

# Electron heating in helicity-barrier-mediated turbulence

T. Adkins<sup>1,2</sup>, R. Meyrand<sup>2</sup>, and J. Squire<sup>2</sup>

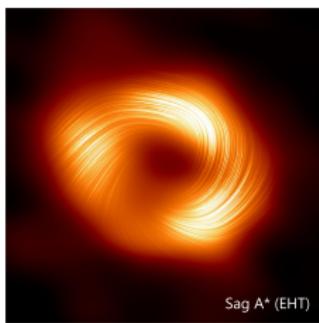
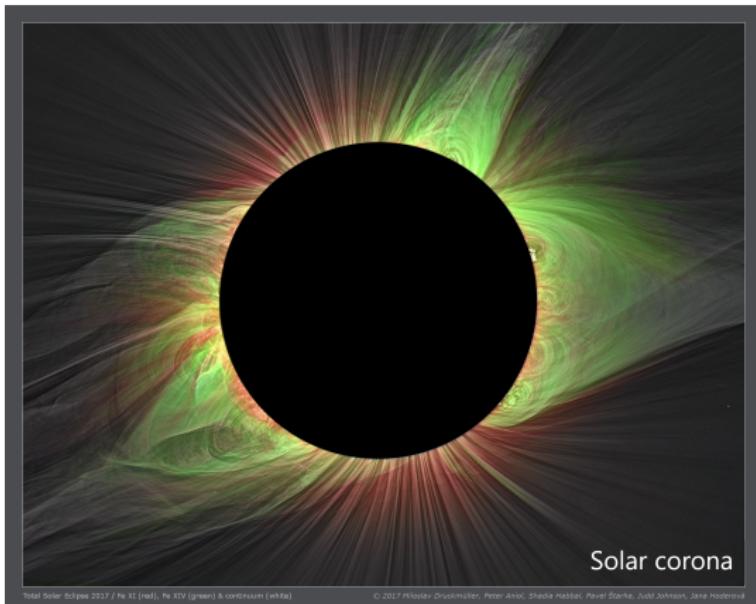
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Princeton, NJ, 08540, US

<sup>2</sup>Department of Physics, University of Otago,  
Dunedin, 9016, NZ

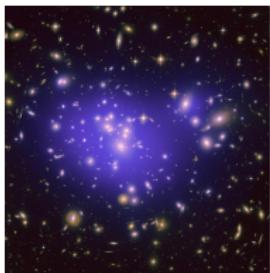
PPPL Theory Seminar, 12/12/24



## Large-scale motions

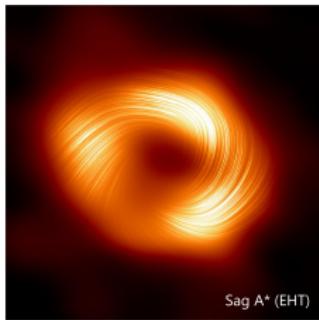
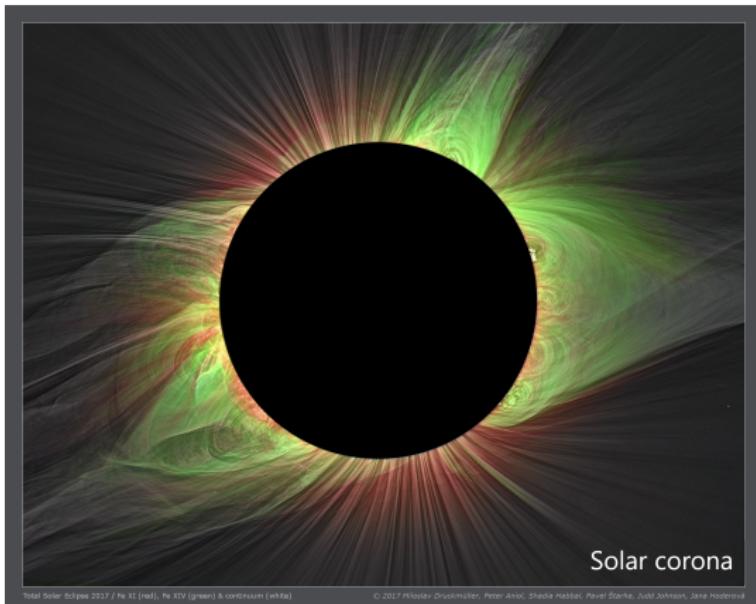


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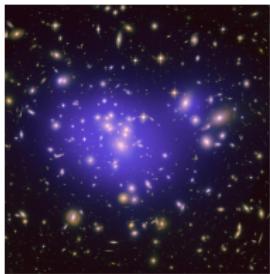


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- ▶ Problem: since such motions do not dissipate, how is this energy thermalised?

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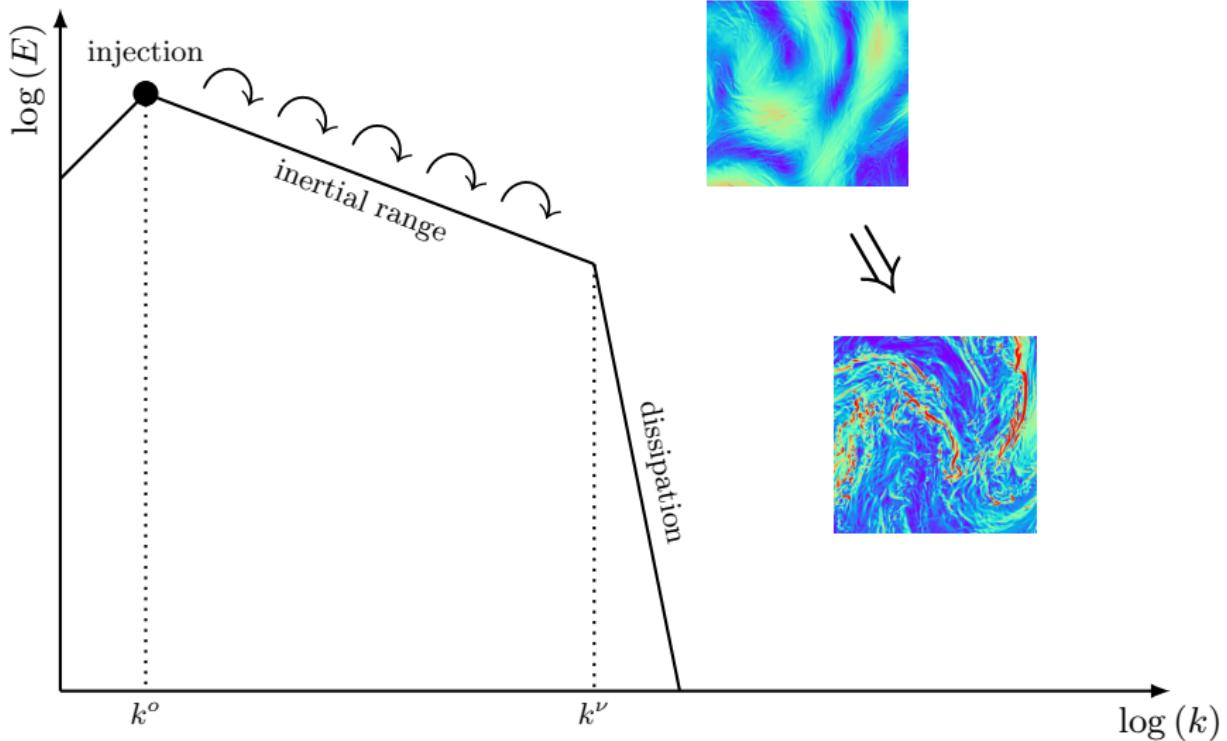


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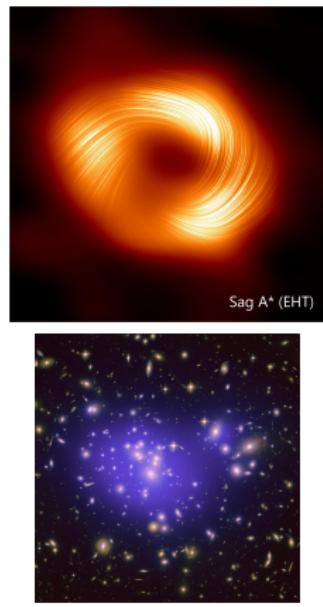
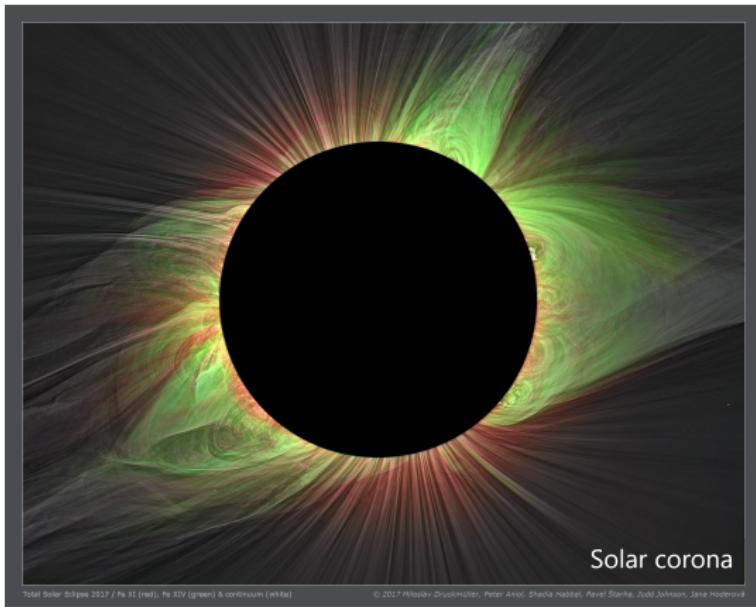


- ▶ Many astrophysical plasma systems have significant energy content in large-scale motions on scales comparable to the size of the system  $\sim L$ .
- ▶ Problem: since such motions do not dissipate, how is this energy thermalised?
- ▶ An answer: **turbulent heating!**

## Turbulent cascade [à la Kolmogorov (1941)]



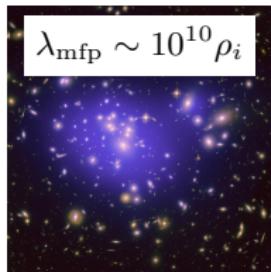
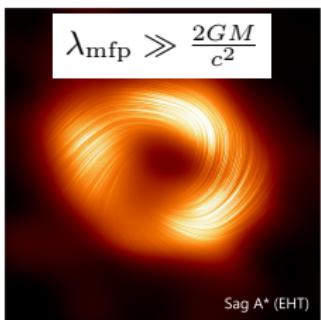
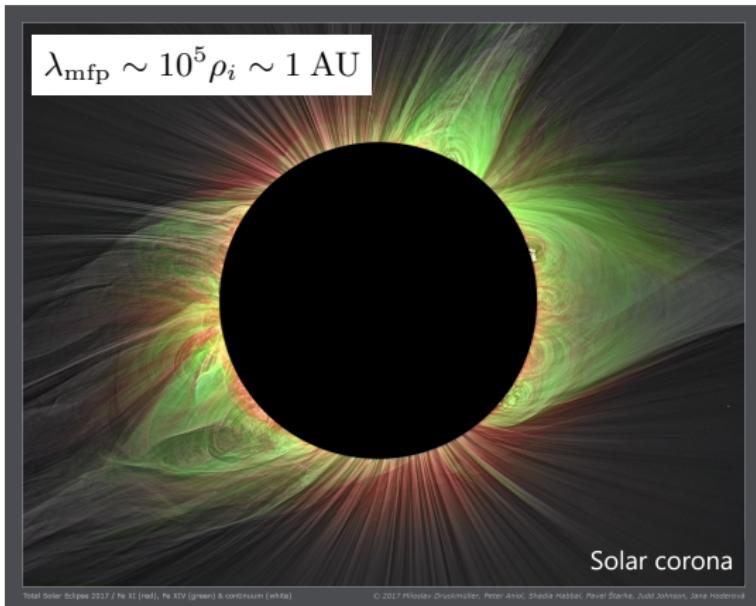
## Weakly collisional plasmas



- ▶ Such plasmas are often *weakly collisional*, having characteristic dynamical timescales approaching those of the inter-particle collisions.

$$\frac{\lambda_{\text{mfp}}}{\rho_i} \sim 10^6 \left( \frac{B}{\mu\text{G}} \right) \left( \frac{T}{10^6 \text{ K}} \right)^{3/2} \left( \frac{n}{1 \text{ cm}^{-3}} \right)^{-1}.$$

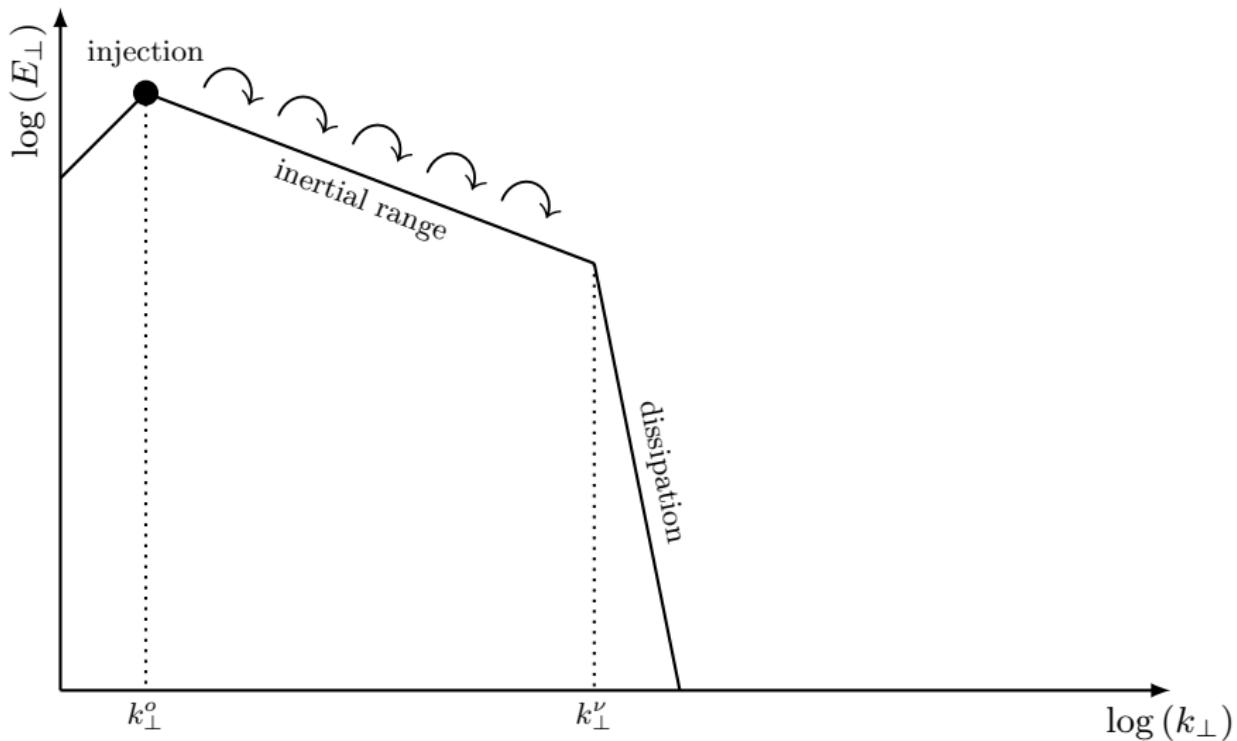
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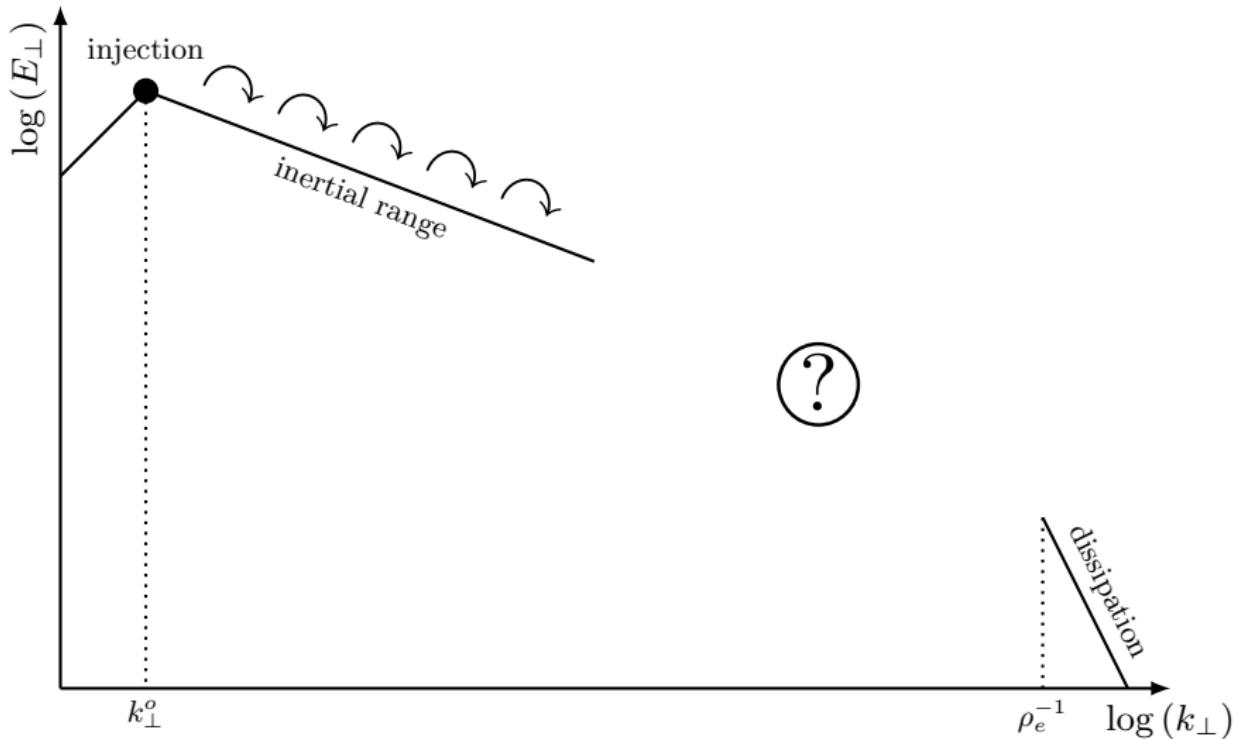
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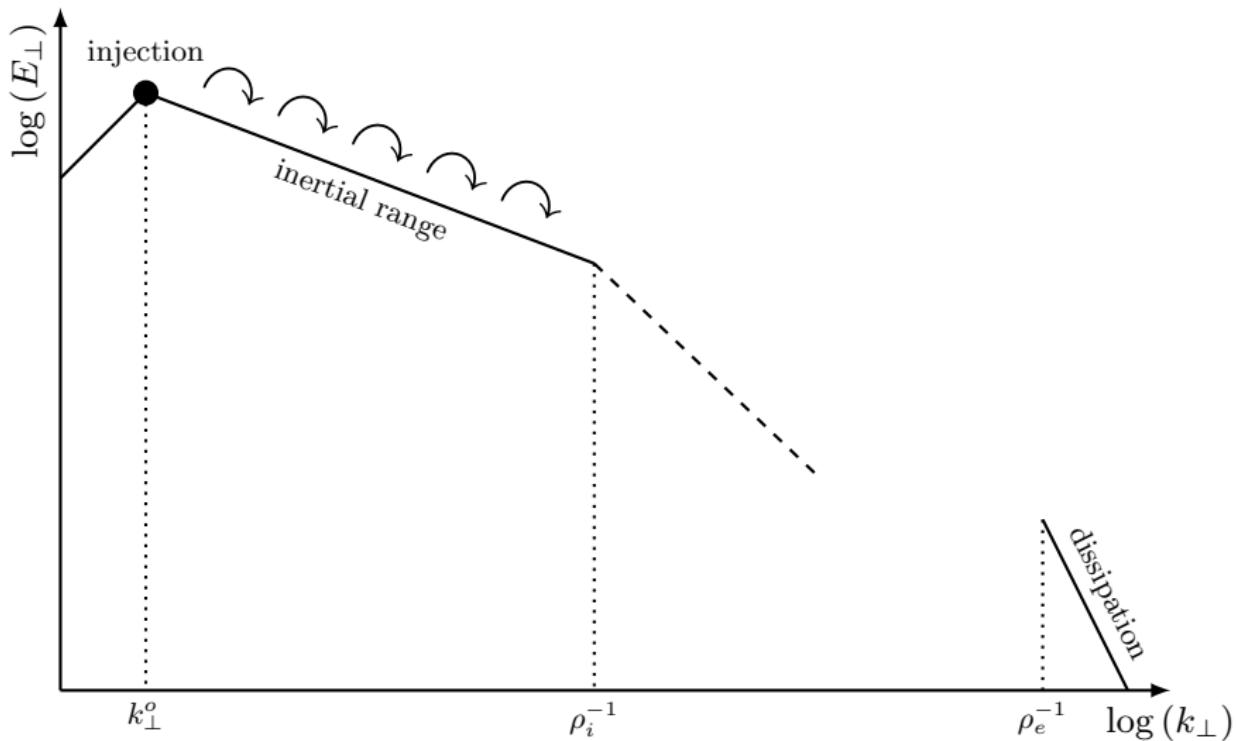
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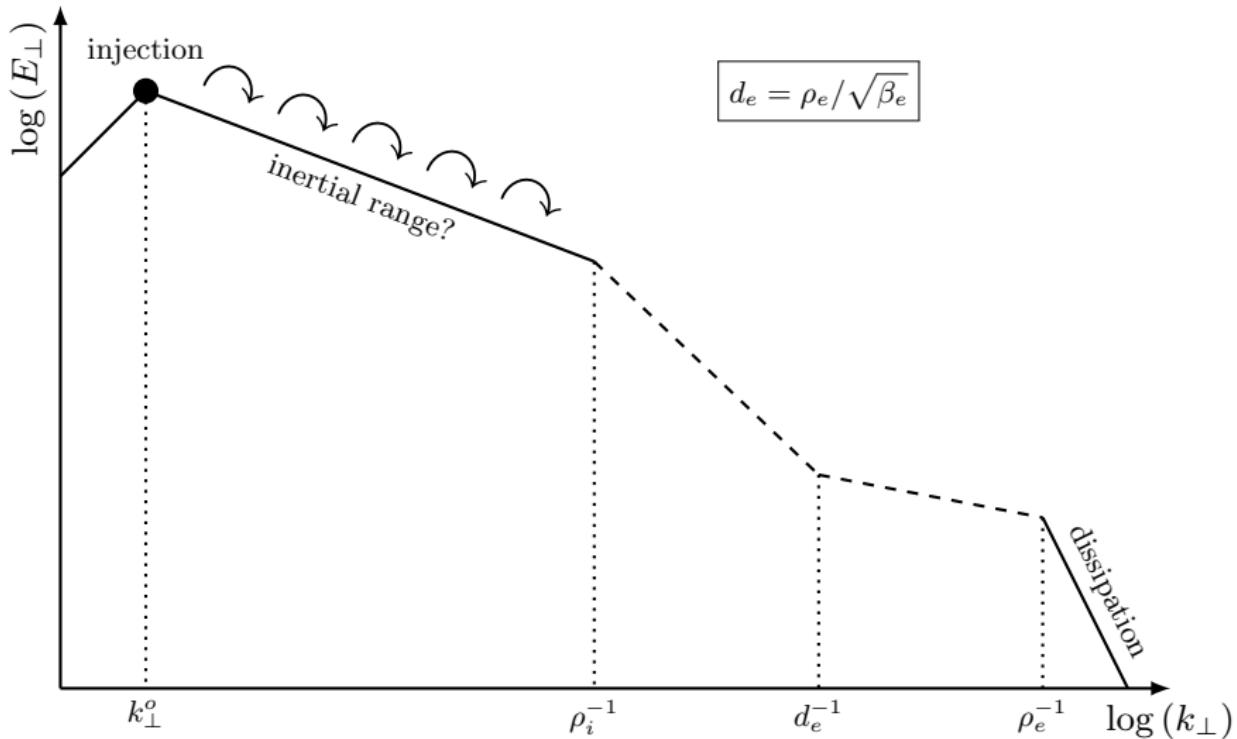
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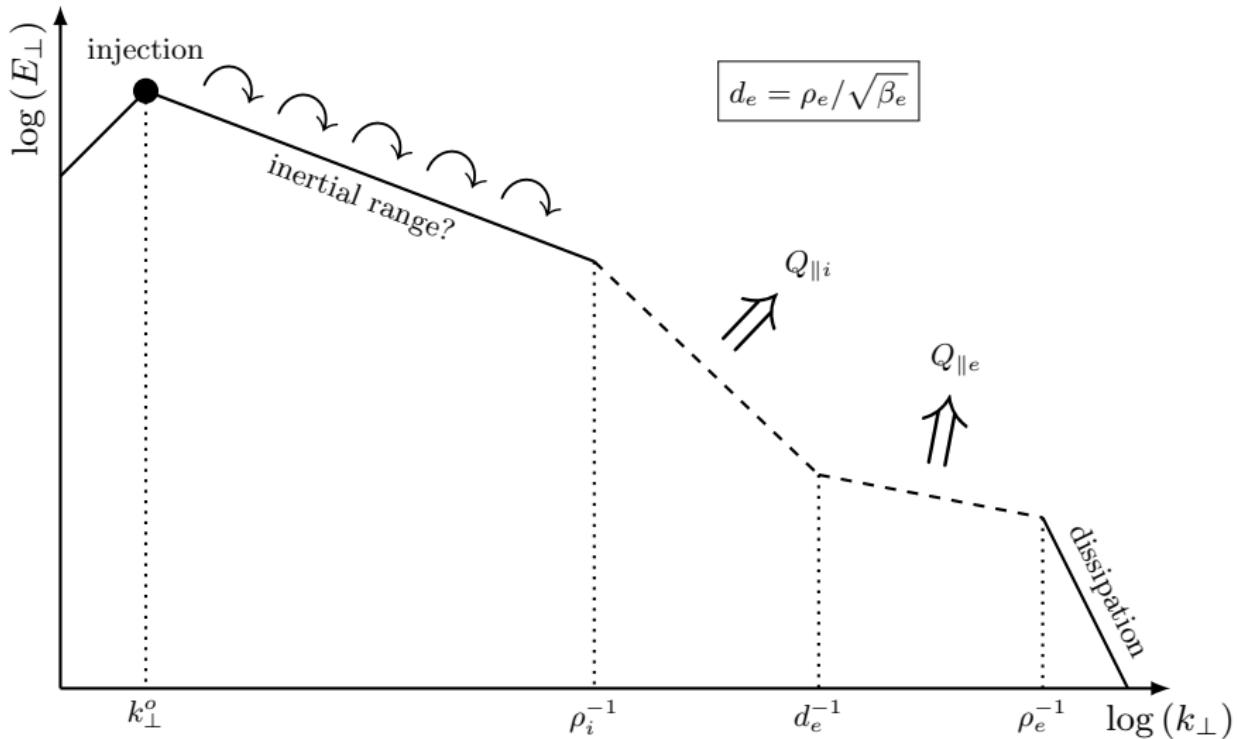
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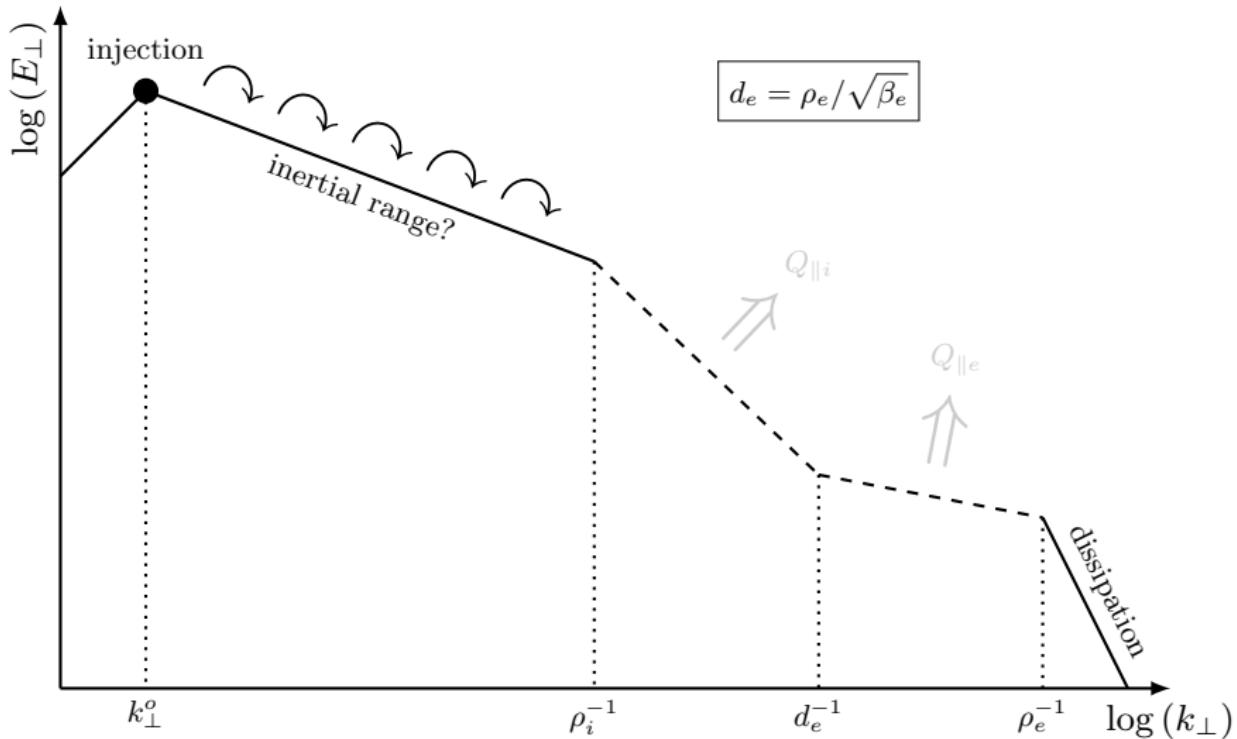
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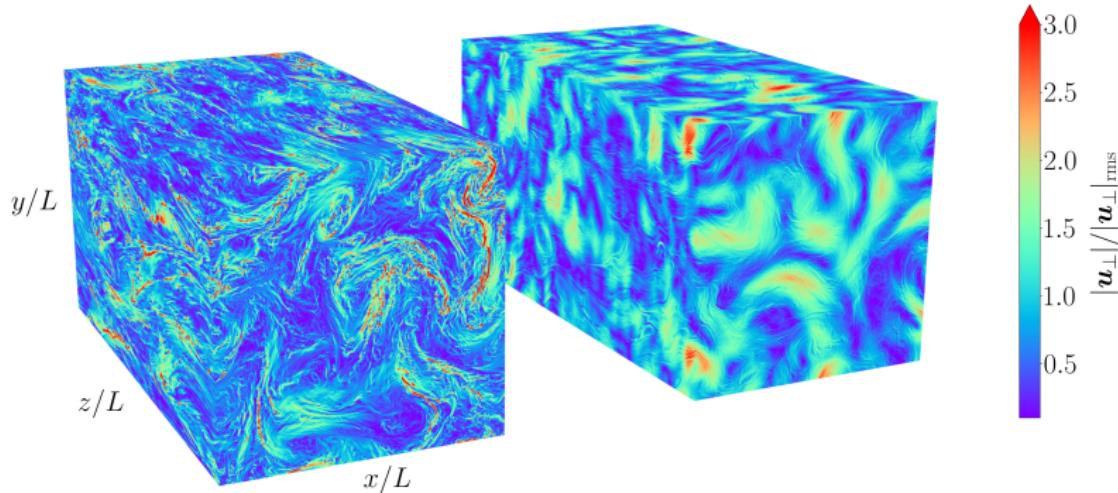
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## Some foreshadowing...



- ▶ The turbulence, and resultant heating, is **fundamentally different** depending on the value of  $\beta_e$ . Above shows two otherwise identical simulations with  $d_e = \rho_i$  (left) and  $d_e = \rho_i/2$  (right).
- ▶ A direct consequence of the role of **finite electron inertia** on the **helicity barrier** (see also [Meyrand et al., 2021](#); [Squire et al., 2022, 2023](#), for more on the helicity barrier).

## Model equations

- ▶ Anisotropy of fluctuations  $k_{\parallel} \ll k_{\perp}$  appears to be well-satisfied at small scales in the solar wind ([Chen et al., 2013](#); [Chen, 2016](#))  $\Rightarrow$  gyrokinetics

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- ▶ Closed fluid system:

$$\frac{d\delta n_e}{dt} + n_{0e} \nabla_{\parallel} u_{\parallel e} = 0,$$
$$m_e n_{0e} \frac{du_{\parallel e}}{dt} + T_{0e} \nabla_{\parallel} \delta n_e = -en_{0e} \left( \frac{1}{c} \frac{\partial A_{\parallel}}{\partial t} + \nabla_{\parallel} \phi \right).$$

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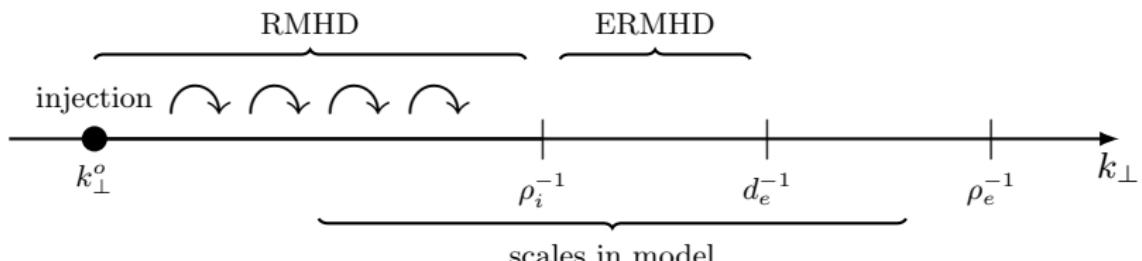
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- ▶ Perpendicular scales:



## A brief aside on reduced-magnetohydrodynamics (RMHD)

- ▶ For  $k_{\perp} \ll \rho_i^{-1}, d_e^{-1}$ , we recover the equations of RMHD:

$$\frac{\partial \mathbf{z}^{\pm}}{\partial t} \pm v_A \frac{\partial \mathbf{z}^{\pm}}{\partial z} + \mathbf{z}^{\mp} \cdot \nabla_{\perp} \mathbf{z}^{\pm} = 0,$$

where

$$\mathbf{z}^{\pm} = \mathbf{u}_{\perp} \pm \frac{\delta \mathbf{B}_{\perp}}{\sqrt{4\pi n_{0i} m_i}}.$$

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- ▶ Describes nonlinear dynamics of backwards/forwards propagating Alfvén waves ( $\omega = \pm k_{\parallel} v_A$ ).
- ▶ Conserves the sum and difference of the  $\mathbf{z}^{\pm}$  energies:

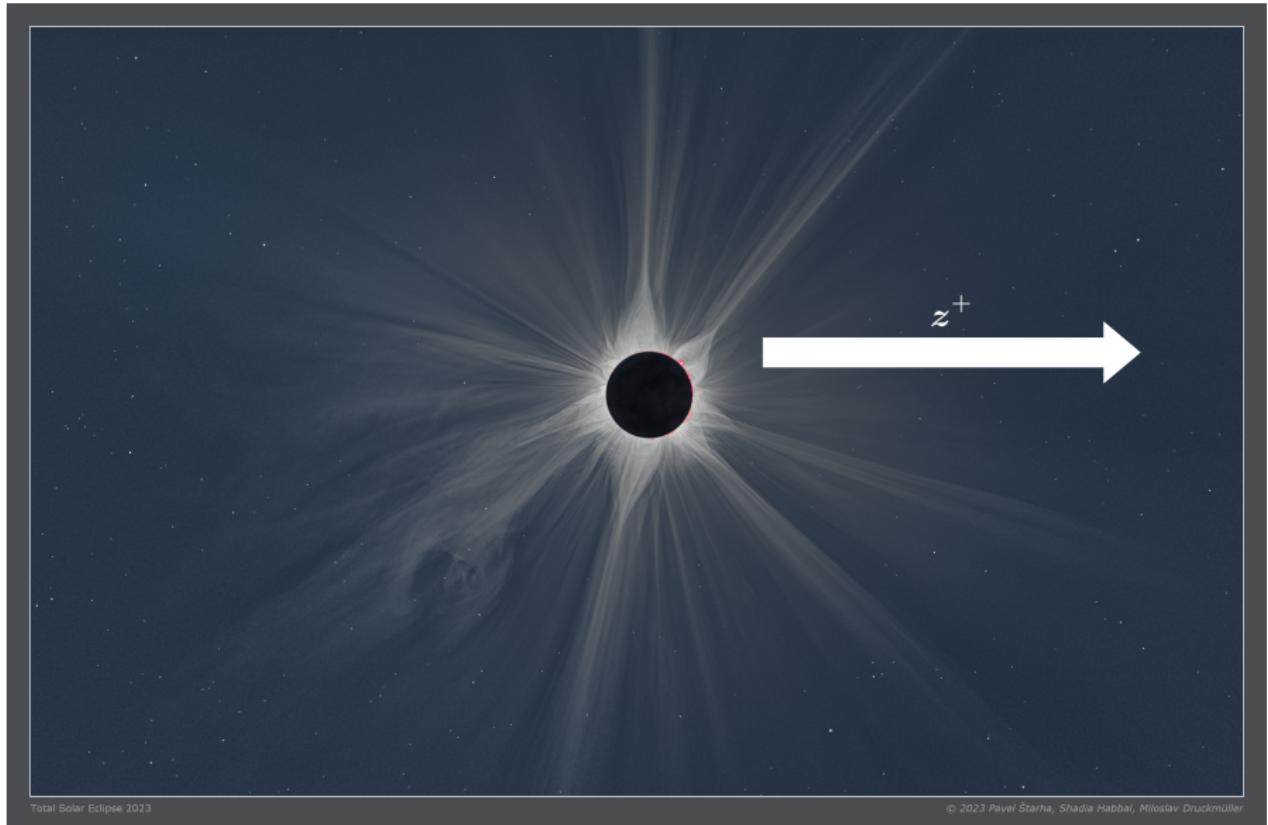
$$W = \frac{n_{0i} m_i}{4} \int \frac{d^3 \mathbf{r}}{V} \left( |\mathbf{z}^{+}|^2 + |\mathbf{z}^{-}|^2 \right),$$
$$H = \frac{n_{0i} m_i}{4} \int \frac{d^3 \mathbf{r}}{V} \left( |\mathbf{z}^{+}|^2 - |\mathbf{z}^{-}|^2 \right).$$

- ▶ Turbulence requires there to be some non-zero cross-helicity  $H \Rightarrow$  non-zero *imbalance*.

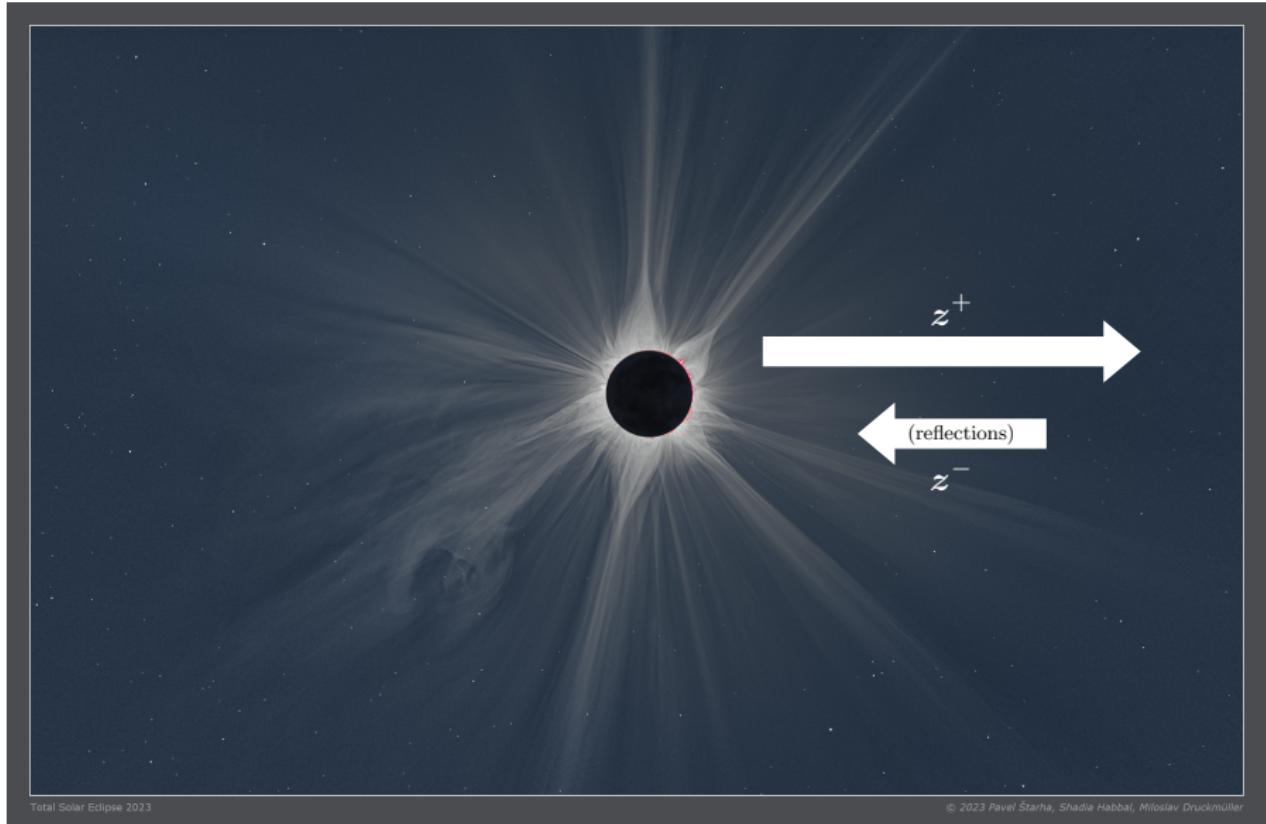
# Imbalance in the solar wind



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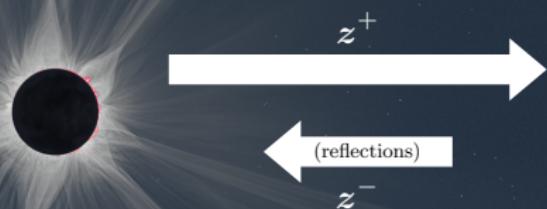


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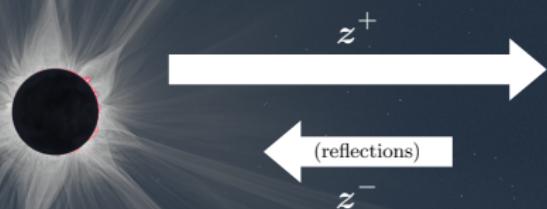
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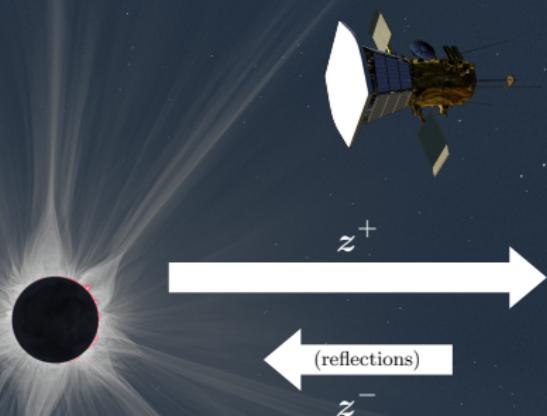
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$$\sigma_c = \frac{\int d^3r (|z^+|^2 - |z^-|^2)}{\int d^3r (|z^+|^2 + |z^-|^2)} \leqslant 1$$

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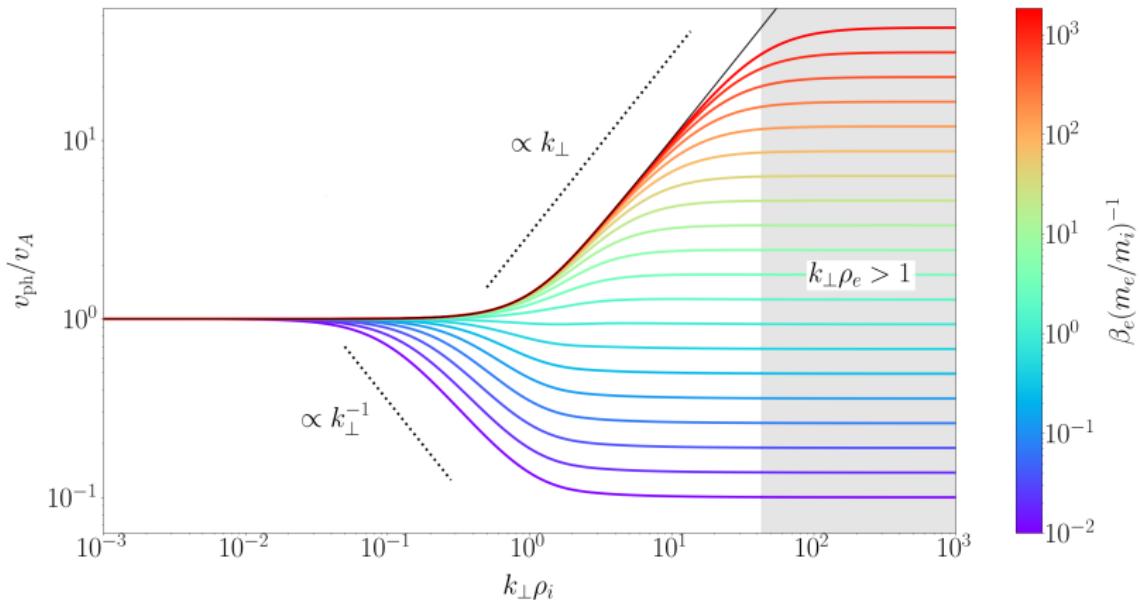
- ▶ Forward and backwards propagating waves:

$$\omega = \pm k_{\parallel} v_{\text{ph}}(k_{\perp}), \quad v_{\text{ph}}(k_{\perp}) = k_{\perp} \rho_i \left( \frac{1 + \bar{\tau}}{1 + k_{\perp}^2 d_e^2} \right)^{1/2} v_A.$$

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## Nonlinear invariants (isothermal KREHM)

- ▶ Generalised Elsässer potentials:

$$\mathbf{z}^\pm = \lim_{k_\perp \rightarrow 0} \mathbf{b}_0 \times \nabla_\perp \Theta^\pm.$$

- ▶ Free energy:

$$\lim_{k_\perp \rightarrow 0} W = \frac{n_0 m_i}{4} \int \frac{d^3 r}{V} \left( |z^+|^2 + |z^-|^2 \right).$$

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- ▶ Existence of two nonlinear invariants constrains the dynamical states accessible to the system. **What are these states, and how do they arise? What are the consequences for turbulent heating?**

## Constant-flux cascade

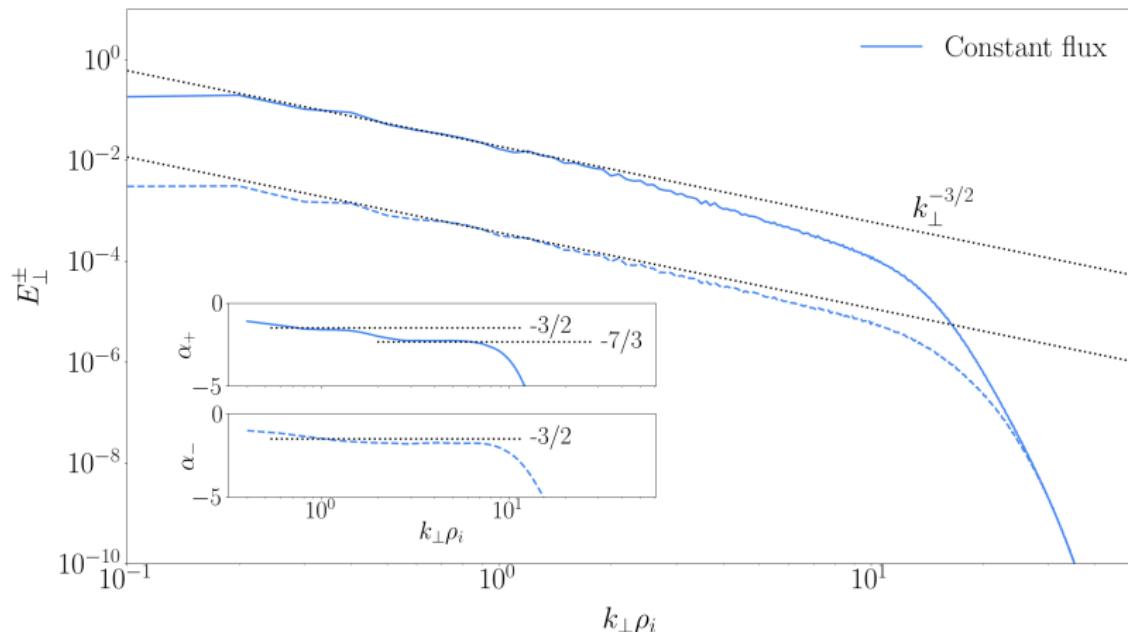
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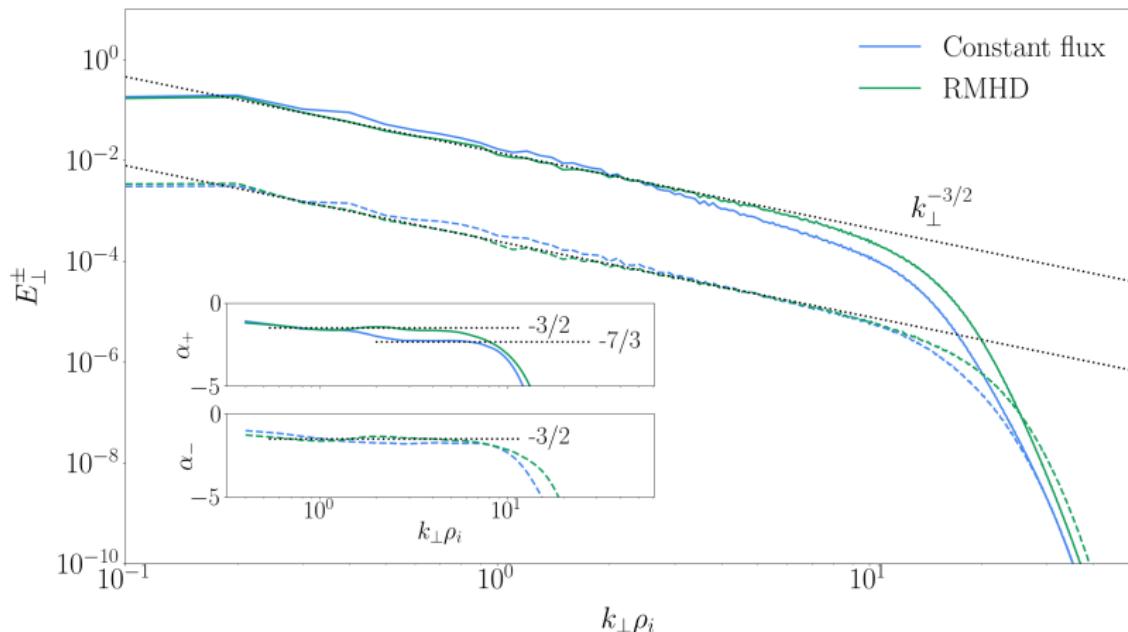
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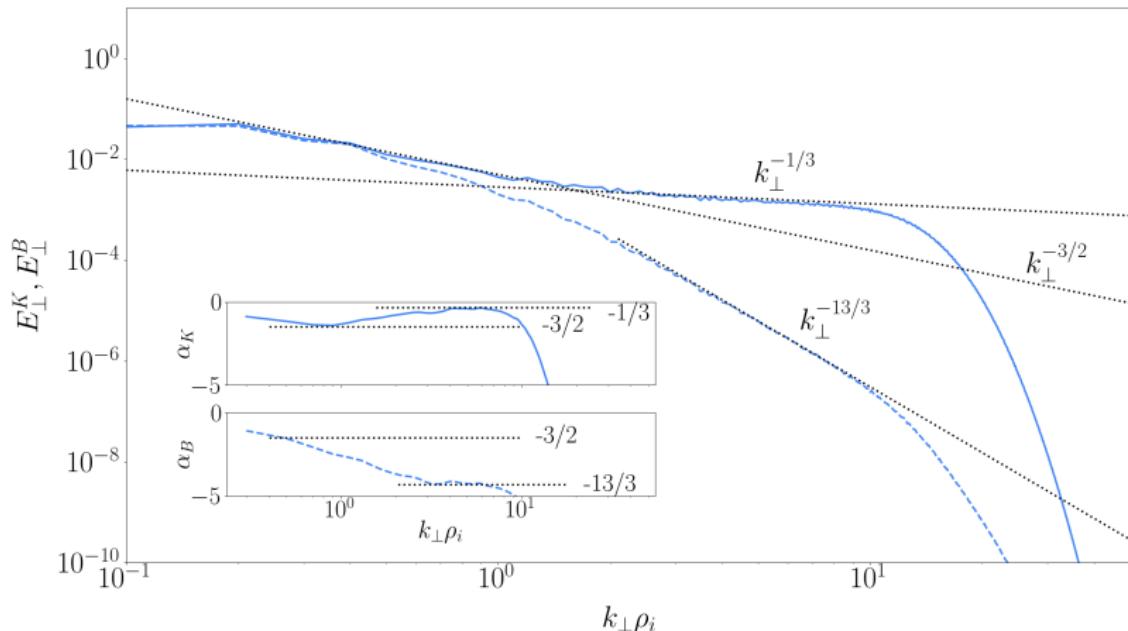
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## Effect of helicity conservation

- ▶ Free energy and generalised helicity are injected at the **constant** rates  $\varepsilon_W$  and  $\varepsilon_H$ , respectively.
- ▶ Then, estimate

$$\frac{dW}{dt} \propto t_{\text{nl}}^{-1} (\phi_{k_\perp})^2 \sim \varepsilon_W,$$

and

$$\frac{dH}{dt} \propto t_{\text{nl}}^{-1} (\phi_{k_\perp}) (A_{\parallel k_\perp}) \cos \alpha_{k_\perp} \sim \varepsilon_H,$$

where

$$\cos \alpha_{k_\perp} = \frac{\overline{\text{Re} \langle \phi_{\mathbf{k}} (A_{\parallel \mathbf{k}})^* \rangle}}{\left( \overline{\langle |\phi_{\mathbf{k}}|^2 \rangle} \right)^{1/2} \left( \overline{\langle |A_{\parallel \mathbf{k}}|^2 \rangle} \right)^{1/2}}.$$

- ▶ Using equipartition to relate the amplitudes of  $\phi$  and  $A_{\parallel}$ , we find...

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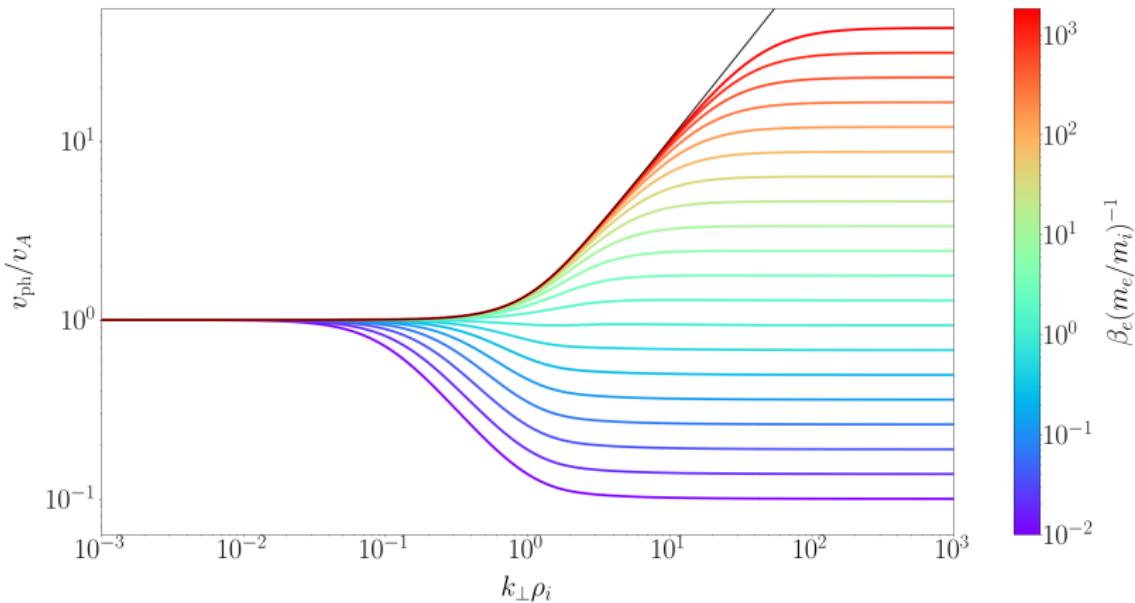
- ▶ ... a condition for the existence of a constant-flux cascade! ( $\varepsilon_H \leq \varepsilon_W$ )

$$\varepsilon_H \sim \varepsilon_W \left( \frac{v_{\text{ph}}}{v_A} \right)^{-1} \cos \alpha_{k_\perp} \quad \Rightarrow \quad \boxed{\sigma_\varepsilon \frac{v_{\text{ph}}(k_\perp)}{v_A} \lesssim 1.}$$

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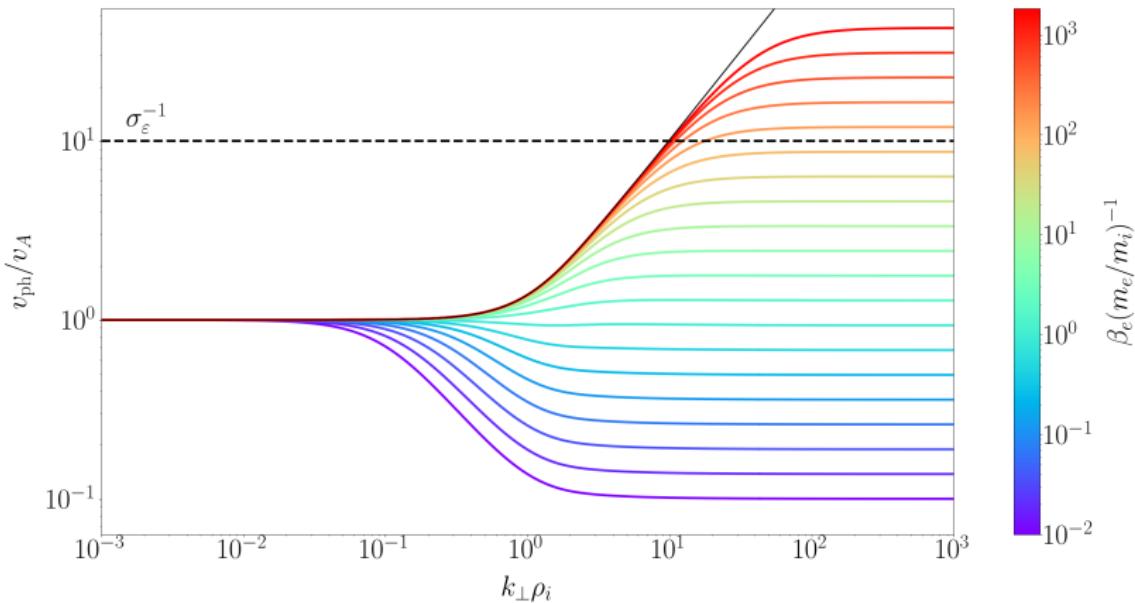
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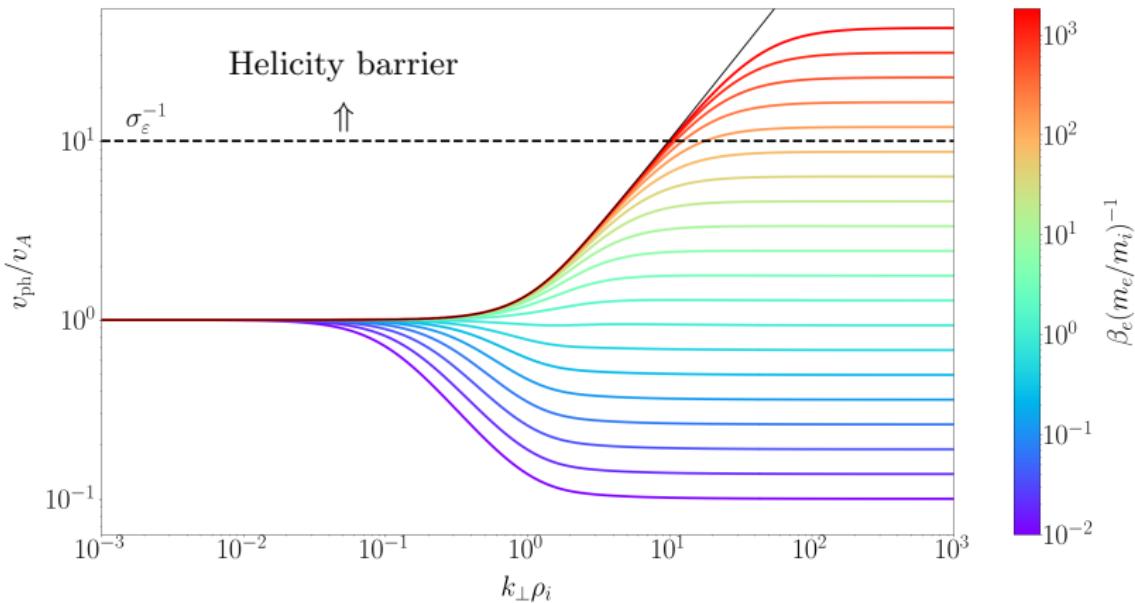
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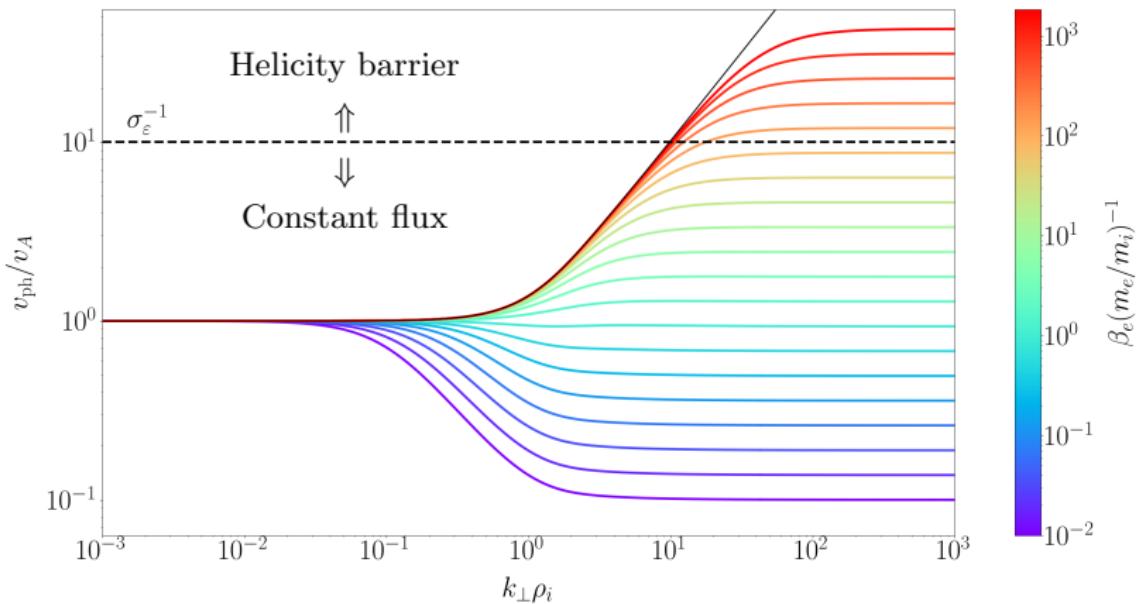
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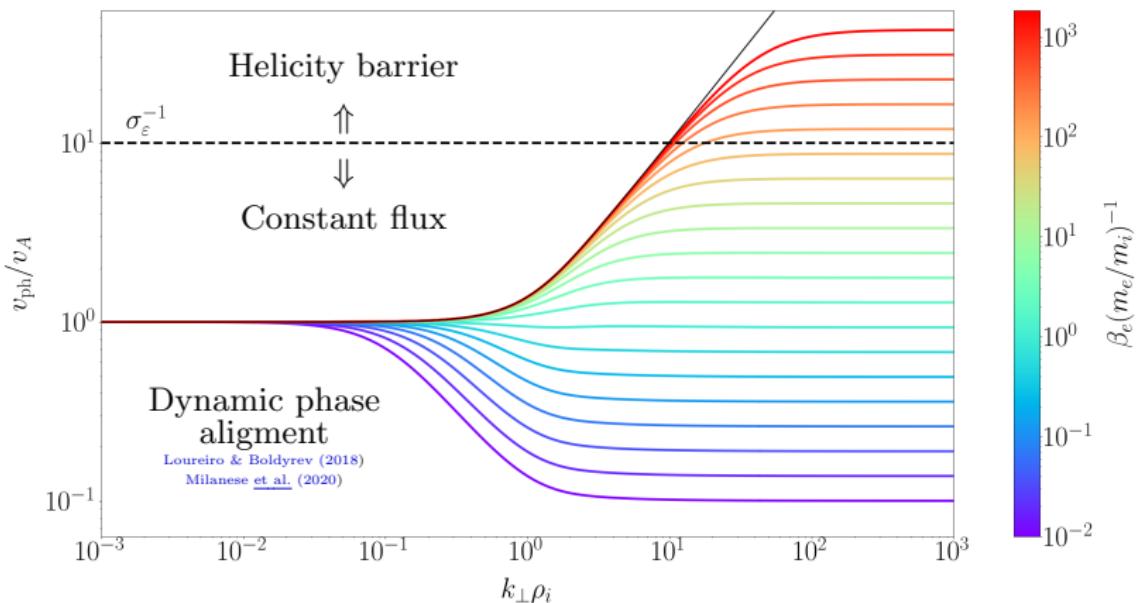
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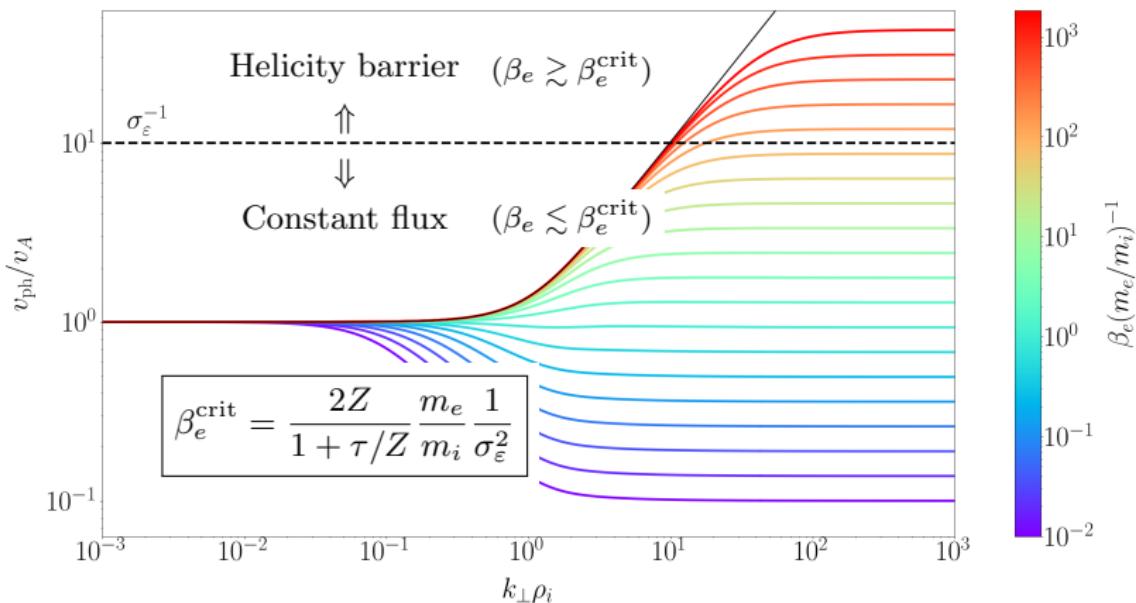
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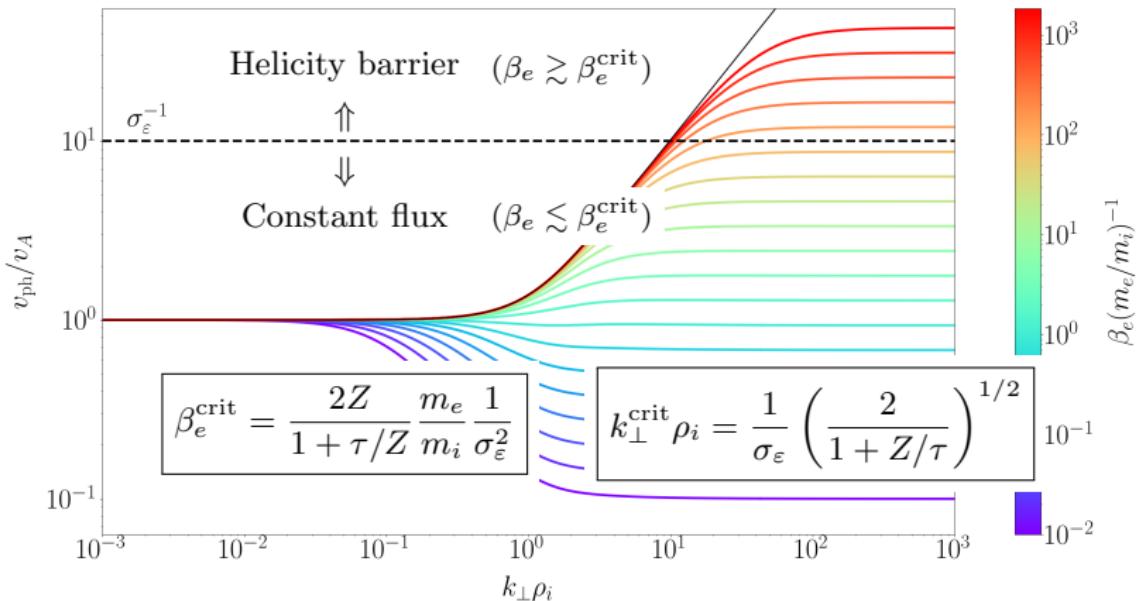
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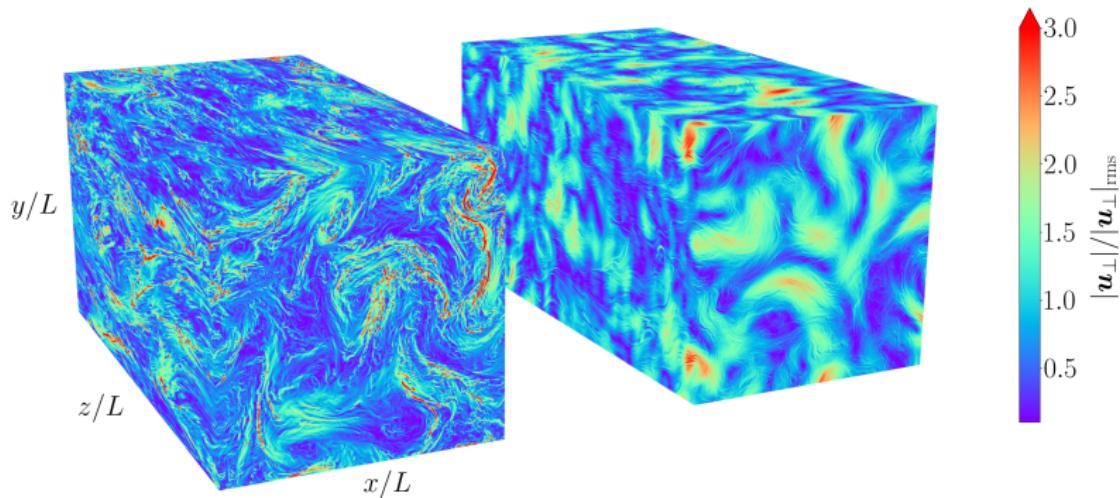
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## Features of the helicity barrier

- ▶ Compare two otherwise identical simulations with  $\sigma_\varepsilon = 0.8$ :
  - Constant flux:  $d_e = \rho_i$ ,  $\beta_e/\beta_e^{\text{crit}} = 0.64$
  - Helicity barrier:  $d_e = \rho_i/2$ ,  $\beta_e/\beta_e^{\text{crit}} = 2.56$



## Features of the helicity barrier

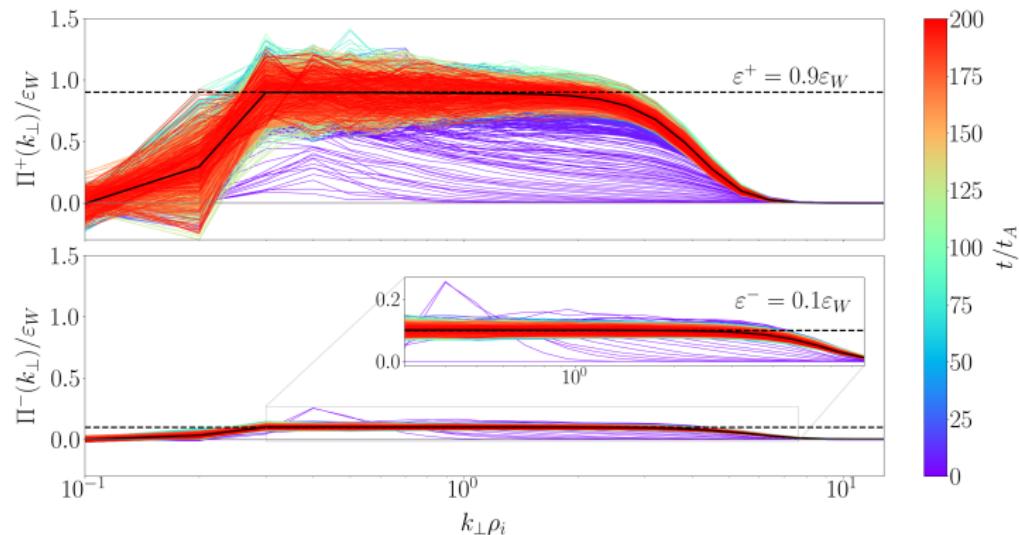
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2.

3.

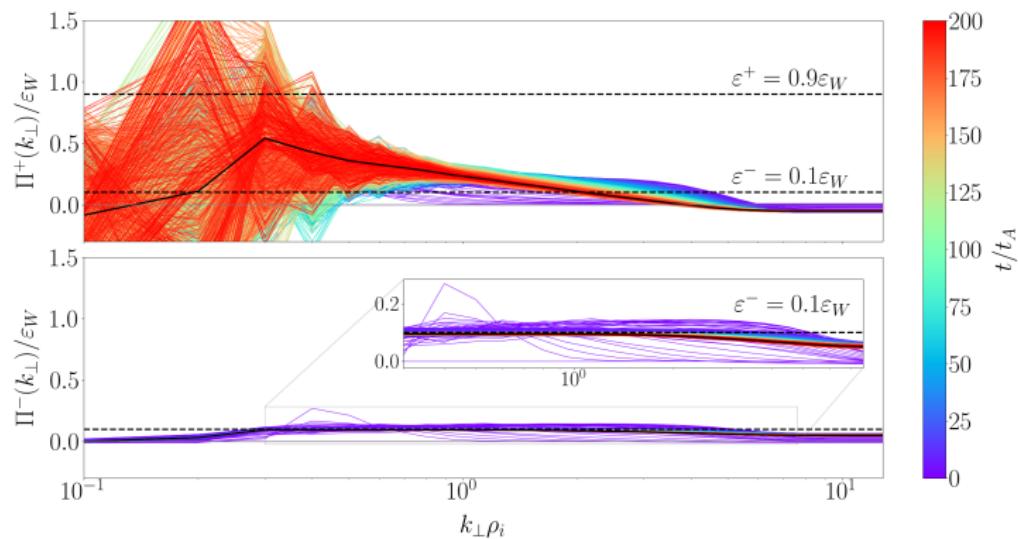
## Features of the helicity barrier

1. **Nonlinear fluxes:** the helicity barrier only allows  $\approx 2\varepsilon^-$  of the free energy to cascade to small scales [ $\varepsilon^\pm = (1 \pm \sigma_\varepsilon)\varepsilon_W/2$ ].
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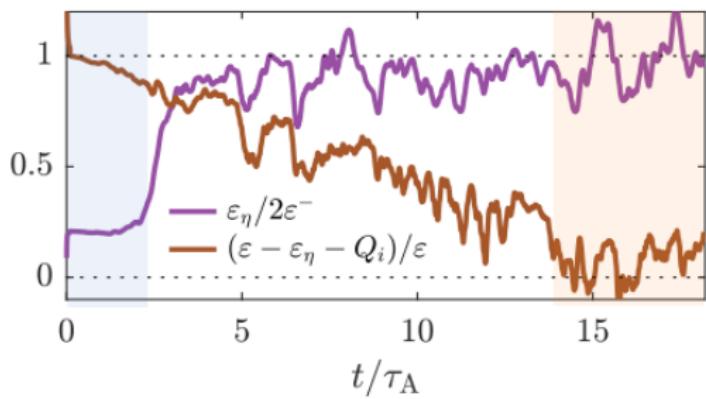
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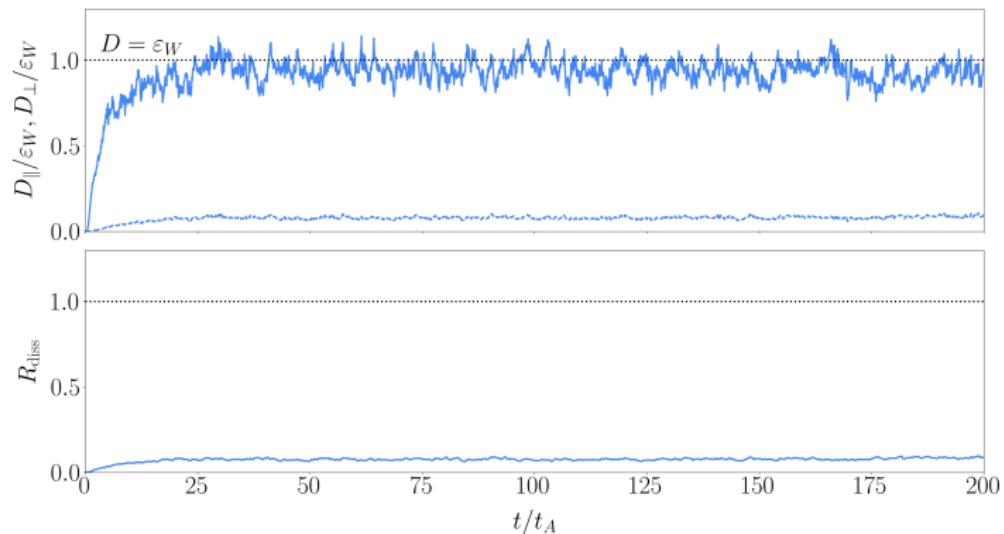
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This behaviour is shared by more realistic hybrid-kinetic simulations conducted with PEGASUS++ (Squire et al., 2022)



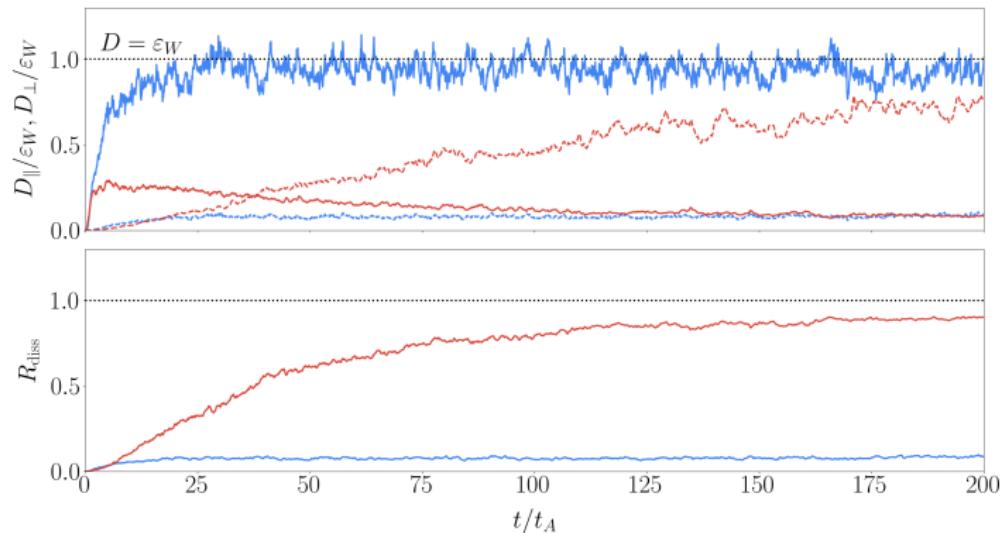
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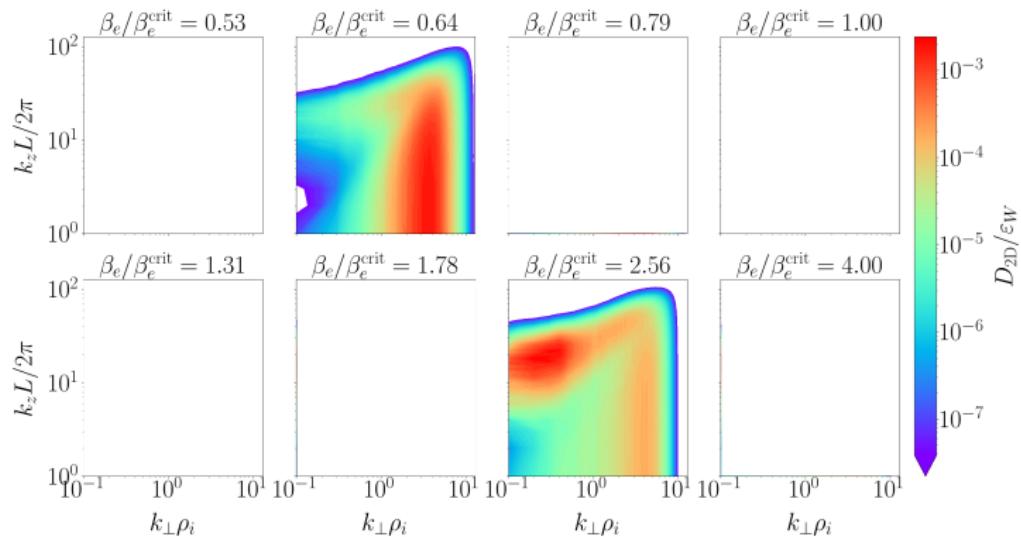
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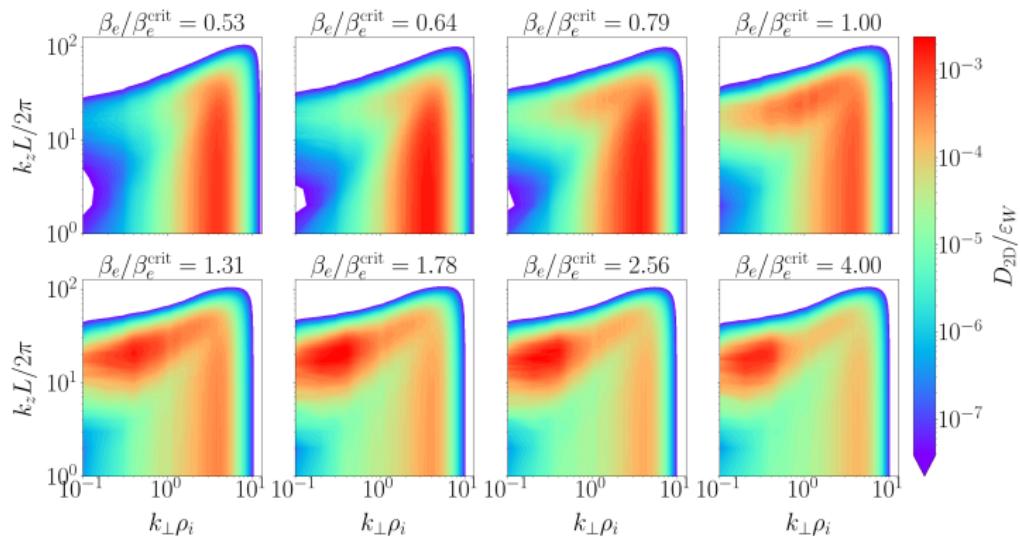
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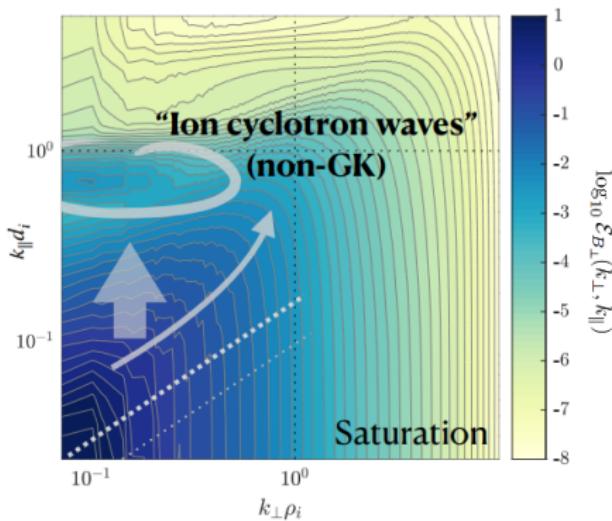
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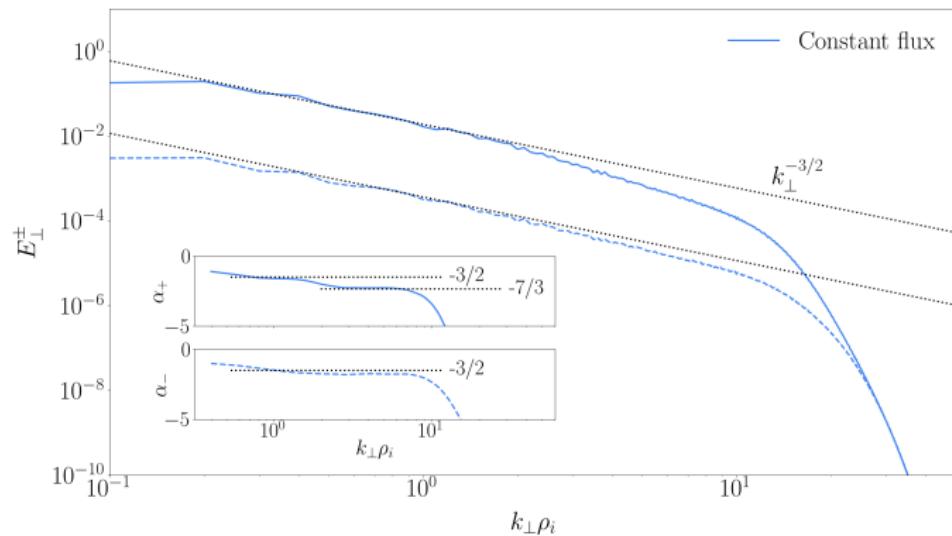
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Outside of the GK approximation, these small scales will cause parallel ion heating by exciting (resonant) ICWs ([Squire et al., 2022](#))



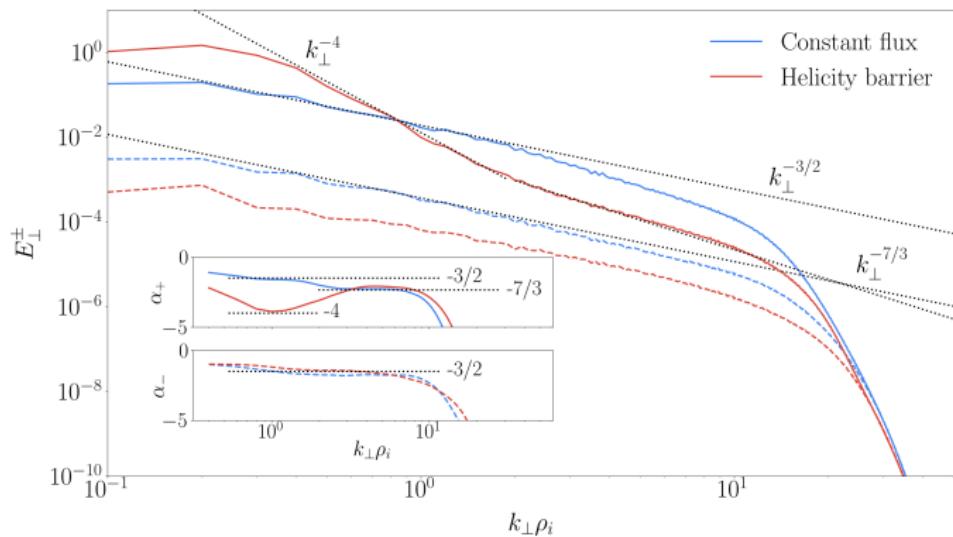
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## Verifying $\beta_e^{\text{crit}}$ prediction

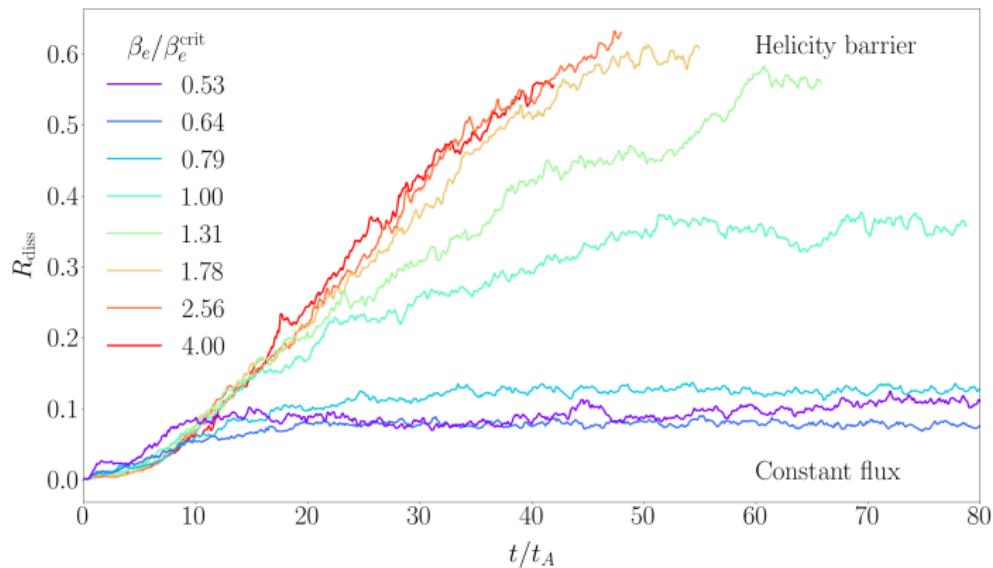
$$\beta_e^{\text{crit}} = \frac{2Z}{1 + \tau/Z} \frac{m_e}{m_i} \frac{1}{\sigma_\varepsilon^2}$$

- ▶ Test using the fact that  $R_{\text{diss}} = D_{\parallel}/(D_{\perp} + D_{\parallel})$  is an increasing function of time in the presence of a helicity barrier.

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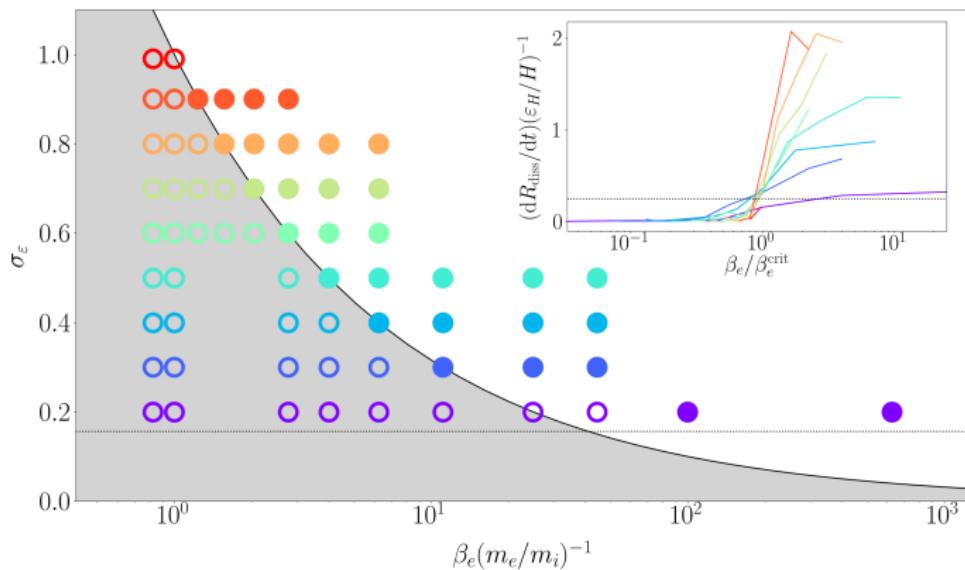
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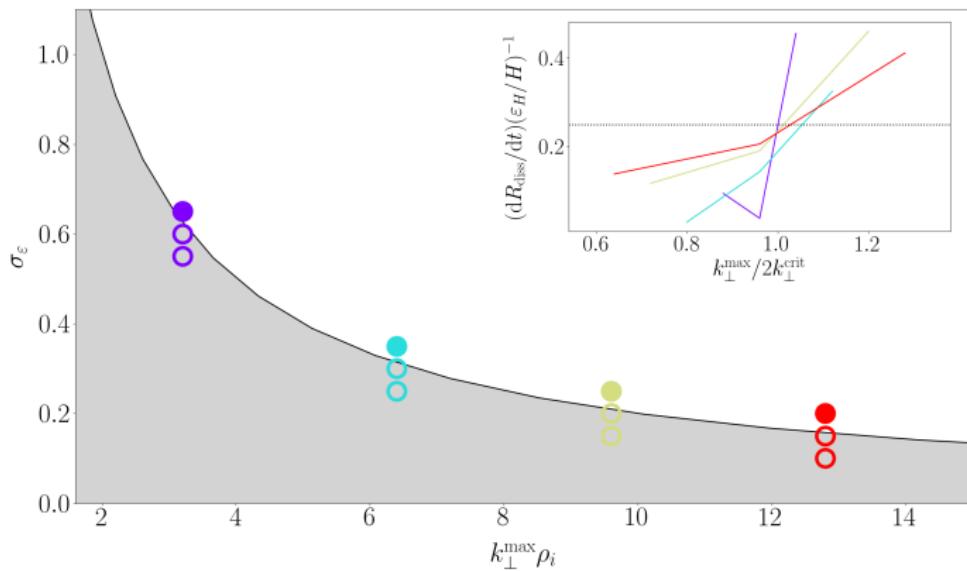
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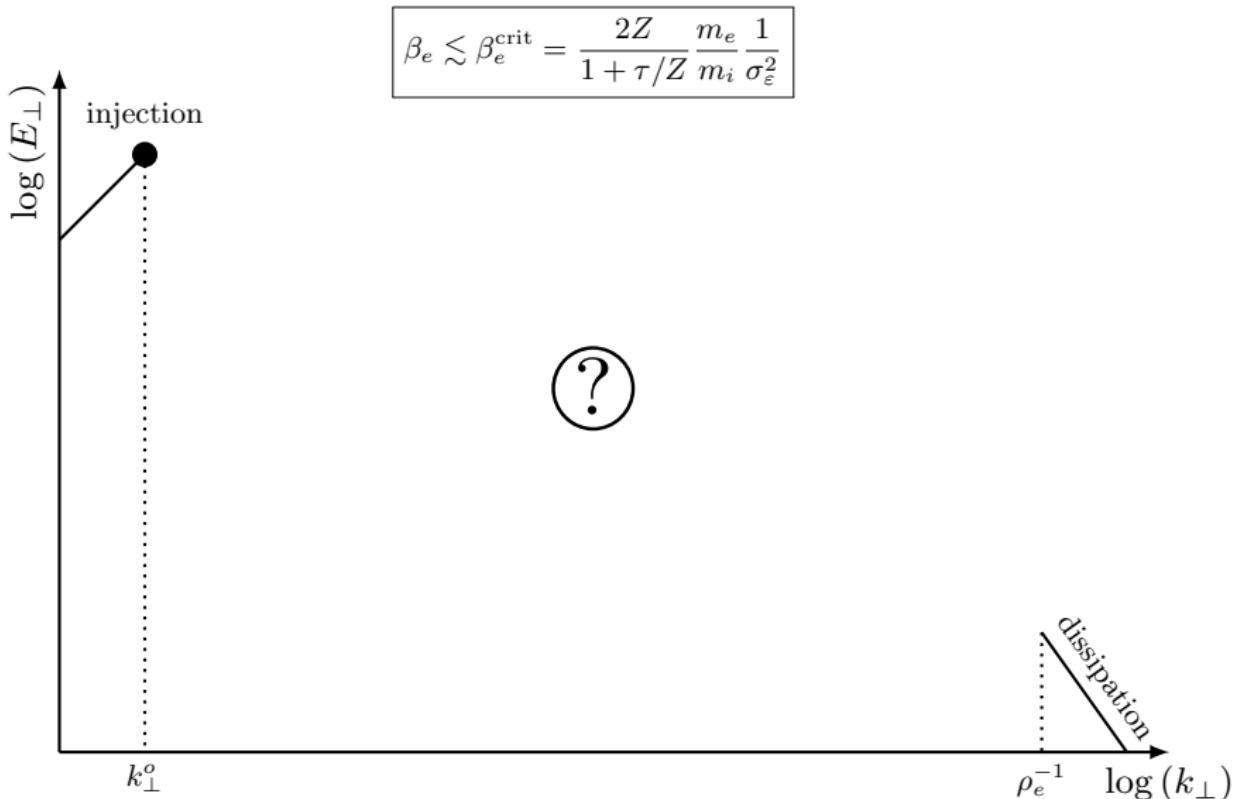
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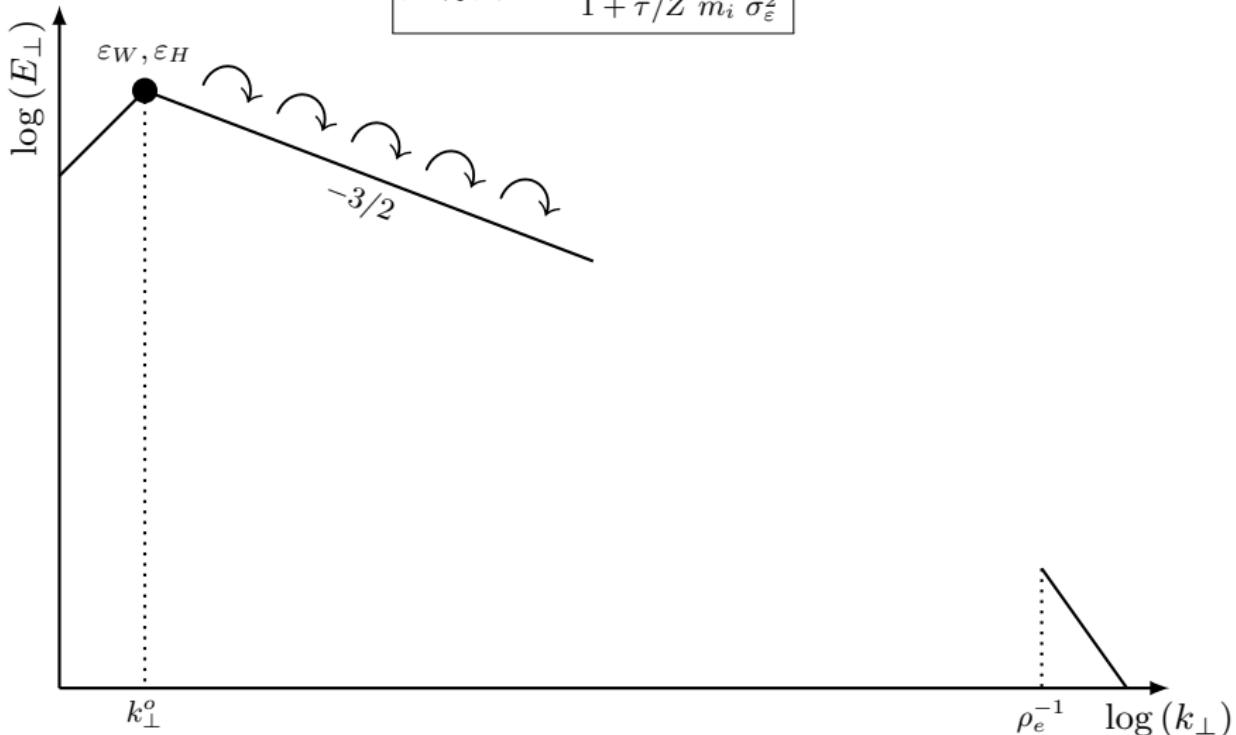


## Turbulent cascade revisited (constant flux)

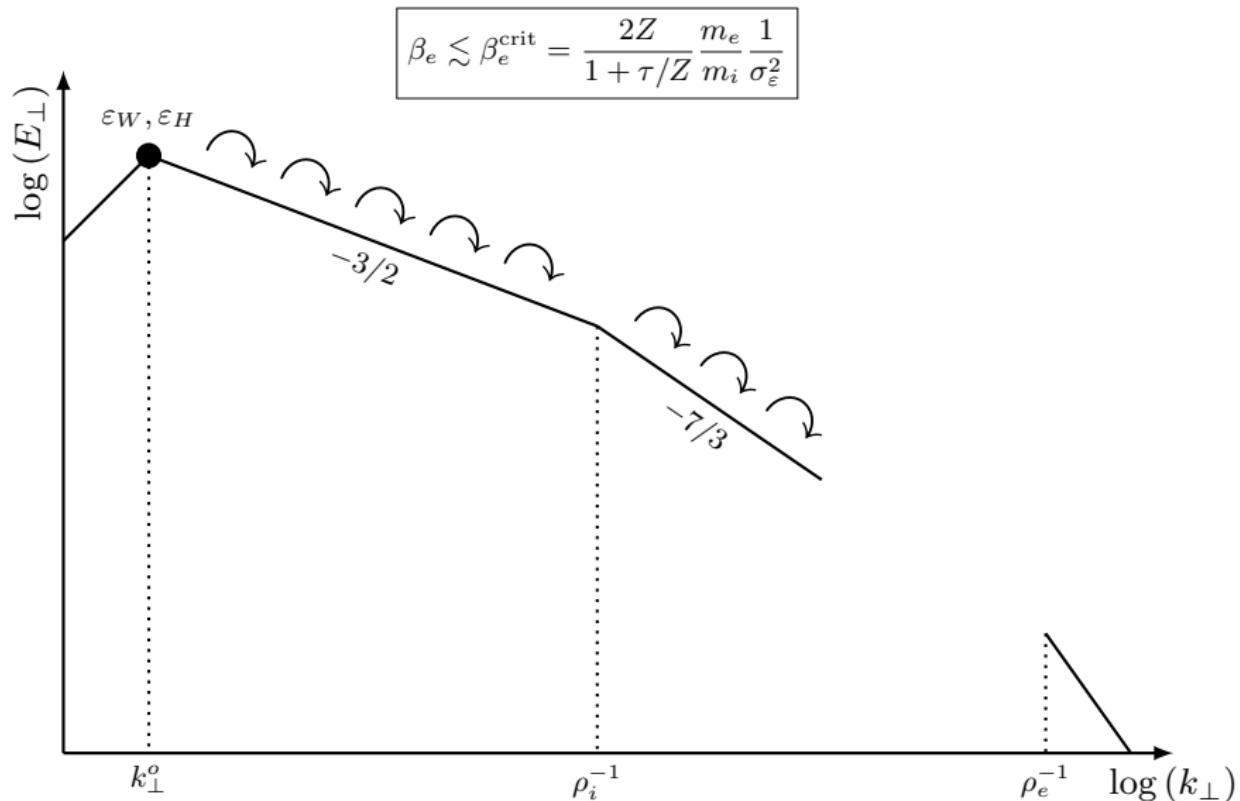


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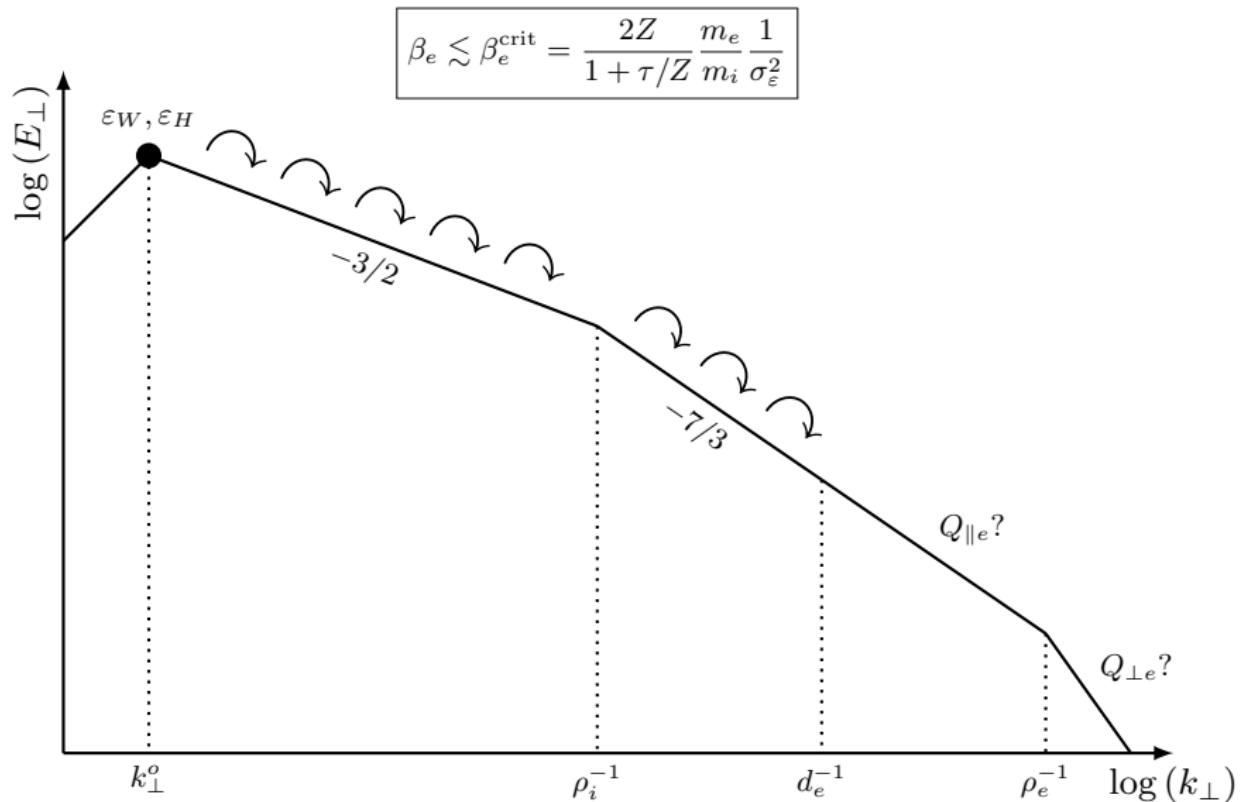
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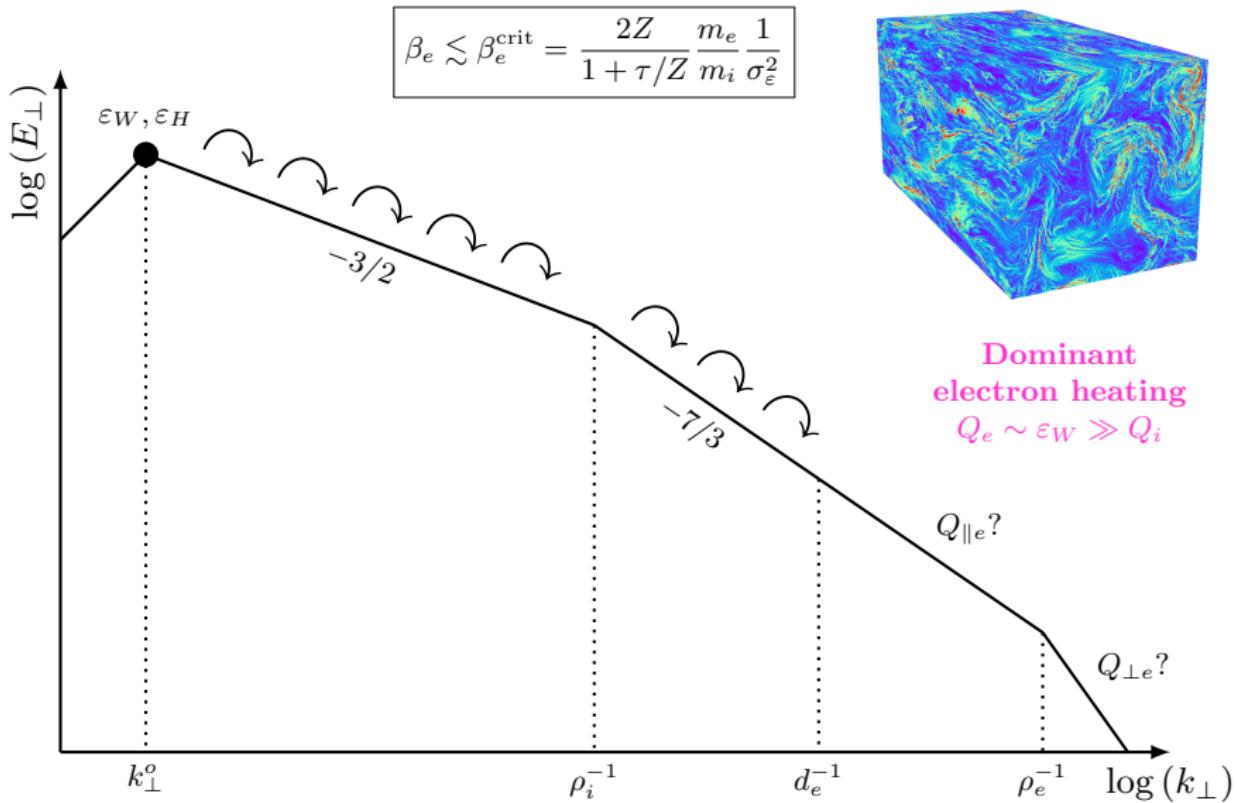
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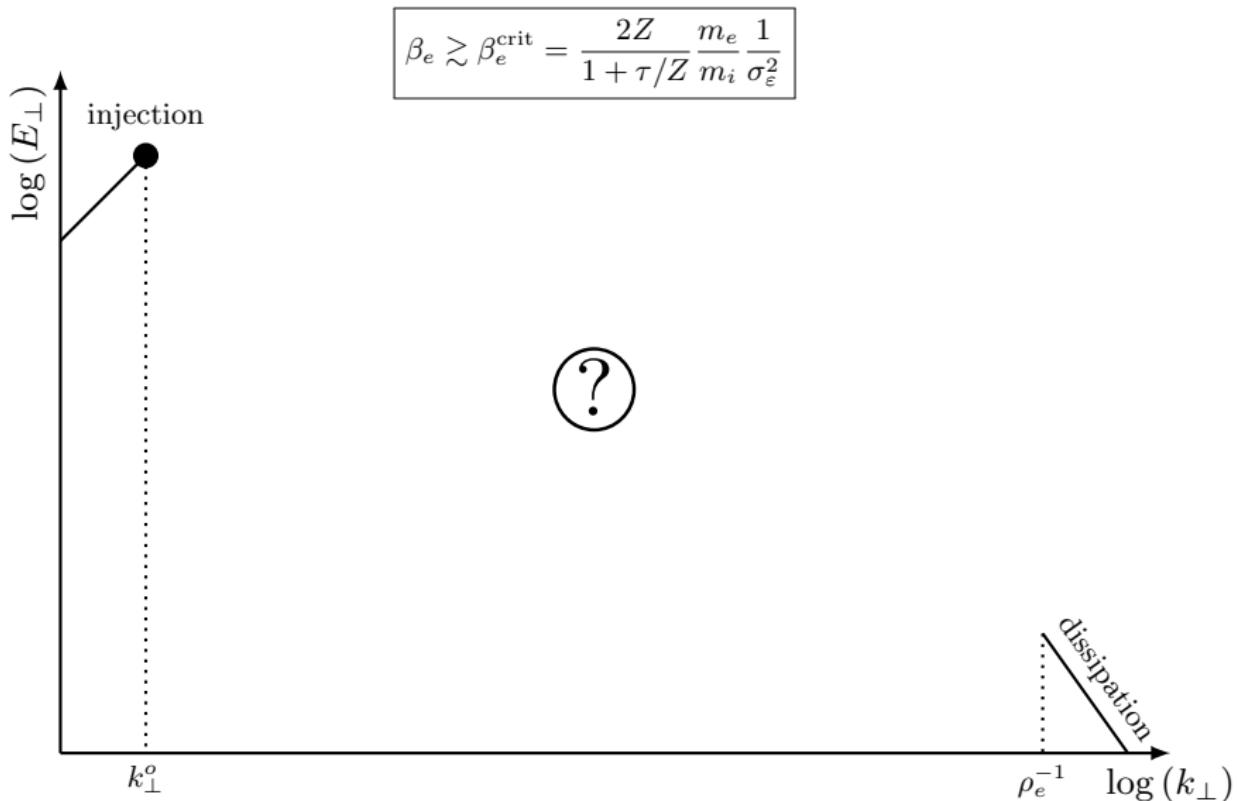
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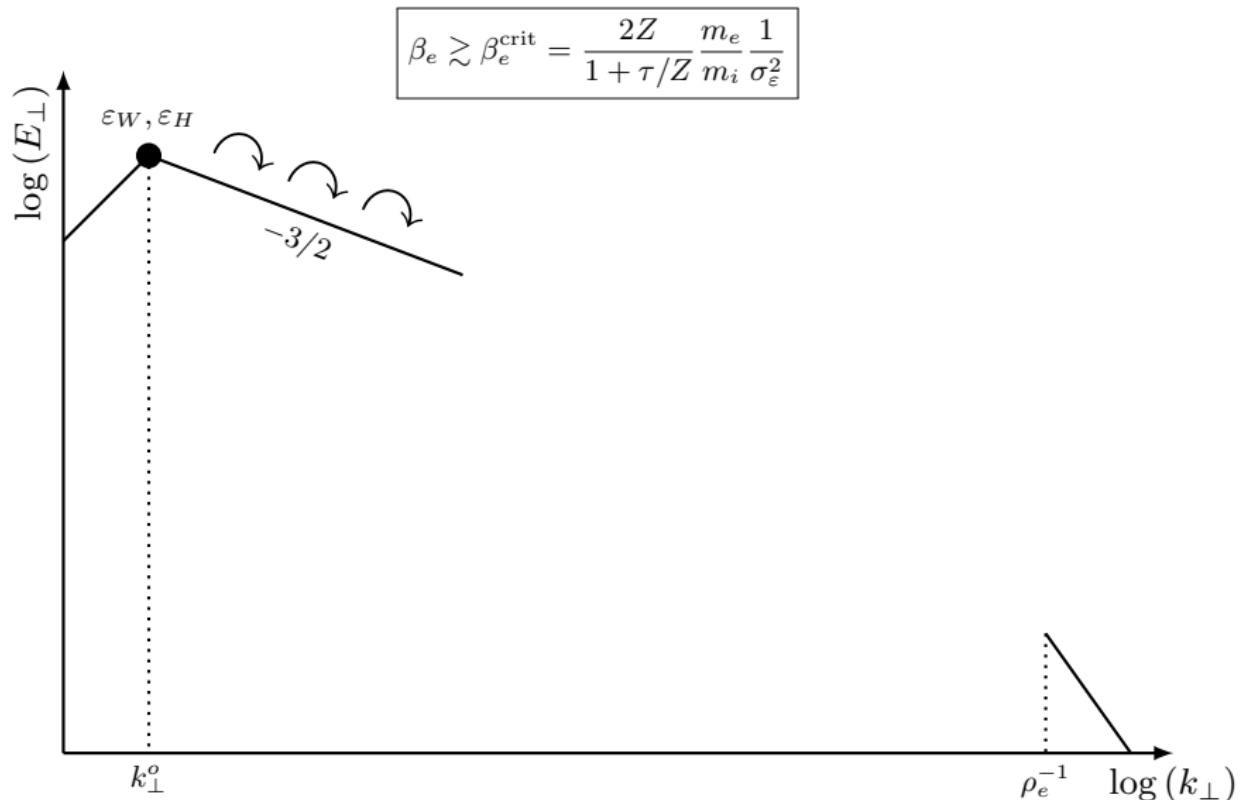
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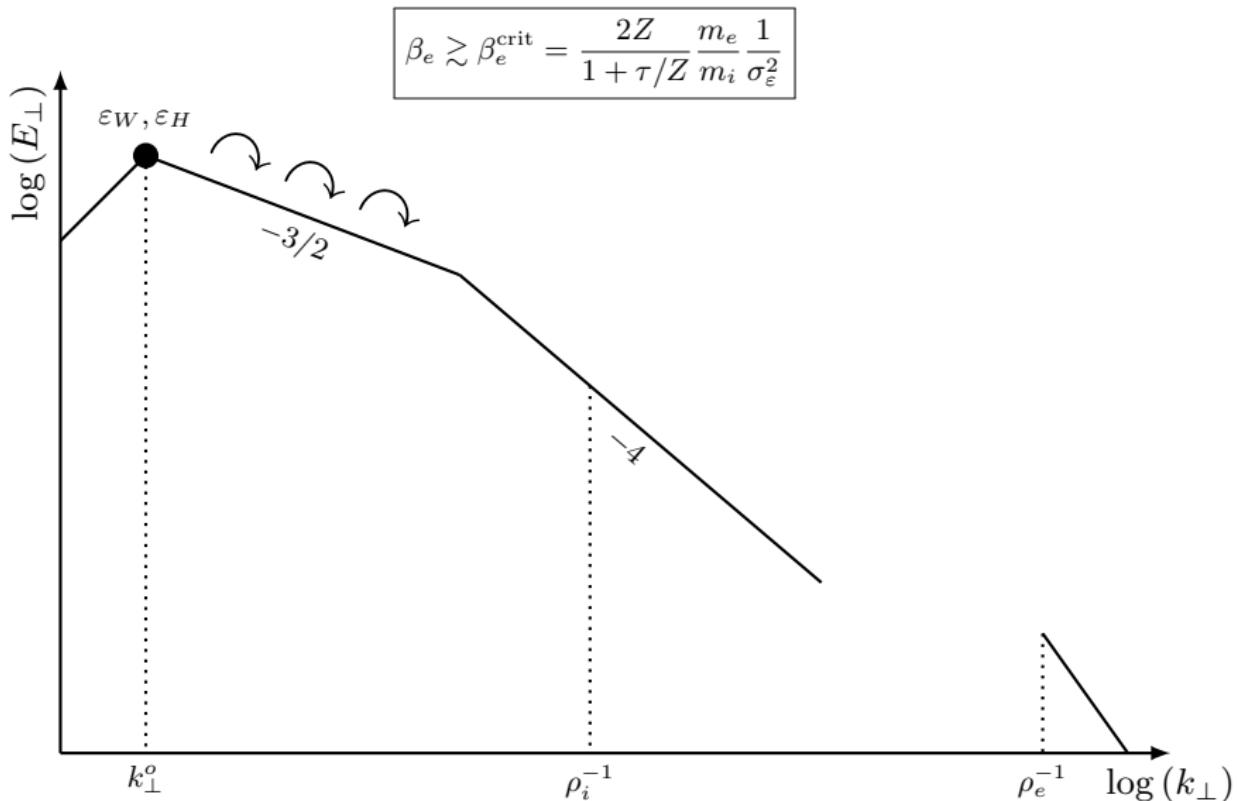
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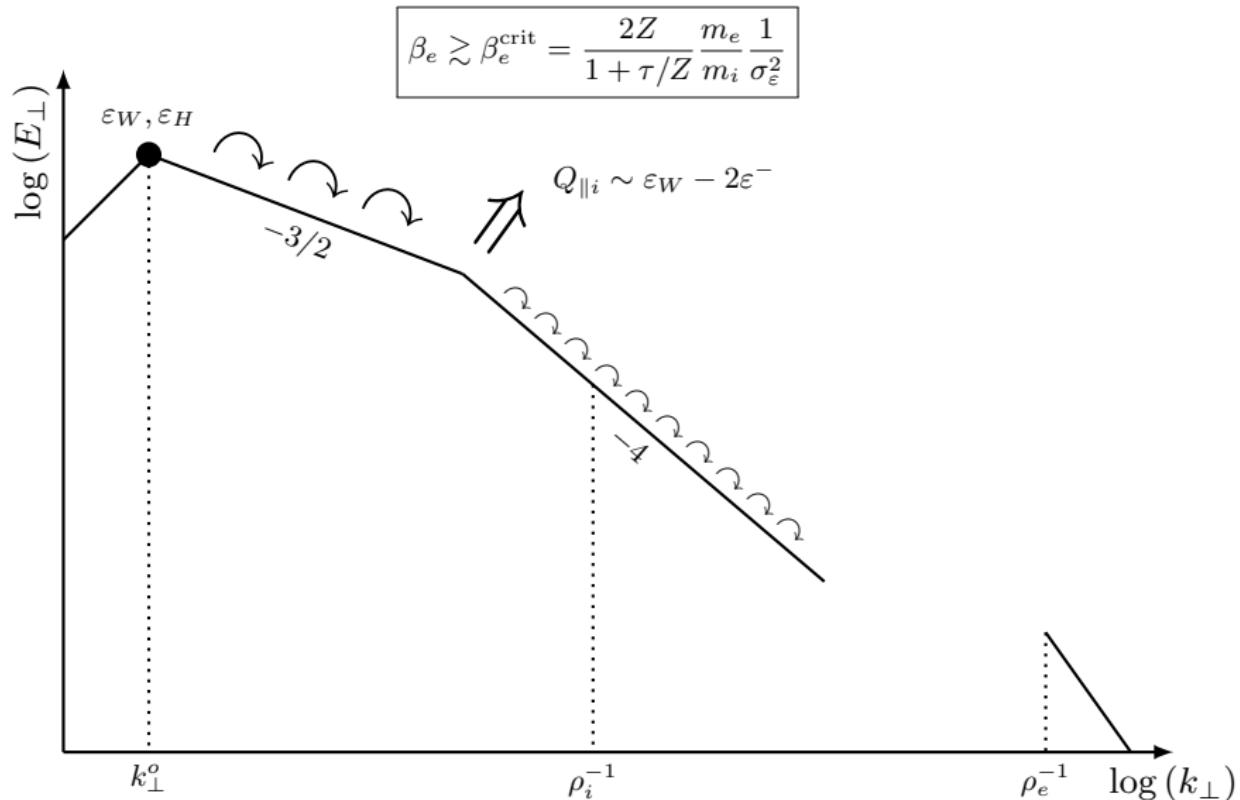
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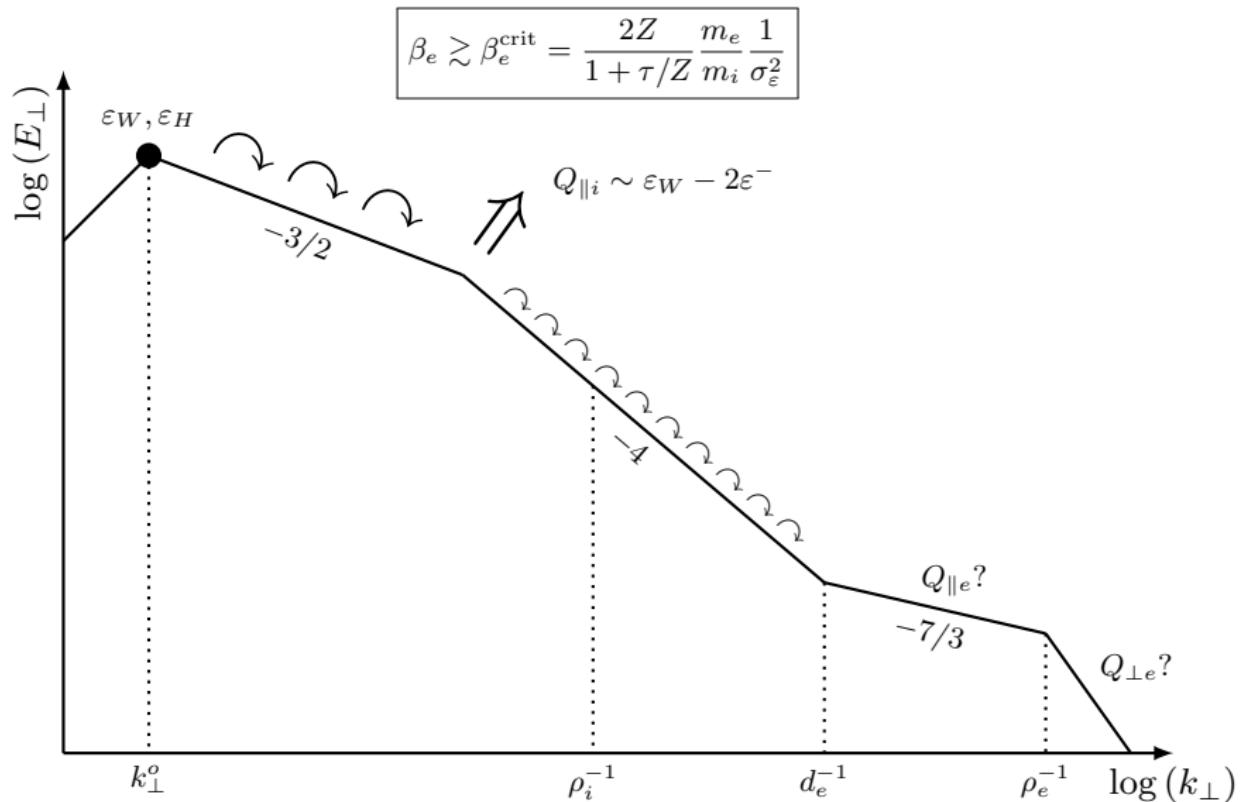
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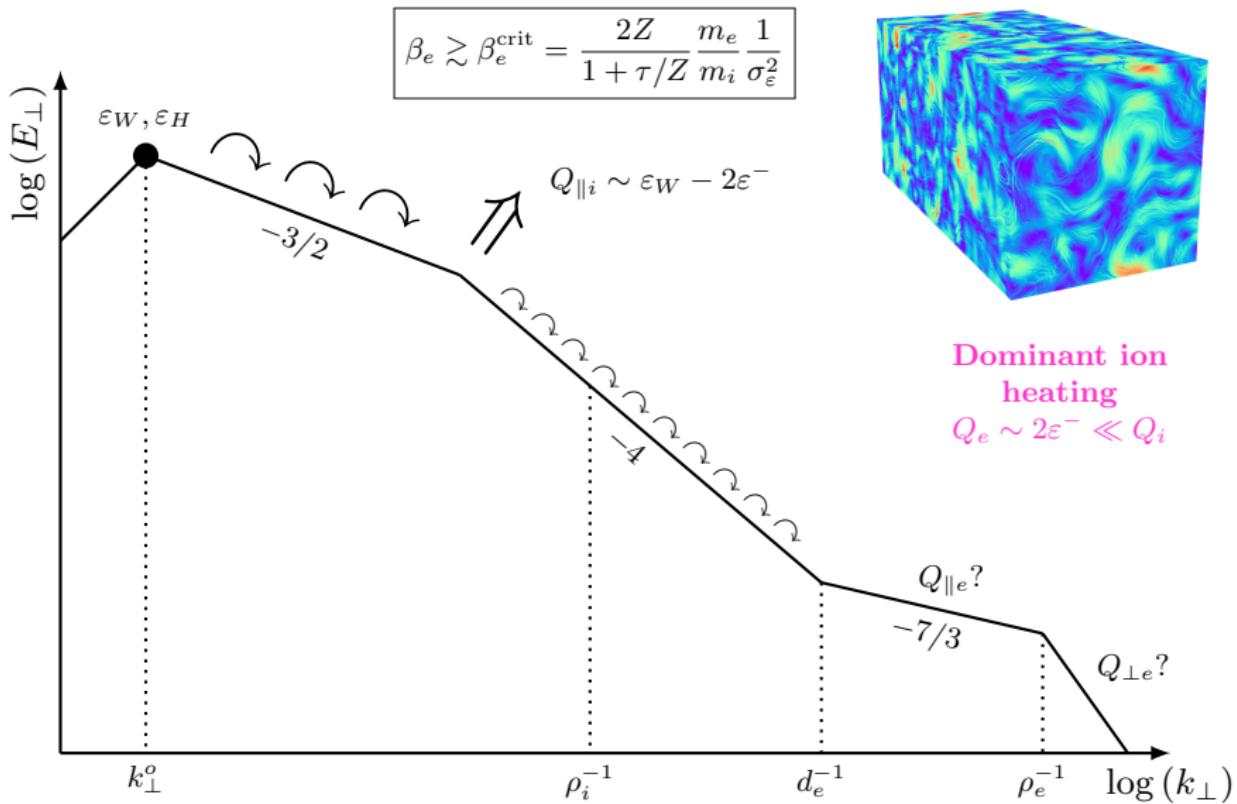
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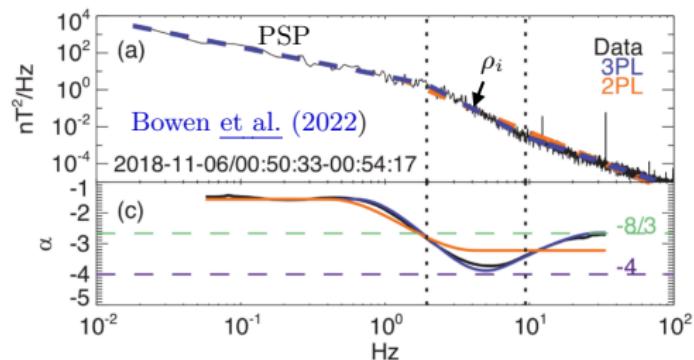


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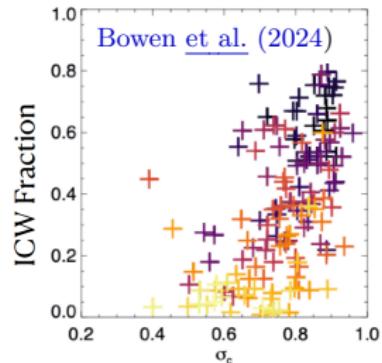
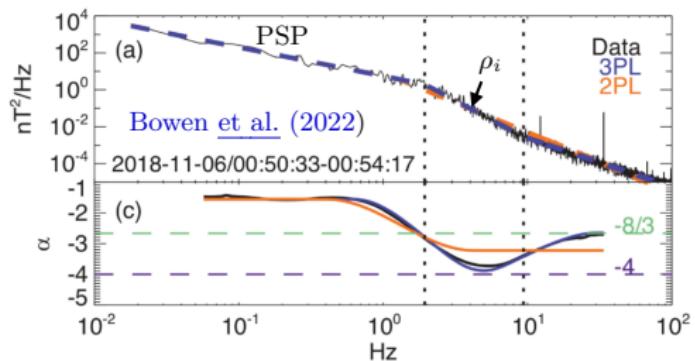
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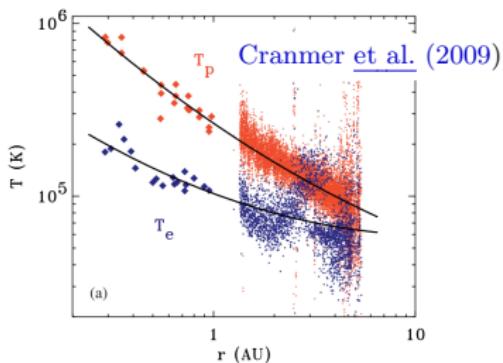
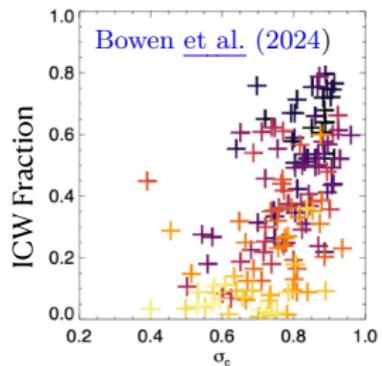
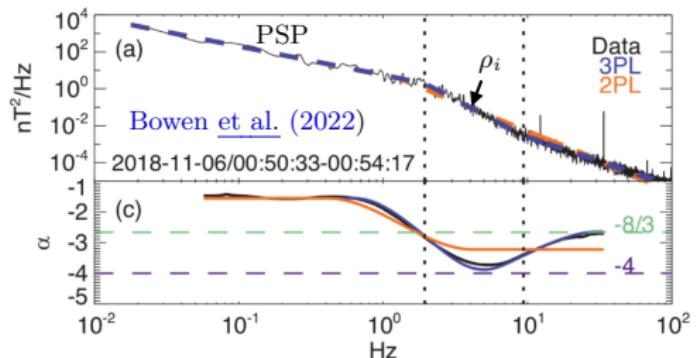
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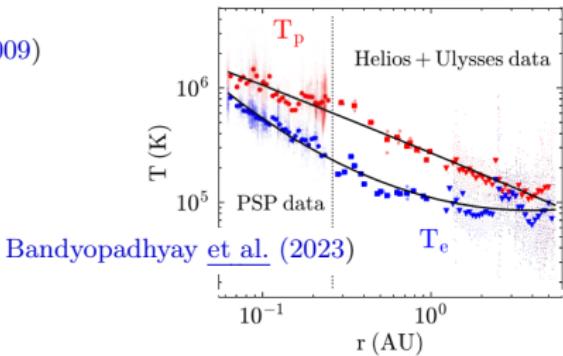
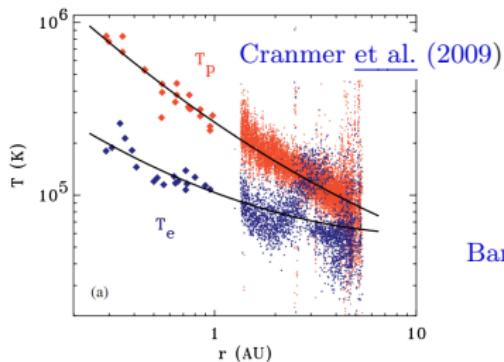
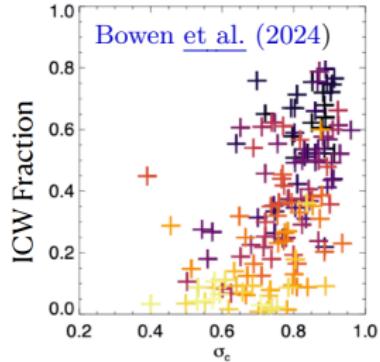
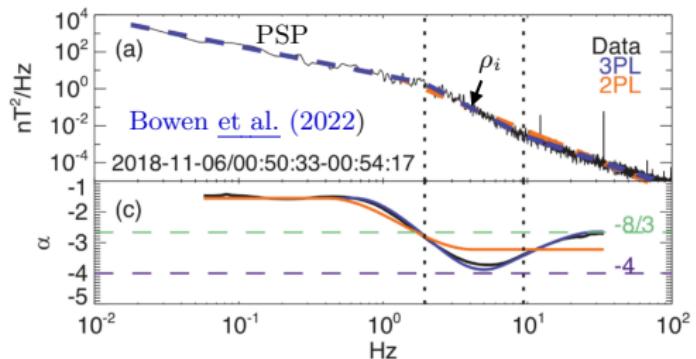
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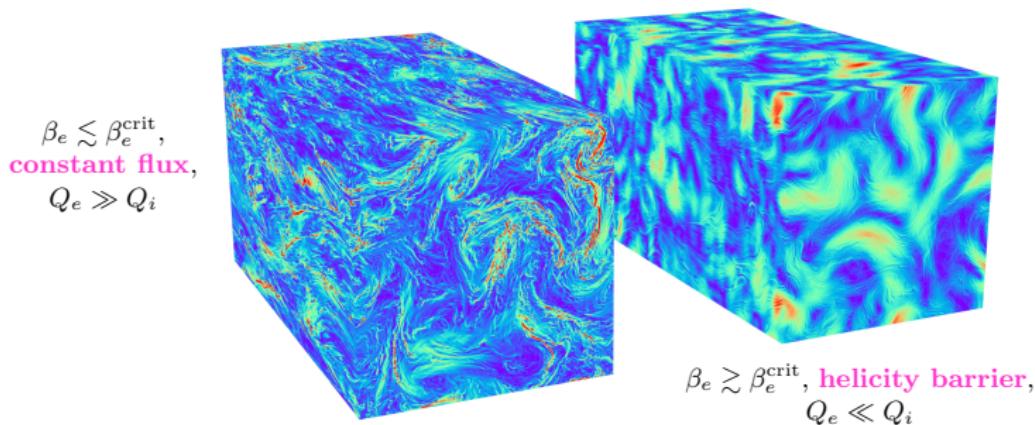


## Summary

- ▶ In imbalanced isothermal KREHM, nonlinear conservation laws imply the existence of a critical electron beta

$$\beta_e^{\text{crit}} = \frac{2Z}{1 + \tau/Z} \frac{m_e}{m_i} \frac{1}{\sigma_\epsilon^2}.$$

- ▶ A “switch” between two fundamentally different types of turbulence and resultant heating:



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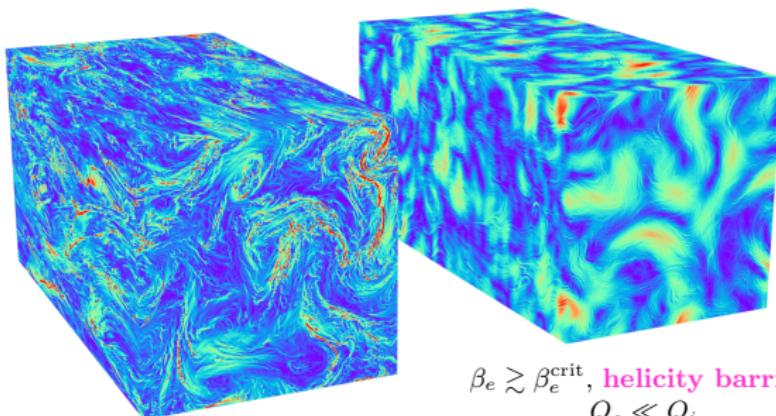
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$\beta_e \lesssim \beta_e^{\text{crit}}$ ,  
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arXiv



$\beta_e \gtrsim \beta_e^{\text{crit}}$ , **helicity barrier**,  
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