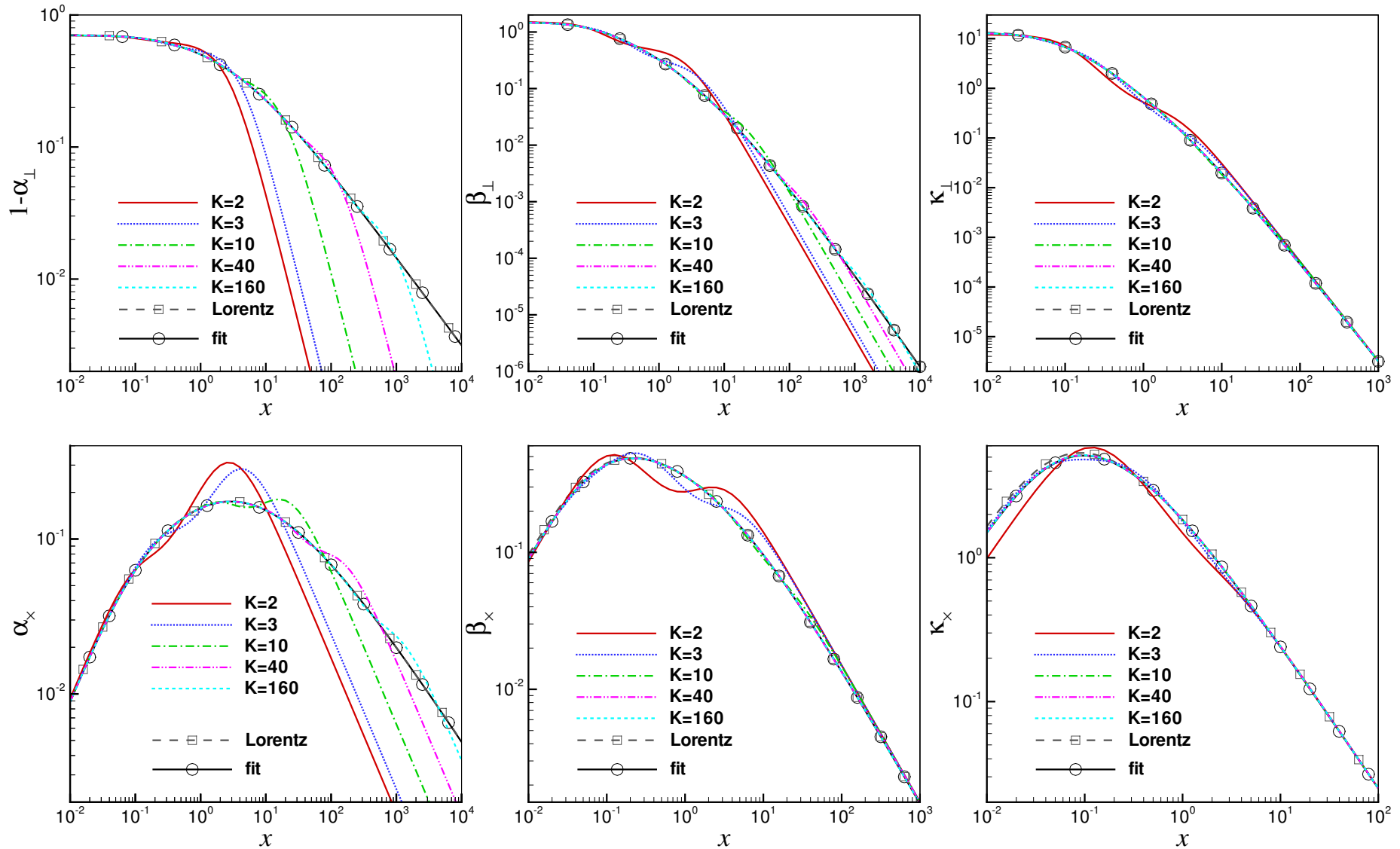
Convergence with increasing moments



$c_e = c_{ee} + Za_{ei} \Rightarrow$ Lorentz gas is not a good approximation for a finite $x = |\Omega_e|\tau_{ei}$

Electron closures for high collisionality for $Z = 100$

Convergence with increasing moments



$c_e = c_{ee} + Z a_{ei} \Rightarrow$ Lorentz gas approximation is accurate for arbitrary $x = |\Omega_e| \tau_{ei}$

Electron closures for high collisionality (fitted coefficients)

	Braginskii	Epperlein & Haines (1986)	Ji & Held (2013)
$1 - \hat{\alpha}_\perp$	$\frac{\alpha'_1 x^2 + \alpha'_0}{x^4 + \delta_1 x^2 + \delta_0}$	$\frac{\alpha'_1 x + \alpha'_0}{x^2 + a'_1 x + a'_0}$	$\frac{\alpha'_1 x + \alpha'_0}{x^{\frac{5}{3}} + a'_2 x^{\frac{4}{3}} + a'_1 x + a'_0}$
$\hat{\alpha}_\times$	$\frac{x(\alpha''_1 x^2 + \alpha''_0)}{x^4 + \delta_1 x^2 + \delta_0}$	$\frac{x(\alpha''_1 x + \alpha''_0)}{(x^3 + a''_2 x^2 + a''_1 x + a''_0)^{8/9}}$	$\frac{x(\alpha''_1 x + \alpha''_0)}{x^{\frac{8}{3}} + a''_4 x^{\frac{7}{3}} + a''_3 x^{\frac{6}{3}} + a''_2 x^{\frac{5}{3}} + a''_1 x + a''_0}$
$\hat{\beta}_\perp$	$\frac{\beta'_1 x^2 + \beta'_0}{x^4 + \delta_1 x^2 + \delta_0}$	$\frac{\beta'_1 x + \beta'_0}{(x^3 + b'_2 x^2 + b'_1 x + b'_0)^{8/9}}$	$\frac{\beta'_1 x + \beta'_0}{x^{\frac{8}{3}} + b'_4 x^{\frac{7}{3}} + b'_3 x^{\frac{6}{3}} + b'_2 x^{\frac{5}{3}} + b'_1 x + b'_0}$
$\hat{\beta}_\times$	$\frac{x(\beta''_1 x^2 + \beta''_0)}{x^4 + \delta_1 x^2 + \delta_0}$	$\frac{x(\beta''_1 x + \beta''_0)}{x^3 + b''_2 x^2 + b''_1 x + b''_0}$	$\frac{x(\beta''_1 x + \beta''_0)}{x^3 + b''_4 x^{\frac{7}{3}} + b''_3 x^{\frac{6}{3}} + b''_2 x^{\frac{5}{3}} + b''_1 x + b''_0}$
$\hat{\kappa}_\perp$	$\frac{\gamma'_1 x^2 + \gamma'_0}{x^4 + \delta_1 x^2 + \delta_0}$	$\frac{\gamma'_1 x + \gamma'_0}{x^3 + c'_2 x^2 + c'_1 x + c'_0}$	$\frac{\gamma'_1 x + \gamma'_0}{x^3 + c'_4 x^{\frac{7}{3}} + c'_3 x^{\frac{6}{3}} + c'_2 x^{\frac{5}{3}} + c'_1 x + c'_0}$
$\hat{\kappa}_\times$	$\frac{x(\gamma''_1 x^2 + \gamma''_0)}{x^4 + \delta_1 x^2 + \delta_0}$	$\frac{x(\gamma''_1 x + \gamma''_0)}{x^3 + c''_2 x^2 + c''_1 x + c''_0}$	$\frac{x(\gamma''_1 x + \gamma''_0)}{x^3 + c''_4 x^{\frac{7}{3}} + c''_3 x^{\frac{6}{3}} + c''_2 x^{\frac{5}{3}} + c''_1 x + c''_0}$
Error		less than 15 %	less than 1%
$Z =$	1, 2, 3, 4, ∞	1 – 8, 10, 12, 14, 20, 30, 60, ∞	arbitrary function of Z

Ion closures for high collisionality

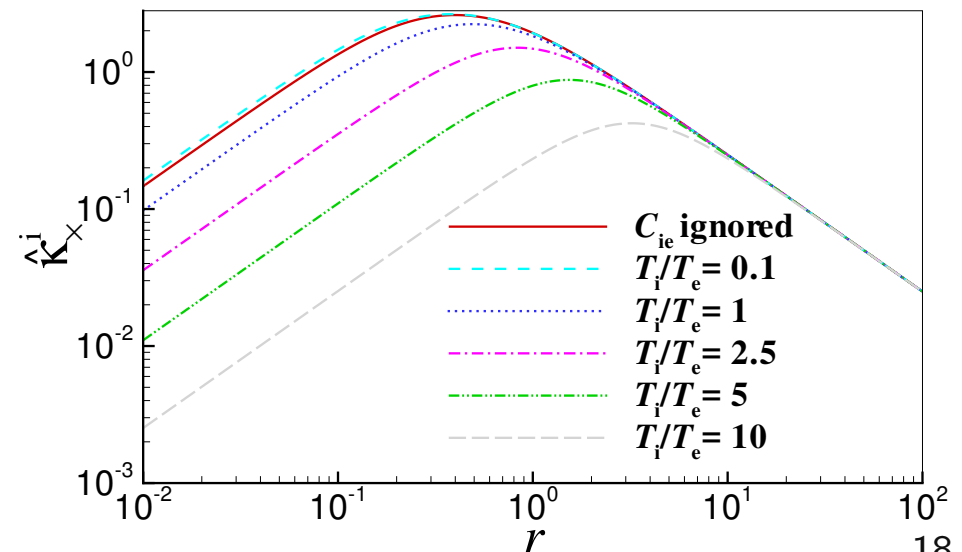
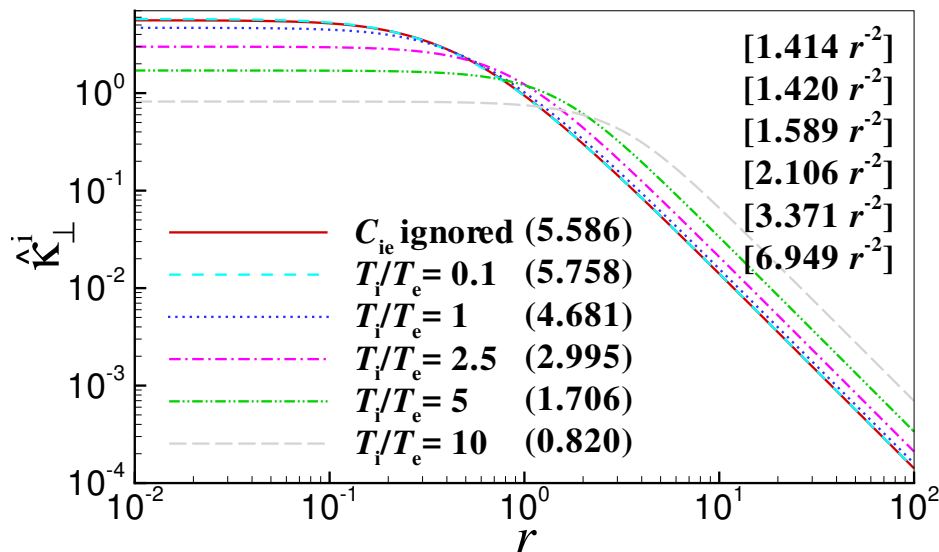
[Ji & Held 2015 PoP]

- Ion-electron collisions were ignored in $C_i^1 = \frac{1}{\tau_{ii}} (c_{ii}^1 + \frac{1}{Z} a_{ie}^1)$ before

$$C_{ii} = \begin{pmatrix} \frac{2\sqrt{2}}{5} & \frac{3}{5} \sqrt{\frac{2}{7}} & \frac{1}{4} \sqrt{\frac{3}{7}} \\ \frac{3}{5} \sqrt{\frac{2}{7}} & \frac{9}{7\sqrt{2}} & \frac{103\sqrt{3}}{280} \\ \frac{1}{4} \sqrt{\frac{3}{7}} & \frac{103\sqrt{3}}{280} & \frac{5657}{3360\sqrt{2}} \end{pmatrix}$$

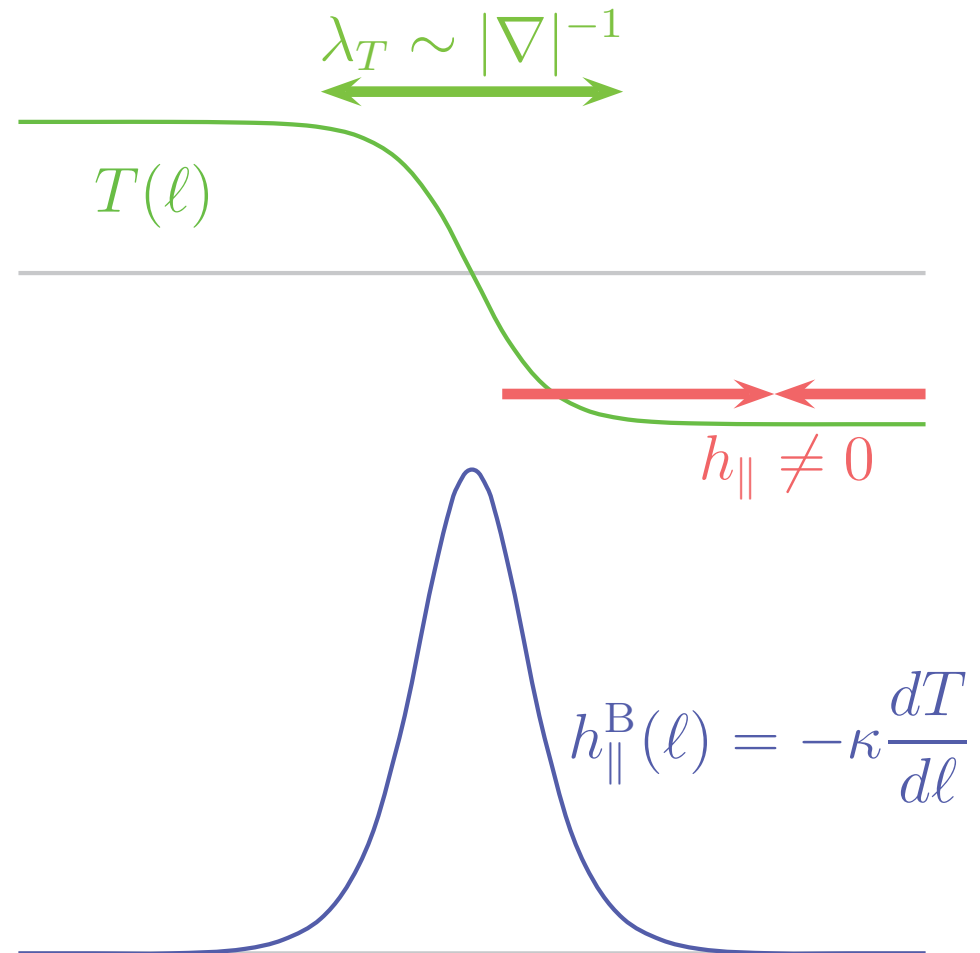
$$a_{ie}^1 = \begin{pmatrix} 3\sqrt{\frac{\mu}{\theta^3}} & 0 & 0 \\ 2\sqrt{7}(\sqrt{\frac{\mu}{\theta}} - \sqrt{\frac{\mu}{\theta^3}}) & 5\sqrt{\frac{\mu}{\theta^3}} & 0 \\ 0 & 3\sqrt{6}(\sqrt{\frac{\mu}{\theta}} - \sqrt{\frac{\mu}{\theta^3}}) & 7\sqrt{\frac{\mu}{\theta^3}} \end{pmatrix}, \quad \mu = \frac{m_e}{m_i}, \quad \theta = \frac{T_e}{T_i}$$

- With the **ion-electron collision** terms kept ($r = \Omega_i \tau_{ii}$)



Generalizing Braginskii's parallel closures to low collisionality

- High collisionality (improved)
 - ★ Convergence with increasing moments
 - ★ Ion-electron collision effects
- Low or arbitrary collisionality
 - ★ Hammett & Perkins 1993
 - ★ Chang & Callen 1992
 - ★ Held et al 2001
- For capturing kinetic effects, Krook or Lorentz type model collision operators adopted
 - ⇒ Exact collisional moments



21 moment $(n, T, \mathbf{V}, n^{11}, n^{12}, n^{20}, n^{21})$ equations revisited

$\nabla(\cdot)n^{lk}$ terms kept for parallel closures for low collisionality

- $d_t n^{11} + \frac{3}{2} d_t \ln T n^{11} + \Omega \mathbf{b} \times \mathbf{n}^{11} - \sqrt{\frac{2}{3}} \dot{\nabla} n^{02} + \dot{\nabla} \cdot (-\sqrt{\frac{2}{5}} n^{20} + \sqrt{\frac{7}{5}} n^{21})$
 $- \frac{\sqrt{5}}{2} n \dot{\nabla} \ln T - 2\sqrt{\frac{2}{3}} \dot{\nabla} \ln T n^{02} + \dot{\nabla} \ln T \cdot (-\frac{9}{\sqrt{10}} n^{20} + 2\sqrt{\frac{7}{5}} n^{21}) + 2\sqrt{\frac{2}{5}} \frac{1}{v_T} \mathbf{a} \cdot \mathbf{n}^{20}$
 $+ \frac{7}{5} \nabla \cdot \mathbf{V} n^{11} + \frac{7}{5} n^{11} \cdot \nabla \mathbf{V} \cdot + \frac{2}{5} \nabla \mathbf{V} \cdot \mathbf{n}^{11} - 2\sqrt{\frac{3}{5}} \nabla \mathbf{V} : \mathbf{n}^{30} = \hat{A}^{11,00} n + \hat{C}^{11,11} n^{11} + \hat{C}^{11,12} n^{12}$
- $d_t n^{12} + d_t \ln T (-\sqrt{7} n^{11} + \frac{5}{2} n^{12}) + \Omega \mathbf{b} \times \mathbf{n}^{12} + \dot{\nabla} (\sqrt{\frac{7}{6}} n^{02} - n^{03}) + \dot{\nabla} \cdot (-\frac{2}{\sqrt{5}} n^{21} + \frac{3}{\sqrt{5}} n^{22})$
 $+ \dots + \nabla \cdot \mathbf{V} (-\frac{2\sqrt{7}}{5} n^{11} + \frac{9}{5} n^{12}) + (-\frac{2\sqrt{7}}{5} n^{11} + \frac{9}{5} n^{12}) \cdot \nabla \mathbf{V} \cdot + \nabla \mathbf{V} \cdot (-\frac{2\sqrt{7}}{5} n^{11} + \frac{4}{5} n^{12})$
 $+ \nabla \mathbf{V} : (4\sqrt{\frac{3}{35}} n^{30} - 6\sqrt{\frac{6}{35}} n^{31}) = \hat{A}^{12,00} n + \hat{C}^{12,11} n^{11} + \hat{C}^{12,12} n^{12}$
- $d_t n^{20} + d_t \ln T n^{20} + \Omega \mathbf{b} \times \mathbf{n}^{20} - \sqrt{\frac{2}{5}} \dot{\nabla} n^{11} + \sqrt{\frac{3}{2}} \dot{\nabla} \cdot \mathbf{n}^{30}$
 $- \frac{3}{\sqrt{10}} \dot{\nabla} \ln T n^{11} + \frac{3}{2} \sqrt{\frac{3}{2}} \nabla \ln T \cdot \mathbf{n}^{30} + \nabla \cdot \mathbf{V} n^{20} + 2n^{20} \cdot \nabla \mathbf{V} + \sqrt{2} n \overline{\nabla \mathbf{V}} = \hat{C}^{20,20} n^{20} + \hat{C}^{20,21} n^{21}$
- $d_t n^{21} + d_t \ln T (-\sqrt{\frac{7}{2}} n^{20} + 2n^{21}) + \Omega \mathbf{b} \times \mathbf{n}^{21} + \dot{\nabla} (\sqrt{\frac{7}{5}} n^{11} - \frac{2}{\sqrt{5}} n^{12}) + \dot{\nabla} \cdot (-\sqrt{\frac{3}{7}} n^{30} + 3\sqrt{\frac{3}{14}} n^{31})$
 $- 2\sqrt{\frac{7}{5}} \frac{1}{v_T} \mathbf{a} n^{11} + 2\sqrt{\frac{3}{7}} \frac{1}{v_T} \mathbf{a} \cdot \mathbf{n}^{30} + \dot{\nabla} \ln T (\frac{7}{2} \sqrt{\frac{7}{5}} n^{11} - \sqrt{5} n^{12}) + \dot{\nabla} \ln T \cdot (-6\sqrt{\frac{3}{7}} n^{30} + \frac{15}{2} \sqrt{\frac{3}{14}} n^{31})$
 $+ \nabla \cdot \mathbf{V} (-\sqrt{\frac{2}{7}} n^{20} + \frac{9}{7} n^{21}) + (-2\sqrt{\frac{2}{7}} n^{20} + \frac{18}{7} n^{21}) \cdot \nabla \mathbf{V} \cdot + \nabla \mathbf{V} \cdot (-2\sqrt{\frac{2}{7}} n^{20} + \frac{4}{7} n^{21})$
 $- 2\sqrt{\frac{14}{15}} \overline{\nabla \mathbf{V}} n^{02} - 2\sqrt{\frac{6}{7}} \nabla \mathbf{V} : \mathbf{n}^{40} = \hat{C}^{21,20} n^{20} + \hat{C}^{21,21} n^{21}$

For $\lambda_C / |\nabla_{\perp}^{-1}| \lesssim 1$ instead of $\lambda_C / |\nabla_{\perp}^{-1}| \ll 1$ (Braginskii),

- Mikhailovskii and Tsypin 1971 kept some $\dot{\nabla} \ln T$ and a terms and n^{02} and n^{03} equations but no higher order moments n^{30}, n^{31}, \dots
- Catto and Simakov 2014 calculated up to f_2 in the drift ordering

\Rightarrow May be a good approximation for transverse closures but not for parallel

Parallel moment equations for closures (in matrix form)

$$[\psi]\partial_\eta[n] + \{\partial_\eta \ln B[\Psi_B] + [\Phi](\partial_\eta \ln T) + \hat{E}_\parallel[\Theta]\}[n] = [c]\bar{n}_\parallel + [g]$$

- Notation simplified $n^{lk} = \bar{n}_\parallel^{lk}$, $d\eta = d\ell/\lambda_C$, $\lambda_C = v_T\tau$

$$\begin{bmatrix} 0 & \psi^0 \\ \tilde{\psi}^0 & 0 & \psi^1 \\ & \tilde{\psi}^1 & 0 & \psi^2 \\ & & \tilde{\psi}^2 & 0 & \ddots \\ & & & \ddots & \ddots \end{bmatrix} \begin{bmatrix} \partial_\eta \bar{n}^0 \\ \partial_\eta \bar{n}^1 \\ \partial_\eta \bar{n}^2 \\ \partial_\eta \bar{n}^3 \\ \vdots \end{bmatrix} = \begin{bmatrix} c^0 \bar{n}^0 \\ c^1 \bar{n}^1 \\ c^2 \bar{n}^2 \\ c^3 \bar{n}^3 \\ \vdots \end{bmatrix} + \begin{bmatrix} 0 \\ \bar{g}^1 \\ \bar{g}^2 \\ 0 \\ \vdots \end{bmatrix}$$

where $\hat{V}_{ei\parallel} = \frac{\mathbf{b} \cdot (\mathbf{V}_e - \mathbf{V}_i)}{v_T}$, $W_\parallel = \mathbf{b}\mathbf{b} : \mathbf{W}$, $(\mathbf{W})_{\alpha\beta} = \partial_\alpha V_\beta + \partial_\beta V_\alpha - \frac{2}{3}\delta_{\alpha\beta}\nabla \cdot \mathbf{V}$,

$$\bar{n}^0 = \begin{pmatrix} n^{02} \\ n^{03} \\ n^{04} \\ \vdots \end{pmatrix}, \quad \bar{n}^1 = \begin{pmatrix} n^{11} \\ n^{12} \\ n^{13} \\ \vdots \end{pmatrix}, \quad \bar{n}^{l \geq 2} = \begin{pmatrix} n^{l0} \\ n^{l1} \\ n^{l2} \\ \vdots \end{pmatrix}$$

$$\bar{g}^1 = \begin{pmatrix} \sqrt{2}Za_{ei}^{110}n\hat{V}_{ei\parallel} + \frac{\sqrt{5}}{2}\frac{n}{T}\frac{dT}{d\eta} \\ \sqrt{2}Za_{ei}^{120}n\hat{V}_{ei\parallel} \\ \sqrt{2}Za_{ei}^{130}n\hat{V}_{ei\parallel} \\ \vdots \end{pmatrix}, \quad \bar{g}^2 = \begin{pmatrix} -\frac{\sqrt{3}}{2}n\tau_{ee}W_\parallel \\ 0 \\ 0 \\ \vdots \end{pmatrix}$$

Solving the linear ODE system

- Linear system of ordinary differential equations

$$[\Psi] \frac{d[n]}{d\eta} = [c][n] + [g] \Rightarrow \frac{d[n]}{d\eta} = [\Psi^{-1}c][n] + [\Psi^{-1}g]$$

- Diagonalize using the eigensystem $[\Psi^{-1}c][W_A] = k_A[W_A]$

$$n_A(\eta) = \sum_D \int^\eta \underbrace{\sum_{BC} W_{AB} W_{BC}^{-1} \Psi_{CD}^{-1}}_{\gamma_{AD}^B} e^{k_B(\eta-\eta')} g_D(\eta') d\eta'$$

- Integral form of closures $n_A(\eta) = \sum_D \int_{-\infty}^{\infty} K_{AD}(\eta - \eta') g_D(\eta') d\eta'$

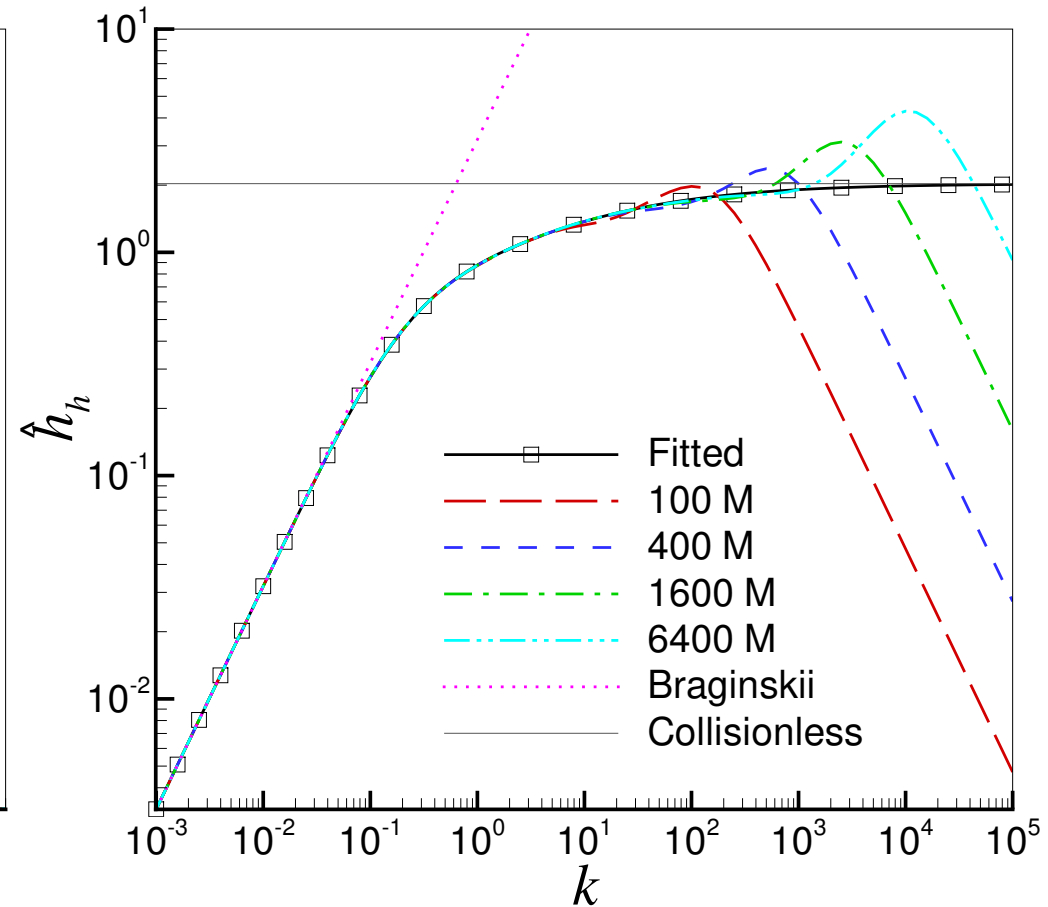
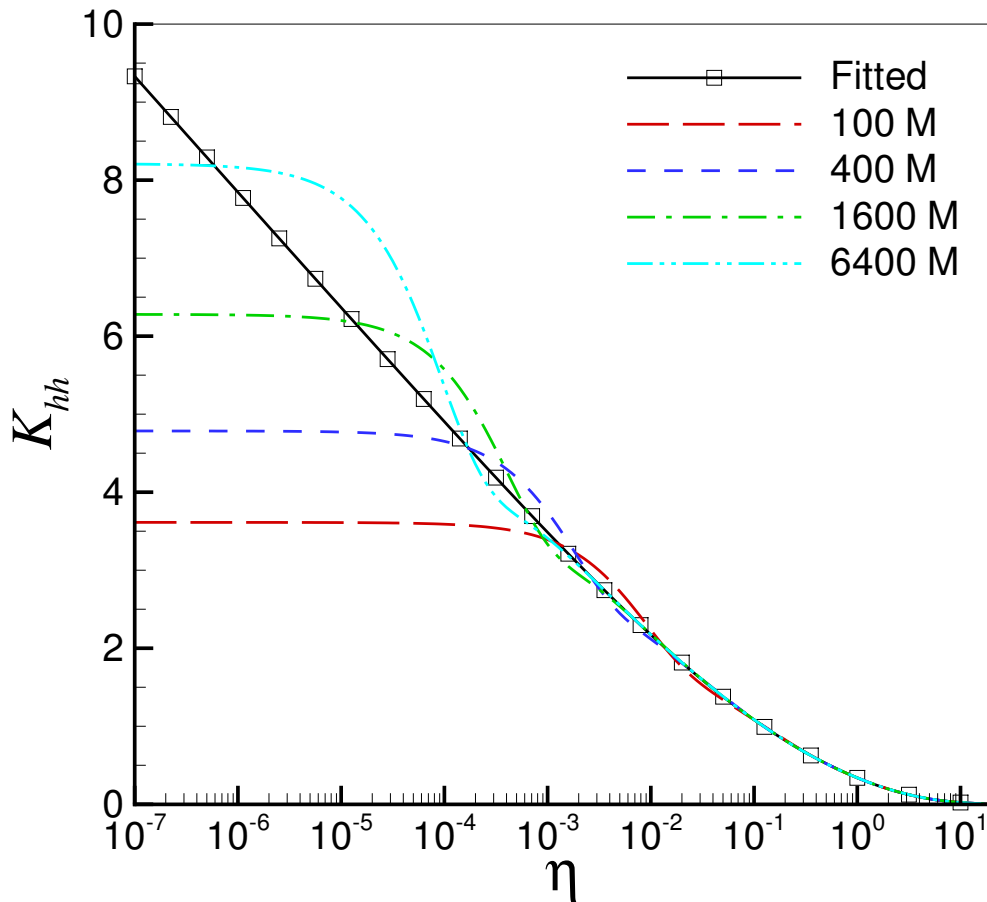
where Kernel $K_{AD}(\eta > 0) = \sum_{\{B|k_B < 0\}} \gamma_{AD}^B e^{k_B \eta}$ (too many terms)

- For sinusoidal drives ($k_g \ell = \frac{2\pi}{\lambda_g} \ell = 2\pi \frac{\lambda_C}{\lambda_g} \eta = k\eta$)

$$\int_{-\infty}^{\infty} K_{AD}(\eta - \eta') \cos k\eta' d\eta' = \begin{cases} \sum_{B=1}^N \frac{-\gamma_{AD}^B k_B}{k_B^2 + k^2} \cos k\eta, & AD = hh, hR, RR, \pi\pi \\ \sum_{B=1}^N \frac{\gamma_{AD}^B k}{k_B^2 + k^2} \sin k\eta, & AD = h\pi, R\pi \end{cases}$$

Convergence test

Heat flow (n^{11}) for $T = T_0(1 + \epsilon_T \sin k\eta)$, $k = 2\pi\lambda_C/\lambda_T$



- SSPX, **1600 M**, better agreement with temperature measurements [Ji et al 2009 PoP]
- SOL, **900 M**, capturing kinetic effects and more efficient than PIC [Omotani & Dudson 2013 PPCF]

Parallel heat flow: Braginskii vs. integral closures

- Integral closures

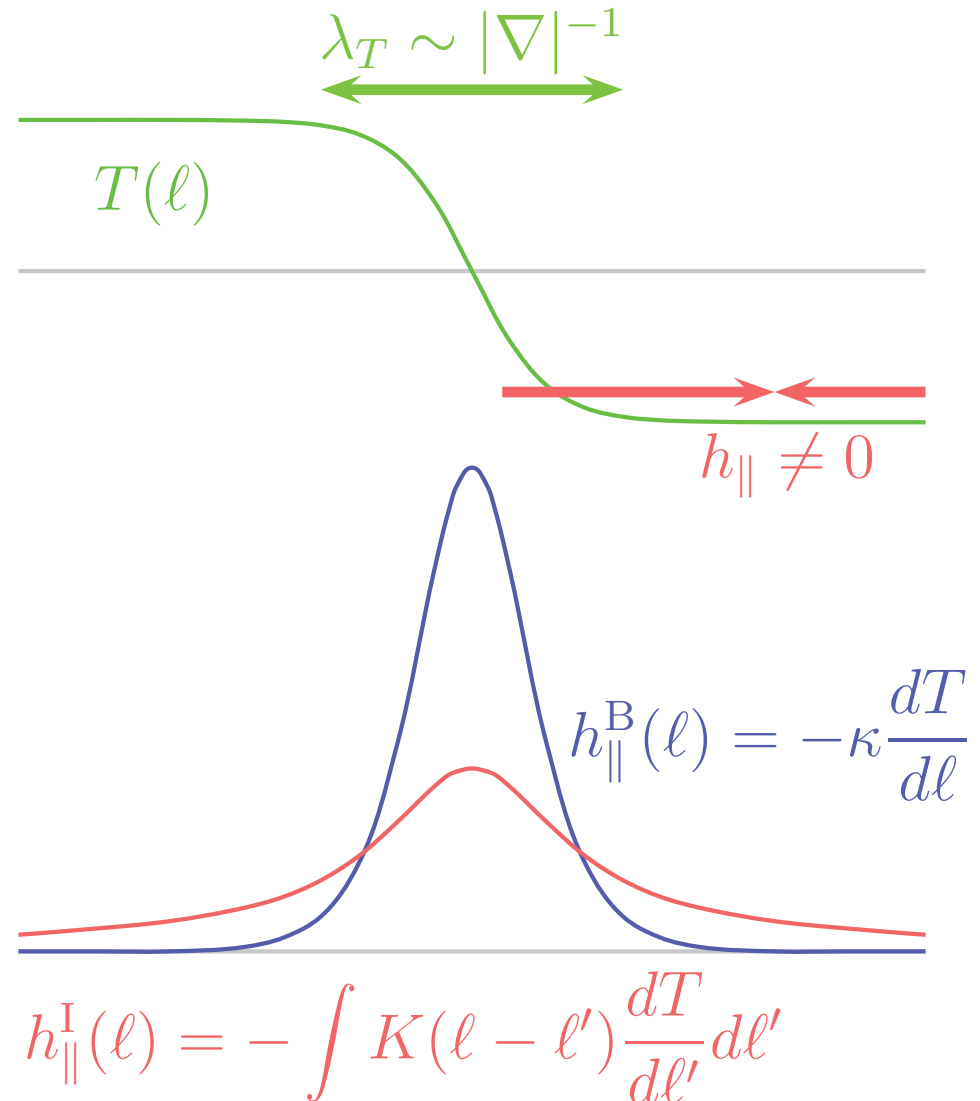
- ★ For convergence, lower collisionality requires more moments

- ★ For $k = \lambda_C / |\nabla^{-1}| \sim 100$, 6400 moments

$$K(\eta) = \sum_{\{B|k_B < 0\}} \gamma_B e^{k_B \eta}$$

⇒ Find simple fitted functions

- ★ For the collisionless limit, solve a kinetic equation with $C(f) = -\nu(f - f^M)$ and take the $\nu \rightarrow 0$ limit



Obtaining fitted kernel functions

- Fitted to the **6400 moment solution** in the convergent regime

$$\text{error} \lesssim 1 \% \quad \text{for} \quad k = 2\pi \frac{\lambda_C}{\lambda_g} \lesssim 100 \quad (\text{nearly collisionless})$$

- In the $z = \frac{\ell}{\lambda_C} \rightarrow 0$ limit, let kernels behave **asymptotically collisionless**

Collisional limit [Braginskii]

$$h_{\parallel} = -3.20 \frac{nT\tau_{ee}}{m} \partial_{\parallel} T + 0.703 n T V_{ei\parallel}$$

$$R_{\parallel} = -0.703 n \partial_{\parallel} T - 0.504 \frac{mn}{\tau_{ei}} V_{ei\parallel}$$

$$\pi_{\parallel} = -0.978 n T \tau_{ee} \frac{3}{4} W_{\parallel}$$

Collisionless limit [Ji et al 2013 PoP]

$$h_{\parallel}(\eta) = \frac{9}{5\pi^{3/2}} n_0 v_0 \int_{-\infty}^{\infty} d\eta' \frac{T_1(\eta')}{\eta - \eta'} - \frac{2}{5} p_0 V_{\parallel}(\eta)$$

$$R_{\parallel} = 0$$

$$\pi_{\parallel}(\eta) = -\frac{2}{5} n_0 T_1(\eta) + \frac{4}{5\sqrt{\pi}} \frac{p_0}{v_0} \int_{-\infty}^{\infty} d\eta' \frac{V_{\parallel}(\eta')}{\eta - \eta'}$$

- Asymptotic behavior for $\eta \ll 1$

$$K_{hh}(\eta) \approx -\frac{18}{5\pi^{3/2}} (\ln |\eta| + \gamma_h)$$

$$K_{h\pi}(\eta) \approx \frac{1}{5}$$

$$K_{\pi\pi}(\eta) \approx -\frac{4}{5\pi^{1/2}} (\ln |\eta| + \gamma_{\pi})$$

Integral (nonlocal) parallel closures with fitted kernels

[Ji et al 2014 2016 2017 PoP]

- Closure n_A responding to g_B :

$$n_{AB}(\eta) = \int d\eta' K_{AB}(\eta - \eta') g_B(\eta'), \quad A, B = h, R, \pi$$

$$h_{\parallel}(\eta) = -\frac{1}{2} T v_T \int d\eta' K_{hh} \frac{n}{T} \frac{dT}{d\eta'} + T v_T \int d\eta' K_{hR} Z n \frac{V_{ei\parallel}}{v_T} - T v_T \int d\eta' K_{h\pi} \left(\frac{3}{4} n \tau_{ee} W_{\parallel} \right)$$

$$R_{\parallel}(\eta) = -\frac{m n}{\tau_{ei}} V_{ei\parallel} + \frac{m v_T}{\tau_{ei}} \int d\eta' \left[-K_{Rh} \frac{n}{2T} \frac{dT}{d\eta'} + K_{RR} Z n \frac{V_{ei\parallel}}{v_T} - K_{R\pi} \left(\frac{3}{4} n \tau_{ee} W_{\parallel} \right) \right]$$

$$\pi_{\parallel}(\eta) = -T \int d\eta' K_{\pi h} \frac{n}{T} \frac{dT}{d\eta'} + 2T \int d\eta' K_{\pi R} Z n \frac{V_{ei\parallel}}{v_T} - T \int d\eta' K_{\pi\pi} \left(\frac{3}{4} n \tau_{ee} W_{\parallel} \right)$$

- Fitted kernel functions

$$K_{AB}(\eta) = -[d + a \exp(-b\eta^c)] \ln[1 - \alpha \exp(-\beta\eta^\gamma)]$$

	a	b	c	d	α	β	γ
K_{hh}	-5.32	0.170	0.646	6.87	1	2.02	0.417
K_{hR}	6.37	5.12	0.160	0.100	1	1	0.583
$K_{h\pi}$	-0.229	2.26	0.594	0.363	0.775	1.49	0.478
K_{RR}	245	8.06	0.147	0.432	1	3.40	0.347
$K_{R\pi}$	-0.226	3.21	0.678	0.696	1	3.40	0.347
$K_{\pi\pi}$	0.724	0.932	0.654	0.195	1	1.60	0.491

Parallel closures in k space (for single harmonic drives)

- Fourier transform (FT) of integral closures: $\tilde{n}_{AB}(k) = \tilde{K}_{AB}(k)\tilde{g}_B(k)$

$$\tilde{h}_{\parallel} = -\frac{1}{2}n_0v_0\tilde{K}_{hh}ik\tilde{T}_1 + p_0\tilde{K}_{hR}\tilde{u}_{ei} - p_0\tilde{K}_{h\pi}ik\tilde{u}$$

$$\tilde{R}_{\parallel} = -\frac{1}{2}\frac{mv_0}{\tau_{ee}}\frac{n_0}{T_0}\tilde{K}_{Rh}ik\tilde{T}_1 - \frac{mn_0}{\tau_{ee}}\left(1 - \tilde{K}_{RR}\right)\tilde{u}_{ei} - \frac{mn_0}{\tau_{ee}}\tilde{K}_{R\pi}ik\tilde{u}$$

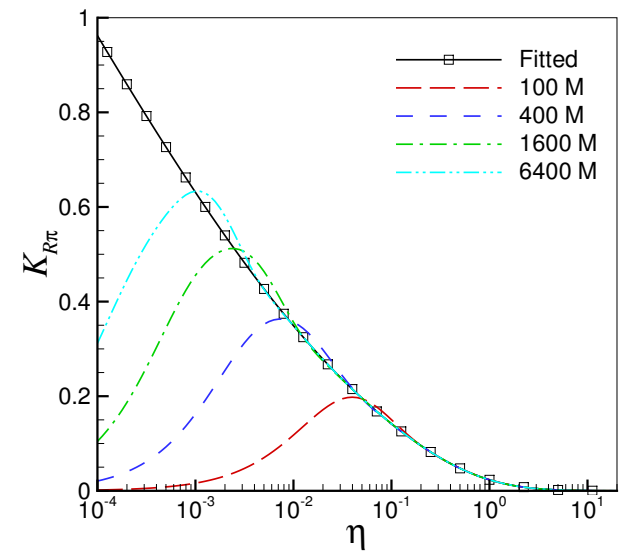
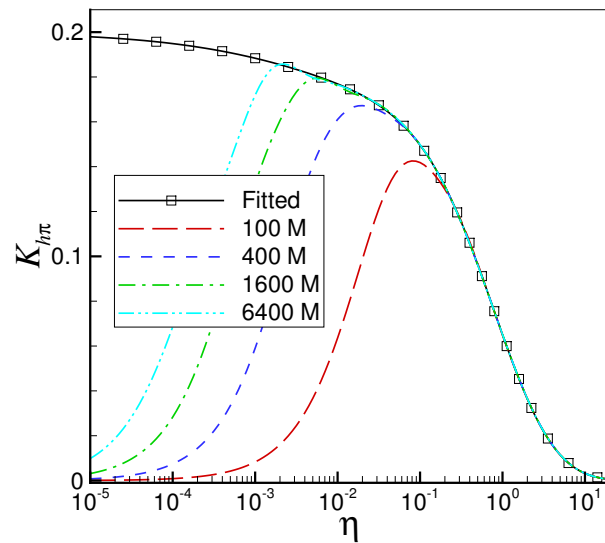
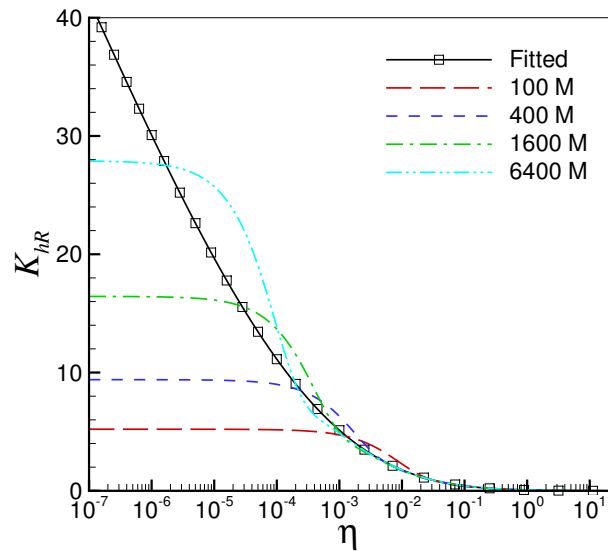
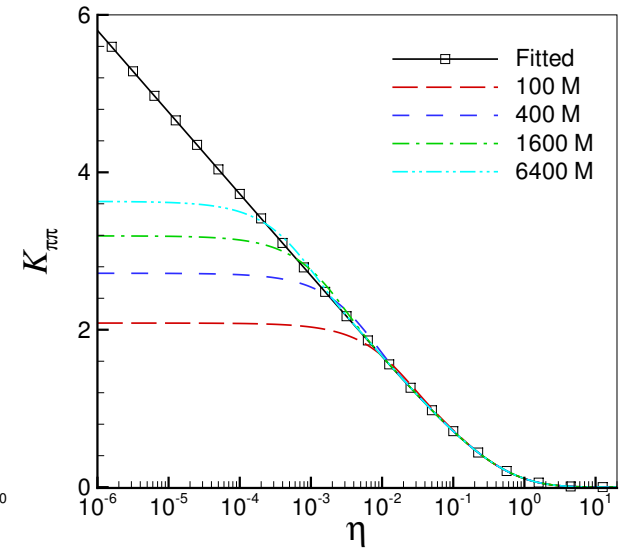
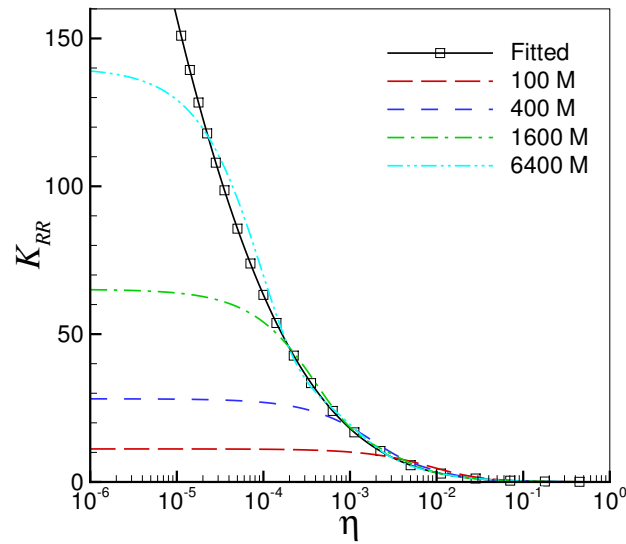
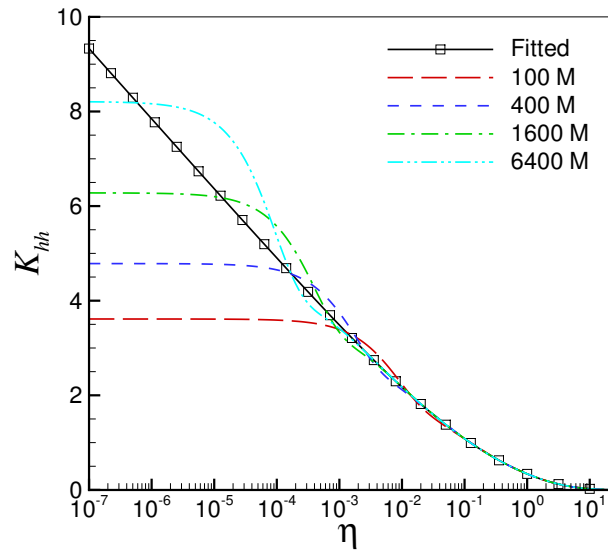
$$\tilde{\pi}_{\parallel} = -n_0\tilde{K}_{\pi h}ik\tilde{T}_1 + 2\frac{p_0}{v_0}\tilde{K}_{\pi R}\tilde{u}_{ei} - \frac{p_0}{v_0}\tilde{K}_{\pi\pi}ik\tilde{u}$$

- Fitted kernels in k space

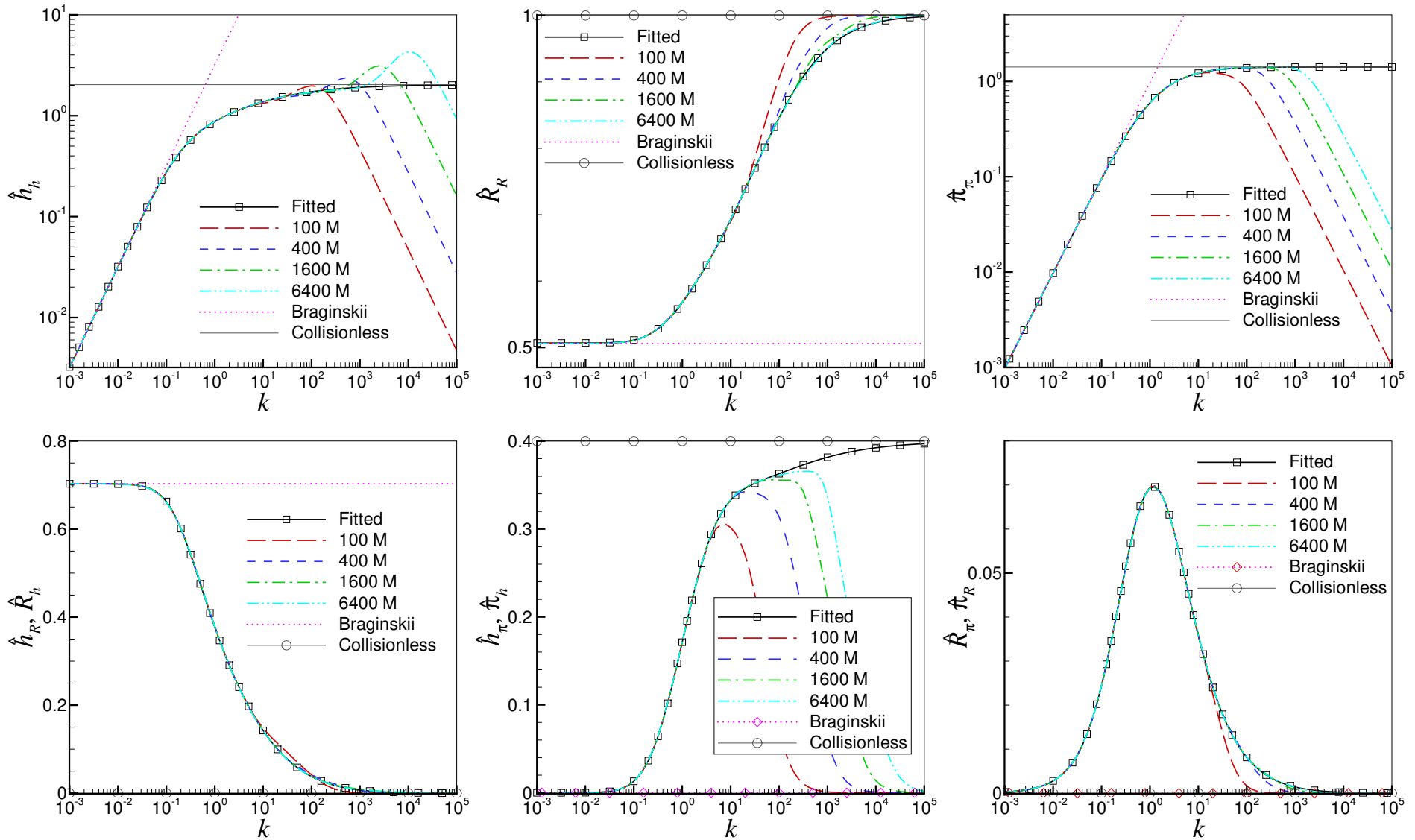
$$\tilde{K}_{AB} = \frac{ak^{\alpha}}{1 + d_1k^{\delta} + d_2k^{2\delta} + d_3k^{3\delta} + d_4k^{4\delta} + d_5k^{5\delta} + d_6k^{6\delta}}$$

	a	α	δ	d_1	d_2	d_3	d_4	d_5	d_6	err.
\tilde{K}_{hh}	3.20	0	1/6	0.675	-2.65	1.30	1.97	-0.279	1.58	2.9%
\tilde{K}_{hR}	0.703	0	1/6	0	0.837	-4.94	8.43	-4.33	0.824	2.7%
$i\tilde{K}_{h\pi}$	1.83	1	1/3	1.497	-9.36	21.7	-12.9	4.11	4.575	1.3%
\tilde{K}_{RR}	0.494	0	1/6	0.0648	-0.431	0.670	-0.198	0.0347	0	1.0%
$i\tilde{K}_{R\pi}$	0.284	1	1/3	0.387	-2.67	6.71	-3.39	2.06	0	1.5%
$\tilde{K}_{\pi\pi}$	0.978	0	1/6	0	0.337	-1.37	1.35	-0.375	0.690	0.8%

Fitted kernel functions

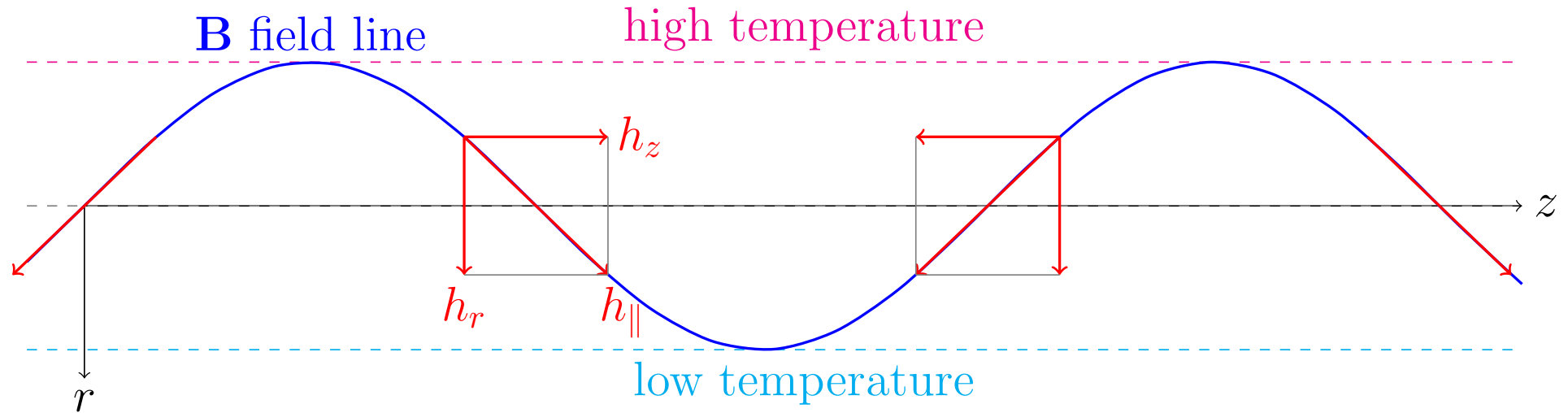


Closures responding to sinusoidal drives (kernels in k space)



A1. Radial heat flow due to a sinusoidal magnetic field line

[Ji et al 2016 PPCF]



- Magnetic field fluctuation introduces temperature fluctuation

$$r = r_0 + r_{\delta} \sin \frac{2\pi}{\lambda} z \rightarrow T = T_0 + T_{\delta} \sin \frac{2\pi}{\lambda} z \text{ where } T_{\delta} = \frac{dT_0}{dr} r_{\delta}$$

- For small fluctuation $B_{\delta} \ll B_0$ (RMP $B_{\delta}/B_0 \sim 10^{-4}$)

$$\langle h_r \rangle = -\frac{1}{8\pi} n_0 v_T \hat{h}(k) \lambda \left(\frac{B_{\delta}}{B_0} \right)^2 \frac{dT_0}{dr}, \quad \chi_{\text{eff}} = \frac{1}{8\pi} v_T \hat{h}(k) \lambda \left(\frac{B_{\delta}}{B_0} \right)^2$$

- Collisionless limit $\lim_{k \rightarrow \infty} \hat{h}(k) \approx 2.03 \approx 2$

$$\text{Rechester-Rosenbluth } \chi_{\text{eff}}^{\text{RR}} = \pi R v_T \left(\frac{B_{\delta}}{B_0} \right)^2 \quad (2\pi R \text{ is the period of the system})$$

$$\frac{\chi_{\text{eff}}}{\chi_{\text{eff}}^{\text{RR}}} = \frac{1}{4\pi^2} \frac{\lambda}{R} = \frac{1}{2\pi n} \text{ for } \lambda = \frac{2\pi R}{n}$$

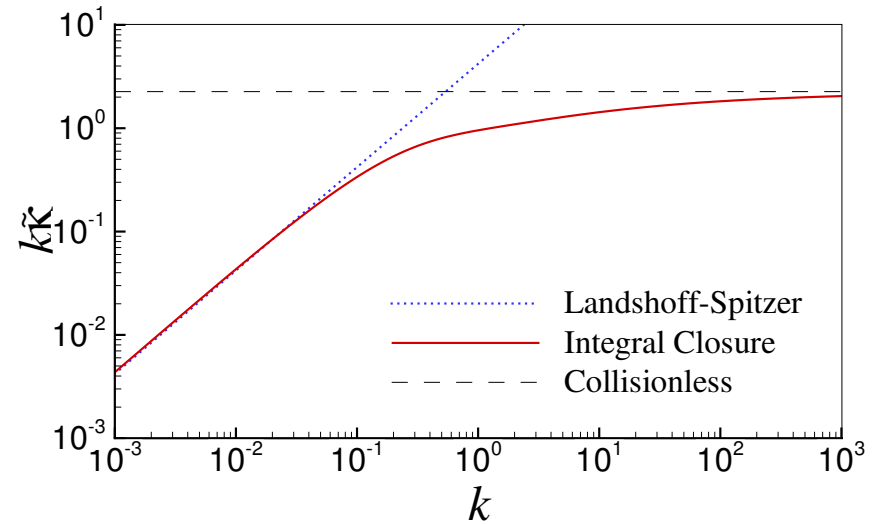
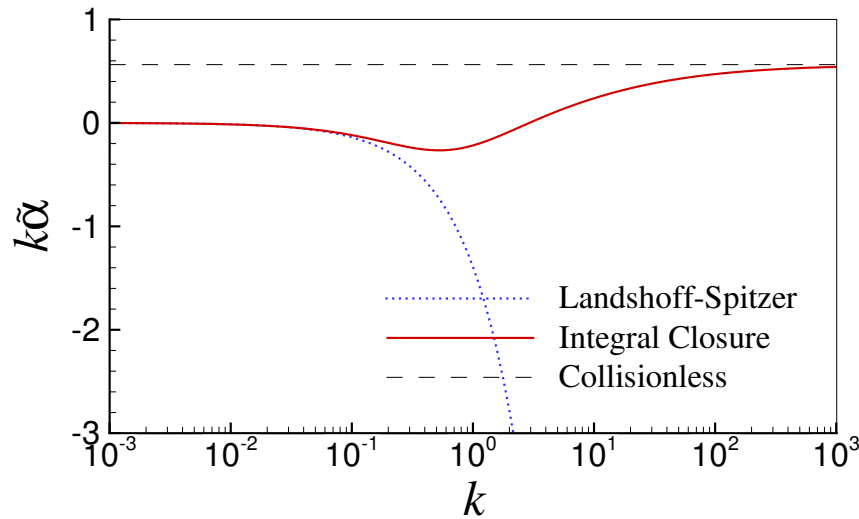
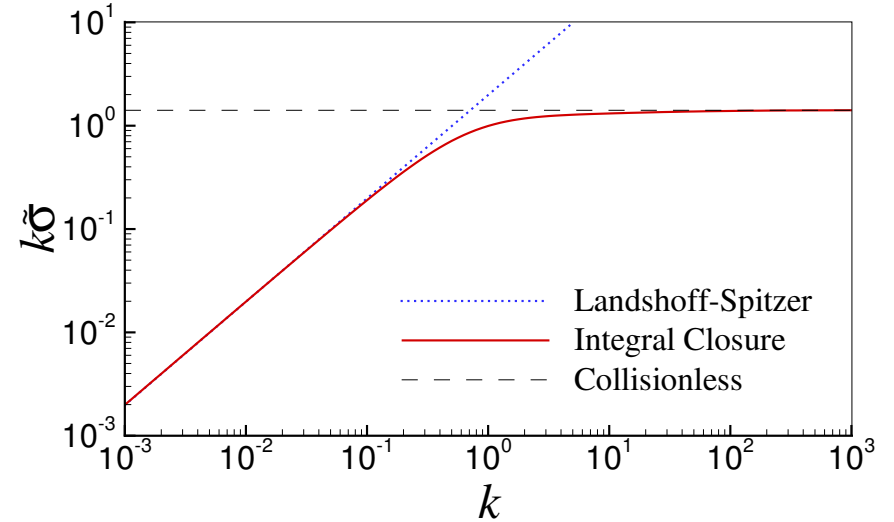
A2. Electron parallel transport for arbitrary collisionality

[Ji et al 2017 PoP]

- Momentum balance equation with integral closures $ne\tilde{E}_{\parallel} + ik_{\parallel}\tilde{p} + ik_{\parallel}\tilde{\pi}_{\parallel} = \tilde{R}_{\parallel}$
- Transport relations in k space

$$\tilde{J}_{\parallel} = \frac{n_0 e^2 \tau_{ei}}{m} \tilde{\sigma}_{\parallel} \tilde{E}_{\parallel} - \frac{n_0 e \tau_{ei}}{m} \tilde{\alpha}_{\parallel} ik \tilde{T}$$

$$\tilde{h}_{\parallel} = \frac{n_0 e T_0 \tau_{ei}}{m} \tilde{\alpha}_{\parallel} \tilde{E}_{\parallel} - \frac{n_0 T_0 \tau_{ei}}{m} \tilde{\kappa}_{\parallel} ik \tilde{T}$$



★ Magnetic reconnection time $\frac{\tau_{\text{rec}}}{\tau_{\text{rec}}^{\text{Spitzer}}} = \frac{\sigma_{\parallel}}{\sigma_{\parallel}^{\text{Spitzer}}} \xrightarrow{k \rightarrow \infty} \frac{1}{1.4k} = \frac{1}{8.8} \frac{\lambda}{\lambda_C}$

Summary and future work

- Perfected Braginskii closure theory for high collisionality ($Kn \ll 1$)
 - Obtained convergent solutions
 - Included ion-electron collision effects for the ion closures
 - Arbitrary ion charge number Z and Hall parameter x
- Integral (nonlocal) parallel closures
 - Arbitrary collisionality
 - Electron for $1 \leq Z \leq 10$ [Ji et al 2016 PoP]
 - Being extended to $Z > 10$
 - Ion for $T_i/T_e \lesssim 10$ and arbitrary Z [Ji et al 2017 PoP]
- Parallel closures with inhomogeneous coupling terms
$$[\psi]\partial_{\parallel}[n] + \{\partial_{\parallel} \ln B[\Psi_B] + [\Phi](\partial_{\parallel} \ln T) + \hat{E}_{\parallel}[\Theta]\}[n] = [c][n] + [g]$$
 - Fourier method
 - $\partial_{\parallel} \ln B$: neoclassical effects
- Impurity transport: multiple ion species
- Partially ionized plasmas: ionization, recombination, and charge exchange operators

Thanks

and

enjoy the Moments!